

Raised Bill No. 1105

January Session, 2019

Referred to Committee on ADMINISTRATION AND ELECTIONS

on GOVERNMENT NS

LCO No. 6353

Introduced by: (GAE)

## AN ACT CONCERNING THE CONFIDENTIALITY OF LAW ENFORCEMENT RECORDS CONCERNING VICTIMS OF SEXUAL ASSAULT AND FAMILY VIOLENCE.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. Subsection (b) of section 1-210 of the general statutes is
   repealed and the following is substituted in lieu thereof (*Effective October 1, 2019*):
- 4 (b) Nothing in the Freedom of Information Act shall be construed to5 require disclosure of:
- 6 (1) Preliminary drafts or notes provided the public agency has 7 determined that the public interest in withholding such documents 8 clearly outweighs the public interest in disclosure;
- 9 (2) Personnel or medical files and similar files the disclosure of 10 which would constitute an invasion of personal privacy;
- 11 (3) Records of law enforcement agencies not otherwise available to 12 the public which records were compiled in connection with the

13 detection or investigation of crime, if the disclosure of [said] such 14 records would not be in the public interest because it would result in 15 the disclosure of (A) the identity of informants not otherwise known or 16 the identity of witnesses not otherwise known whose safety would be 17 endangered or who would be subject to threat or intimidation if their 18 identity was made known, (B) the identity of minor witnesses, (C) 19 signed statements of witnesses, (D) information to be used in a 20 prospective law enforcement action if prejudicial to such action, (E) 21 investigatory techniques not otherwise known to the general public, 22 (F) arrest records of a juvenile, which shall also include any 23 investigatory files, concerning the arrest of such juvenile, compiled for 24 law enforcement purposes, (G) the name and address of the victim of a 25 sexual assault under section 53a-70, 53a-70a, 53a-71, 53a-72a, 53a-72b 26 or 53a-73a, voyeurism under section 53a-189a, [or] injury or risk of 27 injury, or impairing of morals under section 53-21 or family violence, 28 as defined in section 46b-38a, or of an attempt thereof, or (H) 29 uncorroborated allegations subject to destruction pursuant to section 1-30 216;

(4) Records pertaining to strategy and negotiations with respect to
pending claims or pending litigation to which the public agency is a
party until such litigation or claim has been finally adjudicated or
otherwise settled;

35 (5) (A) Trade secrets, which for purposes of the Freedom of 36 Information Act, are defined as information, including formulas, 37 patterns, compilations, programs, devices, methods, techniques, 38 processes, drawings, cost data, customer lists, film or television scripts 39 or detailed production budgets that (i) derive independent economic 40 value, actual or potential, from not being generally known to, and not 41 being readily ascertainable by proper means by, other persons who can 42 obtain economic value from their disclosure or use, and (ii) are the 43 subject of efforts that are reasonable under the circumstances to 44 maintain secrecy; and

45 (B) Commercial or financial information given in confidence, not

46 required by statute;

47 (6) Test questions, scoring keys and other examination data used to
48 administer a licensing examination, examination for employment or
49 academic examinations;

50 (7) The contents of real estate appraisals, engineering or feasibility 51 estimates and evaluations made for or by an agency relative to the 52 acquisition of property or to prospective public supply and 53 construction contracts, until such time as all of the property has been 54 acquired or all proceedings or transactions have been terminated or 55 abandoned, provided the law of eminent domain shall not be affected 56 by this provision;

(8) Statements of personal worth or personal financial data required
by a licensing agency and filed by an applicant with such licensing
agency to establish the applicant's personal qualification for the
license, certificate or permit applied for;

61 (9) Records, reports and statements of strategy or negotiations with62 respect to collective bargaining;

63 (10) Records, tax returns, reports and statements exempted by 64 federal law or the general statutes or communications privileged by 65 the attorney-client relationship, marital relationship, clergy-penitent 66 relationship, doctor-patient relationship, therapist-patient relationship 67 or any other privilege established by the common law or the general 68 statutes, including any such records, tax returns, reports or 69 communications that were created or made prior to the establishment 70 of the applicable privilege under the common law or the general 71 statutes;

(11) Names or addresses of students enrolled in any public school or college without the consent of each student whose name or address is to be disclosed who is eighteen years of age or older and a parent or guardian of each such student who is younger than eighteen years of age, provided this subdivision shall not be construed as prohibiting the disclosure of the names or addresses of students enrolled in any public
school in a regional school district to the board of selectmen or town
board of finance, as the case may be, of the town wherein the student
resides for the purpose of verifying tuition payments made to such
school;

82 (12) Any information obtained by the use of illegal means;

(13) Records of an investigation or the name of an employee
providing information under the provisions of section 4-61dd or
sections 4-276 to 4-280, inclusive;

86 (14) Adoption records and information provided for in sections 45a87 746, 45a-750 and 45a-751;

88 (15) Any page of a primary petition, nominating petition, 89 referendum petition or petition for a town meeting submitted under 90 any provision of the general statutes or of any special act, municipal 91 charter or ordinance, until the required processing and certification of 92 such page has been completed by the official or officials charged with 93 such duty after which time disclosure of such page shall be required;

94 (16) Records of complaints, including information compiled in the
95 investigation thereof, brought to a municipal health authority pursuant
96 to chapter 368e or a district department of health pursuant to chapter
97 368f, until such time as the investigation is concluded or thirty days
98 from the date of receipt of the complaint, whichever occurs first;

99 (17) Educational records which are not subject to disclosure under100 the Family Educational Rights and Privacy Act, 20 USC 1232g;

101 (18) Records, the disclosure of which the Commissioner of 102 Correction, or as it applies to Whiting Forensic Hospital, the 103 Commissioner of Mental Health and Addiction Services, has 104 reasonable grounds to believe may result in a safety risk, including the 105 risk of harm to any person or the risk of an escape from, or a disorder 106 in, a correctional institution or facility under the supervision of the 107 Department of Correction or Whiting Forensic Hospital. Such records108 shall include, but are not limited to:

109 (A) Security manuals, including emergency plans contained or110 referred to in such security manuals;

(B) Engineering and architectural drawings of correctionalinstitutions or facilities or Whiting Forensic Hospital facilities;

113 (C) Operational specifications of security systems utilized by the 114 Department of Correction at any correctional institution or facility or 115 Whiting Forensic Hospital facilities, except that a general description 116 of any such security system and the cost and quality of such system 117 may be disclosed;

(D) Training manuals prepared for correctional institutions and
facilities or Whiting Forensic Hospital facilities that describe, in any
manner, security procedures, emergency plans or security equipment;

(E) Internal security audits of correctional institutions and facilitiesor Whiting Forensic Hospital facilities;

(F) Minutes or recordings of staff meetings of the Department of Correction or Whiting Forensic Hospital facilities, or portions of such minutes or recordings, that contain or reveal information relating to security or other records otherwise exempt from disclosure under this subdivision;

(G) Logs or other documents that contain information on the
movement or assignment of inmates or staff at correctional institutions
or facilities; and

(H) Records that contain information on contacts between inmates,as defined in section 18-84, and law enforcement officers;

(19) Records when there are reasonable grounds to believedisclosure may result in a safety risk, including the risk of harm to any

5 of 9

135 person, any government-owned or leased institution or facility or any 136 fixture or appurtenance and equipment attached to, or contained in, 137 such institution or facility, except that such records shall be disclosed 138 to a law enforcement agency upon the request of the law enforcement 139 agency. Such reasonable grounds shall be determined (A) (i) by the 140 Commissioner of Administrative Services, after consultation with the 141 chief executive officer of an executive branch state agency, with respect 142 to records concerning such agency; and (ii) by the Commissioner of 143 Emergency Services and Public Protection, after consultation with the 144 chief executive officer of a municipal, district or regional agency, with respect to records concerning such agency; (B) by the Chief Court 145 146 Administrator with respect to records concerning the Judicial 147 Department; and (C) by the executive director of the Joint Committee 148 on Legislative Management, with respect to records concerning the 149 Legislative Department. As used in this section, "government-owned 150 or leased institution or facility" includes, but is not limited to, an 151 institution or facility owned or leased by a public service company, as 152 defined in section 16-1, other than a water company, as defined in 153 section 25-32a, a certified telecommunications provider, as defined in 154 section 16-1, or a municipal utility that furnishes electric or gas service, 155 but does not include an institution or facility owned or leased by the 156 federal government, and "chief executive officer" includes, but is not 157 limited to, an agency head, department head, executive director or 158 chief executive officer. Such records include, but are not limited to:

159 (i) Security manuals or reports;

(ii) Engineering and architectural drawings of government-ownedor leased institutions or facilities;

(iii) Operational specifications of security systems utilized at any
government-owned or leased institution or facility, except that a
general description of any such security system and the cost and
quality of such system may be disclosed;

166 (iv) Training manuals prepared for government-owned or leased

167 institutions or facilities that describe, in any manner, security168 procedures, emergency plans or security equipment;

169 (v) Internal security audits of government-owned or leased170 institutions or facilities;

(vi) Minutes or records of meetings, or portions of such minutes or
records, that contain or reveal information relating to security or other
records otherwise exempt from disclosure under this subdivision;

(vii) Logs or other documents that contain information on themovement or assignment of security personnel; and

(viii) Emergency plans and emergency preparedness, response,
recovery and mitigation plans, including plans provided by a person
to a state agency or a local emergency management agency or official.

(20) Records of standards, procedures, processes, software and
codes, not otherwise available to the public, the disclosure of which
would compromise the security or integrity of an information
technology system;

(21) The residential, work or school address of any participant in the
address confidentiality program established pursuant to sections 54240 to 54-240o, inclusive;

(22) The electronic mail address of any person that is obtained by
the Department of Transportation in connection with the
implementation or administration of any plan to inform individuals
about significant highway or railway incidents;

(23) The name or address of any minor enrolled in any parks andrecreation program administered or sponsored by any public agency;

(24) Responses to any request for proposals or bid solicitation issued
by a public agency or any record or file made by a public agency in
connection with the contract award process, until such contract is

195 executed or negotiations for the award of such contract have ended, 196 whichever occurs earlier, provided the chief executive officer of such 197 public agency certifies that the public interest in the disclosure of such 198 responses, record or file is outweighed by the public interest in the 199 confidentiality of such responses, record or file;

200 (25) The name, address, telephone number or electronic mail 201 address of any person enrolled in any senior center program or any 202 member of a senior center administered or sponsored by any public 203 agency;

204 (26) All records obtained during the course of inspection, 205 investigation, examination and audit activities of an institution, as 206 defined in section 19a-490, that are confidential pursuant to a contract 207 between the Department of Public Health and the United States 208 Department of Health and Human Services relating to the Medicare 209 and Medicaid programs;

210 (27) Any record created by a law enforcement agency or other 211 federal, state, or municipal governmental agency consisting of a 212 photograph, film, video or digital or other visual image depicting the 213 victim of a homicide, to the extent that such record could reasonably 214 be expected to constitute an unwarranted invasion of the personal 215 privacy of the victim or the victim's surviving family members;

216 (28) Any documentation provided to or obtained by an executive 217 branch agency, including documentation provided or obtained prior to 218 May 25, 2016, relating to claims of faulty or failing concrete 219 foundations in residential buildings by the owners of such residential 220 buildings, and documents prepared by an executive branch agency 221 relating to such documentation, for seven years after the date of receipt 222 of the documentation or seven years after May 25, 2016, whichever is 223 later.

224 Sec. 2. Subsection (b) of section 1-215 of the general statutes is 225 repealed and the following is substituted in lieu thereof (Effective

226 October 1, 2019):

227 (b) Notwithstanding any provision of the general statutes, and 228 except as otherwise provided in this section, any record of the arrest of 229 any person shall be a public record from the time of such arrest and 230 shall be disclosed in accordance with the provisions of section 1-212 231 and subsection (a) of section 1-210. No law enforcement agency shall 232 redact any record of the arrest of any person, except for (1) the identity 233 of witnesses, (2) the name, address or other identifying information of 234 any victim of sexual assault under section 53a-70, 53a-70a, 53a-71, 53a-235 72a, 53a-72b or 53a-73a, voyeurism under section 53a-189a, injury or risk of injury, or impairing of morals under section 53-21 or family 236 237 violence, as defined in section 46b-38a, or of an attempt thereof, [(2)] 238 (3) specific information about the commission of a crime, the disclosure 239 of which the law enforcement agency reasonably believes may 240 prejudice a pending prosecution or a prospective law enforcement 241 action, or [(3)] (4) any information that a judicial authority has ordered 242 to be sealed from public inspection or disclosure. Any personal 243 possessions or effects found on a person at the time of such person's 244 arrest shall not be disclosed unless such possessions or effects are 245 relevant to the crime for which such person was arrested.

This act shall take effect as follows and shall amend the following<br/>sections:Section 1October 1, 20191-210(b)Sec. 2October 1, 20191-215(b)

## GAE Joint Favorable