

 General Assembly
 Raised Bill No. 6864

 January Session, 2023
 LCO No. 3884

 Image: Complete Sector Committee
 On GOVERNMENT

 Referred to Committee on GOVERNMENT
 ADMINISTRATION AND ELECTIONS

Introduced by: (GAE)

AN ACT CONCERNING MUNICIPAL CAMPAIGN FINANCE FILINGS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Section 9-603 of the general statutes is repealed and the
 following is substituted in lieu thereof (*Effective January 1, 2027*):

3 (a) Statements filed by party committees, political committees 4 formed to aid or promote the success or defeat of a referendum 5 question, [proposing a constitutional convention, constitutional amendment or revision of the Constitution, individual lobbyists,] and 6 7 those political committees and candidate committees formed to aid or 8 promote the success or defeat of any candidate for [the office of 9 Governor, Lieutenant Governor, Secretary of the State, State Treasurer, 10 State Comptroller, Attorney General, judge of probate and members of 11 the General Assembly] public office or the position of town committee 12 member, shall be filed with the State Elections Enforcement 13 Commission. A political committee formed for a slate of candidates in 14 a primary for the office of justice of the peace shall file statements with 15 the [town clerk of the municipality in which the primary is to be held]

16 <u>State Elections Enforcement Commission</u>.

17 [(b) Statements filed by political committees formed solely to aid or 18 promote the success or defeat of a referendum question to be voted 19 upon by the electors of a single municipality and those political 20 committees or candidate committees formed to aid or promote the 21 success or defeat of any candidate for public office, other than those 22 enumerated in subsection (a) of this section, or the position of town 23 committee member shall be filed only with the town clerk of the 24 municipality in which the election or referendum is to be held. Each 25 unsalaried town clerk shall be entitled to receive ten cents from the 26 town for the filing of each such statement.]

27 [(c)] (b) A certification of a candidate who is exempt from the 28 requirement of subsection (a) of section 9-604 to form a candidate 29 committee shall be filed with the State Elections Enforcement 30 Commission. [if the candidate seeks an office enumerated in 31 subsection (a) of this section, or with the town clerk of the municipality 32 in which the election is to be held if the candidate seeks an office other 33 than those enumerated. A certification of a group of individuals who 34 have joined solely to aid or promote a referendum question and who 35 are exempt from the requirement to form a political committee under 36 section 9-605 shall be filed with the town clerk of each municipality in 37 which the referendum is to be held.]

[(d)] (c) On December 31, 2006, the duties of the Secretary of the State concerning the administration of campaign finance reporting under this chapter shall be transferred to the State Elections Enforcement Commission.

42 Sec. 2. Subsection (d) of section 9-604 of the general statutes is 43 repealed and the following is substituted in lieu thereof (*Effective* 44 *January* 1, 2027):

(d) A slate of candidates in a primary for the office of justice of thepeace shall designate a chairperson to form a single political committee

to comply with the requirements of section 9-605, except if the
individuals on the slate unanimously consent to have their campaign
financed solely by a town committee and such committee consents to
such financing by filing a statement of consent with the [town clerk of
the municipality in which the primary is to be held] <u>State Elections</u>
<u>Enforcement Commission</u>.

53 Sec. 3. Subsection (d) of section 9-608 of the general statutes is 54 repealed and the following is substituted in lieu thereof (*Effective* 55 *January 1, 2027*):

56 (d) At the time of filing statements required under this section, the 57 treasurer of each candidate committee shall send to the candidate a 58 duplicate statement and the treasurer of each party committee and 59 each political committee other than an exploratory committee shall 60 send to the chairman of the committee a duplicate statement. Each 61 statement required to be filed [with the commission] under this 62 section, section 9-601d, section 9-706 or section 9-712 shall be deemed 63 to be filed in a timely manner if: (1) For a statement filed as a hard 64 copy, including, but not limited to, a statement delivered by the United 65 States Postal Service, courier service, parcel service or hand delivery, 66 the statement is received by the commission by five o'clock p.m. on the 67 day the statement is required to be filed, (2) for a statement authorized 68 by the commission to be filed electronically, including, but not limited 69 to, a statement filed via dedicated electronic mail, facsimile machine, a 70 web-based program created by the commission or other electronic 71 means, the statement is transmitted to the commission not later than 72 eleven fifty-nine o'clock p.m. on the day the statement is required to be 73 filed, or (3) for a statement required to be filed pursuant to section 9-74 601d, section 9-706 or section 9-712, by the deadline specified in each 75 such section. [Any other filing required to be filed with a town clerk 76 pursuant to this section shall be deemed to be filed in a timely manner 77 if it is delivered by hand to the office of the town clerk in accordance 78 with the provisions of section 9-603 before four-thirty o'clock p.m. or 79 postmarked by the United States Postal Service before midnight on the

80 required filing day.] If the day for any filing falls on a Saturday, 81 Sunday or legal holiday, the statement shall be filed on the next 82 business day thereafter. The State Elections Enforcement Commission 83 shall not levy a penalty upon a treasurer for failure to file a hard copy 84 of a statement in a timely manner in accordance with the provisions of 85 this section if such treasurer has a copy of the statement time stamped 86 by the State Elections Enforcement Commission that shows timely 87 receipt of the statement or the treasurer has a return receipt from the 88 United States Postal Service or a similar receipt from a commercial 89 delivery service confirming timely delivery of such statement was 90 made or should have been made to said commission.

91 Sec. 4. Subdivision (2) of subsection (f) of section 9-610 of the general
92 statutes is repealed and the following is substituted in lieu thereof
93 (*Effective January 1, 2027*):

94 (2) A political committee established for ongoing political activities, 95 [and required pursuant to subsection (a) of section 9-603 to file 96 statements with the commission] other than a committee established 97 solely for the purpose of aiding or promoting any candidate or 98 candidates for municipal office or the success or defeat of a referendum question, shall be subject to the prohibition on making 99 100 contributions under subsection (e) of this section unless the treasurer 101 of the committee has filed a registration statement as described in 102 subsection (b) of section 9-605 with the commission, on forms 103 prescribed by the commission, on or before November 15, 2012, for all 104 such political committees in existence on such date, or, if the 105 committee is not in existence on such date, not later than ten days after 106 the organization of the committee pursuant to subsection (a) of section 107 9-605, and on or before November fifteenth of each even-numbered 108 year thereafter. Such statements shall be filed even if there are no 109 changes, additions or deletions to the registration statement previously 110 filed with the commission. Notwithstanding the provisions of this 111 subdivision, if an officer of the committee has changed since the last registration statement filed with the commission, such registration 112

113 statement shall be filed by the chairperson of the committee.

114 Sec. 5. Subsection (b) of section 9-623 of the general statutes is 115 repealed and the following is substituted in lieu thereof (*Effective* 116 *January* 1, 2027):

117 (b) (1) If any treasurer fails to file any statement required by section 118 9-608, as amended by this act, or if any candidate fails to file either (A) 119 a statement for the formation of a candidate committee as required by 120 section 9-604, as amended by this act, or (B) a certification pursuant to 121 section 9-603, as amended by this act, that the candidate is exempt 122 from forming a candidate committee as required by section 9-604, as 123 amended by this act, within the time required, the treasurer or 124 candidate, as the case may be, shall pay a late filing fee of one hundred 125 dollars.

126 (2) In the case of any such statement or certification that is required 127 to be filed with the State Elections Enforcement Commission, the 128 commission shall, not later than ten days after the filing deadline is, or 129 should be, known to have passed, notify by certified mail, return 130 receipt requested, the person required to file that, if such statement or 131 certification is not filed not later than twenty-one days after such 132 notice, the person is in violation of section 9-603, as amended by this 133 act, 9-604, as amended by this act, or 9-608, as amended by this act.

134 [(3) In the case of any such statement or certification that is required 135 to be filed with a town clerk, the town clerk shall forthwith after the 136 filing deadline is, or should be, known to have passed, notify by 137 certified mail, return receipt requested, the person required to file that, 138 if such statement or certification is not filed not later than seven days 139 after the town clerk mails such notice, the town clerk shall notify the 140 State Elections Enforcement Commission that the person is in violation 141 of section 9-603, 9-604 or 9-608.]

[(4)] (3) The penalty for any violation of section 9-603, as amended
by this act, 9-604, as amended by this act, or 9-608, as amended by this

act, shall be a fine of not less than two hundred dollars or more than
two thousand dollars or imprisonment for not more than one year, or
both.

147 Sec. 6. Section 9-624 of the general statutes is repealed and the 148 following is substituted in lieu thereof (*Effective January 1, 2027*):

[(a)] The State Elections Enforcement Commission shall prepare and
print the forms required for compliance with this chapter and
distribute them upon request to candidates and treasurers.

152 [(b) The State Elections Enforcement Commission shall, at the 153 expense of the state, prepare and print all forms for statements 154 required to be returned under the provisions of this chapter and shall 155 furnish to each town clerk a sufficient supply of each of such blank 156 forms as are required to be filed with or returned to the town clerk. 157 The town clerk of each town shall, upon request, distribute to 158 treasurers the forms required for compliance with this chapter and, if 159 not salaried, shall be entitled to receive from the town the sum of ten 160 cents for each copy.]

161 Sec. 7. Section 9-675 of the general statutes is repealed and the 162 following is substituted in lieu thereof (*Effective January 1, 2027*):

163 (a) The State Elections Enforcement Commission shall (1) create a 164 web-based program for the preparation and electronic submission of registration and financial disclosure statements required by chapters 165 166 155 to 157, inclusive, and (2) prescribe the standard reporting format 167 and specifications for any software program created by a vendor for 168 such purpose. No software program created by a vendor may be used for the electronic submission of such financial disclosure statements 169 170 unless the commission determines that the software program provides 171 for the standard reporting format and complies with the specifications 172 prescribed under subdivision (2) of this subsection for any such 173 software program. The commission shall provide training in the use of 174 the web-based program created by the commission.

(b) [On and after July 1, 2017, the] The following shall file all 175 176 financial disclosure statements required by chapters 155 to 157, 177 inclusive, by electronic submission pursuant to subsection (a) of this section: (1) The treasurer of the candidate committee or exploratory 178 179 committee for each candidate for nomination or election to [the office 180 Lieutenant Governor, Attorney General, of Governor, State 181 Comptroller, State Treasurer, Secretary of the State, state senator, state 182 representative or judge of probate that] public office or the position of 183 town committee member, which candidate committee or exploratory 184 committee raises or spends one thousand dollars or more, (2) the 185 treasurer of any state central committee, legislative caucus committee 186 or legislative leadership committee, (3) the treasurer of any other political committee or town committee [required to be registered with 187 188 the commission] that (A) raises or spends one thousand dollars or 189 more during the current calendar year, or (B) raised or spent one 190 thousand dollars or more in the preceding regular election cycle, and 191 (4) the treasurer of any committee, or any other person, who makes or 192 obligates to make any independent expenditure and who is required to 193 file a financial disclosure statement of any such independent 194 expenditure [with the State Elections Enforcement Commission] in 195 accordance with the provisions of section 9-601d. Once any such 196 candidate committee or exploratory committee has raised or spent one 197 thousand dollars or more during an election campaign, all previously 198 filed statements required by chapters 155 to 157, inclusive, which were 199 not filed by electronic submission shall be refiled in such manner not 200 later than the date on which the treasurer of such committee is 201 required to file its next financial disclosure statement.

(c) (1) The treasurer of the candidate committee for any other
candidate, as defined in section 9-601, that neither raises nor spends
one thousand dollars or more who is required to file the financial
disclosure statements required by chapters 155 to 157, inclusive, [with
the commission,] and (2) the treasurer of any other political committee
or town committee that neither raises nor spends one thousand dollars
or more who is required to file the financial disclosure statements

required by chapters 155 to 157, inclusive, [with the State Elections
Enforcement Commission] may file any such financial disclosure
statements by electronic submission pursuant to subsection (a) of this
section.

(d) All registration statements required by chapters 155 to 157,
 inclusive, shall be filed by electronic submission pursuant to
 subdivision (1) of subsection (a) of this section.

216 [(d)] (e) Notwithstanding the provisions of this section, upon the 217 written request of [a treasurer or any other] any person responsible for 218 filing any financial disclosure statement described in subdivisions (1) 219 to (4), inclusive, of subsection (b) of this section, or any registration 220 statement described in subsection (d) of this section, the commission 221 may waive the requirement to file by electronic submission pursuant 222 to subsection (a) of this section if such [treasurer or other] person 223 demonstrates good cause.

Sec. 8. Subsection (a) of section 9-703 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January* 1, 2027):

227 (a) Each candidate for nomination or election to the office of state 228 senator or state representative in 2008, or thereafter, or the office of 229 Governor, Lieutenant Governor, Attorney General, State Comptroller, 230 Secretary of the State or State Treasurer in 2010, or thereafter, shall file 231 an affidavit with the State Elections Enforcement Commission. The 232 affidavit shall include a written certification that the candidate either 233 intends to abide by the expenditure limits under the Citizens' Election 234 Program set forth in subsection (c) of section 9-702, or does not intend 235 to abide by said limits. If the candidate intends to abide by said limits, 236 the affidavit shall also include written certifications (1) that the 237 treasurer of the candidate committee for said candidate shall expend 238 any moneys received from the Citizens' Election Fund in accordance 239 with the provisions of subsection (g) of section 9-607 and regulations 240 adopted by the State Elections Enforcement Commission under

subsection (e) of section 9-706, (2) that the candidate shall repay to the 241 242 fund any such moneys that are not expended in accordance with 243 subsection (g) of section 9-607 and said regulations, (3) that the 244 candidate and the treasurer shall comply with the provisions of 245 subdivision (1) of subsection (a) of section 9-711, and (4) stating the 246 candidate's status as a major party, minor party or petitioning party 247 candidate and, in the case of a major party or minor party candidate, 248 the name of such party. The written certification described in 249 subdivision (3) of this subsection shall be made by both the candidate 250 and the treasurer of the candidate committee for said candidate. A 251 candidate for nomination or election to any such office shall file such 252 affidavit not later than four o'clock p.m. on the twenty-fifth day before 253 the day of a primary, if applicable, or on the fortieth day before the day 254 of the election for such office, except that in the case of a special 255 election for the office of state senator or state representative, the 256 candidate shall file such affidavit not later than four o'clock p.m. on the 257 twenty-fifth day before the day of such special election. 258 Notwithstanding the provisions of this subsection, a candidate who is 259 not required to form a candidate committee pursuant to subdivision 260 (3) or (4) of subsection (b) of section 9-604, files a certification with the 261 commission pursuant to subsection [(c)] (b) of section 9-603, as 262 amended by this act, and does not intend to participate in the Citizens' 263 Election Program shall not be required to file such affidavit of intent 264 not to abide by the expenditure limits of said program. Any such 265 candidate shall be referred to as a nonparticipating candidate, in 266 accordance with subsection (b) of this section.

This act shall take effect as follows and shall amend the following sections:			
Section 1	January 1, 2027	9-603	
Sec. 2	January 1, 2027	9-604(d)	
Sec. 3	January 1, 2027	9-608(d)	
Sec. 4	January 1, 2027	9-610(f)(2)	
Sec. 5	January 1, 2027	9-623(b)	
Sec. 6	January 1, 2027	9-624	

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Sec. 7	January 1, 2027	9-675
Sec. 8	January 1, 2027	9-703(a)

GAE Joint Favorable