



General Assembly

January Session, 2019

**Substitute Bill No. 1130**



**AN ACT CONCERNING VARIOUS INITIATIVES AT THE UNIVERSITY OF CONNECTICUT.**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. (NEW) (*Effective July 1, 2019*) In addition to the object set  
2 forth in section 10a-102 of the general statutes for The University of  
3 Connecticut, said university and the president and Board of Trustees  
4 of said university shall seek to educate students in studies and  
5 disciplines designed to meet the present and projected future needs of  
6 the state's workforce and to promote the state's economic development  
7 by assisting in the establishment of new businesses in the state based  
8 on university-driven innovations and facilitating the transfer and  
9 accessibility of university-driven technology and patents to such new  
10 businesses.

11 Sec. 2. Section 10a-103 of the general statutes is repealed and the  
12 following is substituted in lieu thereof (*Effective July 1, 2019*):

13 (a) There shall continue to be a Board of Trustees for The University  
14 of Connecticut to consist of twenty-one persons, twelve to be  
15 appointed by the Governor, who shall reflect the state's geographic,  
16 racial and ethnic diversity and fulfill the qualifications set forth in  
17 subparagraph (B) of subdivision (1) of this subsection; two to be  
18 elected by the university alumni; two to be elected by the students  
19 enrolled at the institutions under the jurisdiction of said board; and  
20 five members ex officio.

21     (1) (A) On or before July 1, 1983, the Governor shall appoint  
22 members to the board as follows: Four members for a term of two  
23 years from said date; four members for a term of four years from said  
24 date; and four members for a term of six years from said date.  
25 Thereafter, the Governor shall appoint trustees of said university to  
26 succeed those appointees whose terms expire, and each trustee so  
27 appointed shall hold office for a period of six years from the first day  
28 of July in the year of his or her appointment, provided two of the  
29 trustees appointed for terms commencing July 1, 1995, and their  
30 successors shall be alumni of the university, one of the trustees  
31 appointed for a term commencing July 1, 1997, and his or her  
32 successors shall be such alumni and one of the members appointed for  
33 a term commencing July 1, 1999, and his or her successors shall be such  
34 alumni.

35     (B) At least two of the members appointed by the Governor for a  
36 term commencing on or after July 1, 2019, shall have experience  
37 recognized by said university as an entrepreneur or an investor in  
38 business ventures.

39     (2) The Commissioner of Agriculture, the Commissioner of  
40 Education, the Commissioner of Economic and Community  
41 Development and the chairperson of The University of Connecticut  
42 Health Center Board of Directors shall be, ex officio, members of the  
43 board of trustees. The Governor shall be, ex officio, president of said  
44 board.

45     (b) (1) The graduates of all of the schools and colleges of said  
46 university shall, prior to September first in the odd-numbered years,  
47 elect one trustee, who shall be a graduate of the institution and who  
48 shall hold office for four years from the first day of September  
49 succeeding his or her election. Not less than two or more than four  
50 nominations for each such election shall be made by the alumni  
51 association of said university, provided no person who has served as  
52 an alumni trustee for the two full consecutive terms immediately prior  
53 to the term for which such election is to be held shall be nominated for

54 any such election. Such election shall be conducted by mail prior to  
55 September first under the supervision of a canvassing board consisting  
56 of three members, one appointed by the board of trustees, one by the  
57 board of directors of the alumni association of the university and one  
58 by the president of the university. No ballot in such election shall be  
59 opened until the date by which ballots must be returned to the  
60 canvassing board. In such election, all graduates shall be entitled to  
61 vote by signed ballots which have been circulated to them by mail and  
62 which shall be returned by mail. Vacancies occurring by death or  
63 resignation of either of such alumni trustees shall be filled for the  
64 unexpired portion of the term by special election, if such unexpired  
65 term is for more than eighteen months. When the unexpired term is  
66 eighteen months or less, such vacancy shall be filled by appointment  
67 by the board of directors of said alumni association.

68     (2) On or before November 1, 1975, the students of The University of  
69 Connecticut shall, in such manner as the board of trustees of said  
70 university shall determine, elect two trustees, each of whom shall be  
71 enrolled as a full-time student of said university at the time of his or  
72 her election. One such member shall be elected for a term of one year  
73 from November 1, 1975, and one for a term of two years from said  
74 date. Prior to July first, annually, such students shall, in accordance  
75 with this section and in such manner as the board shall determine,  
76 elect one member of said board, who shall be so enrolled at said  
77 university at the time of his or her election and who shall serve for a  
78 term of two years from July first in the year of his or her election. The  
79 student member elected to fill the term expiring on June 30, 2003, and  
80 such elected member's successors, shall be enrolled as a full-time  
81 undergraduate student at a school or college of the university and shall  
82 be elected by the undergraduate students of the schools and colleges of  
83 the university. The student member elected to fill the term expiring on  
84 June 30, 2004, and such elected member's successors, shall be enrolled  
85 as a full-time student in the School of Law, the School of Medicine, the  
86 School of Dentistry, the School of Social Work, or as a graduate student  
87 of a school or college of the university, and shall be elected by the

88 students of the School of Law, the School of Medicine, the School of  
89 Dentistry, the School of Social Work and the graduate students of the  
90 schools and colleges of the university. Any student member elected  
91 after July 1, 2013, shall be enrolled as a full-time student at the time of  
92 his or her election and for the duration of his or her term of service.  
93 Any vacancies in the elected membership of said board shall, except as  
94 otherwise provided in this section, be filled by special election for the  
95 balance of the unexpired term.

96 Sec. 3. Section 10a-104 of the general statutes is repealed and the  
97 following is substituted in lieu thereof (*Effective July 1, 2019*):

98 (a) The Board of Trustees of The University of Connecticut shall: (1)  
99 Make rules for the government of the university and shall determine  
100 the general policies of the university, including those concerning the  
101 admission of students and the establishment of schools, colleges,  
102 divisions and departments, which policies shall be consistent with the  
103 goals identified in section 10a-11c, and shall direct the expenditure of  
104 the university's funds within the amounts available; (2) develop the  
105 mission statement for The University of Connecticut, and all campuses  
106 thereof, that shall be consistent with such goals and include, but not be  
107 limited to, the following elements: (A) The educational needs of and  
108 constituencies served by said university and campuses; (B) the degrees  
109 offered by said university; and (C) the role and scope of each  
110 institution and campus within the university system, which shall  
111 include each institution's and campus' particular strengths and  
112 specialties; (3) establish policies for the university system and for the  
113 individual institutions and campuses under its jurisdiction; (4) review  
114 and approve recommendations for the establishment of new academic  
115 programs; (5) report all new programs and program changes to the  
116 Office of Higher Education; (6) make recommendations, when  
117 appropriate, regarding institutional or campus mergers or closures; (7)  
118 coordinate the programs and services of the institutions and campuses  
119 under its jurisdiction; (8) be authorized to enter into agreements,  
120 consistent with the provisions of section 5-141d, to save harmless and

121 indemnify sponsors of research grants to The University of  
122 Connecticut, provided such an agreement is required to receive the  
123 grant and limits liability to damages or injury resulting from acts or  
124 omissions related to such research by employees of the university; (9)  
125 promote fund-raising to assist the university and report to the  
126 executive director of the Office of Higher Education and the joint  
127 standing committee of the General Assembly having cognizance of  
128 matters relating to education by January 1, 1994, and biennially  
129 thereafter, on such fund-raising; (10) charge the direct costs for a  
130 building project under its jurisdiction to the bond fund account for  
131 such project, provided (A) such costs are charged in accordance with a  
132 procedure approved by the Treasurer and (B) nothing in this  
133 subdivision shall permit the charging of working capital costs, as  
134 defined in the applicable provisions of the Internal Revenue Code of  
135 1986, or any subsequent corresponding internal revenue code of the  
136 United States, as from time to time amended, or costs originally paid  
137 from sources other than the bond fund account; (11) exercise the  
138 powers delegated to it pursuant to section 10a-109d; and (12) establish  
139 by October 1, 1997, policies governing the acceptance of gifts made by  
140 a foundation established pursuant to sections 4-37e and 4-37f to the  
141 university or its employees for reimbursement of expenditures or  
142 payment of expenditures on behalf of the university or its employees.

143 (b) The board of trustees shall: (1) Review and approve institutional  
144 budget requests and prepare and submit to the Secretary of the Office  
145 of Policy and Management the budget request for the university and  
146 all campuses thereof; (2) propose facility planning and capital  
147 expenditure budget priorities for the institutions under its jurisdiction;  
148 (3) fulfill requirements concerning the auditing and review of projects  
149 of UCONN 2000 in accordance with sections 10a-109z to 10a-109bb,  
150 inclusive; (4) establish the construction assurance office in accordance  
151 with section 10a-109cc; and (5) exercise the powers delegated to it in  
152 section 10a-109d. The board may request authority from the Treasurer  
153 to issue payment for claims against the university, other than a  
154 payment for payroll, debt service payable on state bonds to

155 bondholders, paying agents, or trustees, or any payment the source of  
156 which includes the proceeds of a state bond issue.

157 (c) The board of trustees may create a board of directors for the  
158 governance of The University of Connecticut Health Center and may  
159 delegate such duties and authority as it deems necessary and  
160 appropriate to said board of directors. The board of directors shall  
161 include members of the board of trustees designated by the  
162 chairperson of the board of trustees and such other persons as the  
163 board of trustees deems appropriate.

164 (d) There is established a standing subcommittee of the board of  
165 trustees responsible for addressing entrepreneurial issues. Members of  
166 the subcommittee shall include, at a minimum, the two board  
167 members appointed with entrepreneurial experience described in  
168 subparagraph (B) of subdivision (1) of subsection (a) of section 10a-103,  
169 as amended by this act, and shall not include more than seven board  
170 members. The subcommittee shall be responsible for drafting all initial  
171 resolutions for the fulfillment of the board of trustees' responsibilities  
172 relating to entrepreneurship at the university. Subcommittee members  
173 shall appear annually before the joint standing committees of the  
174 General Assembly having cognizance of matters relating to higher  
175 education, commerce and finance, revenue and bonding to report on  
176 the subcommittee's activities and the university's related performance.

177 Sec. 4. Section 10a-108 of the general statutes is repealed and the  
178 following is substituted in lieu thereof (*Effective July 1, 2019*):

179 (a) The board of trustees shall appoint a president of the university.  
180 Said president shall be the chief executive and administrative officer of  
181 the university and of the board of trustees. Said president shall carry  
182 out the policies and enforce the rules adopted by the board of trustees  
183 and shall have the authority necessary therefor. The board of trustees  
184 may employ the faculty and other personnel needed to operate and  
185 maintain the institutions under its jurisdiction. Within the limitation of  
186 appropriations, the board of trustees shall fix the compensation of such

187 personnel, establish terms and conditions of employment and  
188 prescribe their duties and qualifications. Said board shall determine  
189 who constitutes its professional staff and establish compensation and  
190 classification schedules for its professional staff. The board shall  
191 annually submit to the Commissioner of Administrative Services a list  
192 of the positions which it has included within the professional staff.

193 (b) The president of The University of Connecticut, in consultation  
194 with the board of trustees, shall establish the position of Vice President  
195 for Innovation and Entrepreneurship at The University of Connecticut.  
196 The vice president shall report directly to the president. The vice  
197 president's duties shall include, but need not be limited to, assisting  
198 the president in the implementation of all of the president's duties and  
199 responsibilities relating to entrepreneurship and investment at the  
200 university. The president shall not hire any person as vice president  
201 under this section unless such person demonstrates recent experience  
202 in the development or growing of an entrepreneurial culture at The  
203 University of Connecticut or another institution of higher education.

204 Sec. 5. Section 10a-104c of the general statutes is repealed and the  
205 following is substituted in lieu thereof (*Effective July 1, 2019*):

206 (a) The Board of Trustees of The University of Connecticut shall  
207 develop, continuously maintain and revise from time to time a  
208 program to facilitate the recruitment of eminent faculty and their  
209 research staffs to the university. Such program shall support economic  
210 development in the state through commercialization of faculty  
211 research and promote core [competency areas] sectors of the state  
212 economy by accelerating the pace of applied research and  
213 development. Such program shall supplement the compensation of  
214 such faculty and related costs of personnel and materials needed to  
215 secure such faculty for the university. Eligibility shall be limited to  
216 scientists who have demonstrated excellence in their field of research  
217 and have an interest in working collaboratively with other scientists at  
218 the university and [an] a demonstrated interest in past and future  
219 commercialization of their research.

220 [(b) No funds shall be expended under this section until the  
221 president of The University of Connecticut certifies to the Secretary of  
222 the Office of Policy and Management that the university or the  
223 university's foundation established pursuant to sections 4-37e and 4-  
224 37f has received written commitments for financial support from  
225 industry or other sources of not less than two million dollars for  
226 purposes identified in subsection (a) of this section.]

227 (b) Not later than November 15, 2019, said board shall develop and  
228 implement a plan for the recruitment and hiring of faculty who have  
229 experience as entrepreneurs or in the commercialization of research.  
230 Such plan shall include related recruitment and hiring goals for each  
231 science, technology, engineering and math department of said  
232 university and shall set a goal of achieving the entrepreneurship ratio,  
233 established in the final report under section 8 of this act, not later than  
234 January 1, 2026.

235 Sec. 6. (NEW) (*Effective July 1, 2019*) (a) The Board of Trustees of The  
236 University of Connecticut and the president of The University of  
237 Connecticut shall conduct all business on behalf of The University of  
238 Connecticut in accordance with the objectives set forth in section 10a-  
239 102 of the general statutes and section 1 of this act.

240 (b) On and after July 1, 2019, the Board of Trustees of The University  
241 of Connecticut shall include, in all marketing and promotional  
242 materials for student recruitment, information that highlights the  
243 entrepreneurial activity of the university's students and faculty,  
244 including profiles of successful student or faculty-led ventures,  
245 entrepreneurial course offerings, services and programming for the  
246 support of entrepreneurial student efforts, and the existence of any  
247 entrepreneurial clubs or fraternities. The board shall utilize social  
248 media for such marketing and promotional efforts.

249 (c) The Board of Trustees of The University of Connecticut shall  
250 release for open, public use and freely license any and all patents held  
251 by the board that have not been utilized for commercialization or



252 otherwise licensed for a period of ten years or more.

253 (d) The Board of Trustees of The University of Connecticut and the  
254 president of The University of Connecticut shall build and foster,  
255 through a series of activities, a culture of innovation and  
256 entrepreneurship among the students enrolled at each campus of The  
257 University of Connecticut, in accordance with the objectives of section  
258 1 of this act. Such activities shall include, but need not be limited to,  
259 organizing and hosting regular networking events for student and  
260 faculty entrepreneurs, and the support of relevant student clubs and  
261 organizations.

262 (e) The Board of Trustees of The University of Connecticut and the  
263 president of The University of Connecticut shall seek to build  
264 entrepreneurial relationships between The University of Connecticut  
265 and other public or independent institutions of higher education in the  
266 state that are engaged in entrepreneurial efforts, including, but not  
267 limited to, Yale University.

268 (f) Not later than January 1, 2021, the Board of Trustees of The  
269 University of Connecticut shall design and implement a mentorship  
270 initiative to cultivate a robust group of alumni and faculty of The  
271 University of Connecticut who have significant experience in  
272 entrepreneurship and other prominent entrepreneurs in the state, to  
273 mentor and advise students and other faculty of the university in the  
274 creation and growth of new business ventures. Such mentorship  
275 initiative shall be (1) developed in coordination with and integrated  
276 into any entrepreneur-in-residence or mentorship initiatives  
277 administered by CTNext, established pursuant to section 32-39f of the  
278 general statutes, (2) revised subject to, or developed in accordance  
279 with, recommendations contained in the final report approved by the  
280 board of trustees pursuant to section 8 of this act, and (3) modeled on  
281 comparable programs at the Massachusetts Institute of Technology.  
282 The board of trustees shall make all reasonable efforts to engage and  
283 consult with the Massachusetts Institute of Technology to design such  
284 mentorship initiative, including making any payments for consulting

285 fees that may be required.

286 Sec. 7. Section 10a-10900 of the general statutes is repealed and the  
287 following is substituted in lieu thereof (*Effective July 1, 2019*):

288 (a) The University of Connecticut shall develop a comprehensive  
289 plan to guide Next Generation Connecticut investments. The  
290 university shall develop such plan in consultation with: (1) An  
291 industry advisory board selected by the university that shall be  
292 representative of the state's science, technology, engineering and math-  
293 related industries and shall include, but not be limited to, chief science  
294 officers or chief technology officers from such industries; (2) an  
295 independent research and development advisory firm selected by the  
296 university; (3) university academic leaders; (4) federal and private  
297 funding agencies; and (5) research and innovation benchmarks  
298 identified by the university and an analysis of the university's progress  
299 in meeting such benchmarks in comparison to nationally ranked  
300 research universities. Such plan shall identify strategic growth areas  
301 for the research, innovation, workforce and economic development  
302 needs of Connecticut. The industry advisory board, independent  
303 research and development advisory firm and university academic  
304 leaders shall seek input from the chairpersons and ranking members of  
305 the joint standing committees of the General Assembly having  
306 cognizance of matters relating to finance, revenue and bonding,  
307 commerce and higher education prior to the completion of the plan.  
308 The Board of Trustees of The University of Connecticut shall review  
309 and approve such plan not later than July 1, 2014.

310 (b) Not later than thirty days after the date the final report under  
311 section 8 of this act is approved by the board of trustees, The  
312 University of Connecticut shall revise the comprehensive plan to  
313 include provisions for the construction or renovation of a facility on  
314 each campus of the university, not more than one-quarter mile from  
315 the student union or student center of the campus, that is designed to  
316 meet the needs of student entrepreneurs and to serve as a gathering  
317 place for such students and other persons who support such student's

318 ventures. Such facility shall not be adjoined to a dormitory and shall be  
319 designed and located conveniently to attract and encourage its use by  
320 student entrepreneurs and faculty affiliated with any and all schools  
321 and departments of the university, as well as their guests from outside  
322 the university.

323       Sec. 8. (Effective July 1, 2019) (a) (1) The University of Connecticut  
324 and the CTNext board of directors shall jointly approve the hiring of a  
325 consultant, to be paid from the operating expenses funds of The  
326 University of Connecticut or from UConn 2000 or Next Generation  
327 Connecticut funds, to conduct a study jointly with representatives of  
328 said university appointed by the president of said university. Such  
329 study shall review the technology transfer policies used at leading  
330 institutions of higher education renowned for innovation and  
331 entrepreneurship, including, but not limited to, Stanford University  
332 and the Massachusetts Institute of Technology. The president and the  
333 board of trustees of said university shall provide to such consultant  
334 any information requested by such consultant for the purposes of this  
335 section.

336       (2) The consultant and university representatives shall be advised  
337 and guided by an advisory panel of entrepreneurs and business  
338 leaders, appointed as follows: (A) Four members appointed by the  
339 board of trustees, and (B) three members appointed by the CTNext  
340 board of directors.

341       (b) The consultant and university representatives shall select those  
342 policies such consultant and representatives deem to be the best and  
343 develop recommendations for reforming the technology transfer  
344 policies of The University of Connecticut. Such study shall include (1)  
345 plans to maximize the number of new business ventures formed  
346 through the commercialization of faculty and student research, giving  
347 greater priority to the financial interests of such business ventures than  
348 to the recoupment of patent-related or other royalties or profit for The  
349 University of Connecticut, (2) plans to focus The University of  
350 Connecticut's technology transfer policies on start-up business

351 formation rather than patent licensing, (3) recommendations for  
352 staffing levels and staff organization to achieve such plans, and (4) any  
353 other recommendations requested by the board of trustees or the  
354 CTNext board of directors. Any remuneration paid to The University  
355 of Connecticut as a result of such new business ventures shall be used  
356 to encourage further formation or growth of other new business  
357 ventures.

358 (c) The consultant and university representatives shall conduct a  
359 review of (1) the current policies of The University of Connecticut for  
360 the fostering of faculty and student entrepreneurship, and (2) the  
361 proportion of the total activities of The University of Connecticut  
362 School of Business that is oriented toward the creation and support of  
363 new business ventures.

364 (d) The consultant and university representatives shall prepare a  
365 report for submission to the CTNext board of directors and shall solicit  
366 input from the Higher Education Entrepreneurship Advisory  
367 Committee established pursuant to section 32-39t of the general  
368 statutes and, at not less than one meeting of the working group  
369 established pursuant to section 32-39s of the general statutes, from  
370 members of such working group. The report shall include:

371 (1) Recommendations for (A) the continuous improvement of the  
372 policies set forth under subdivision (1) of subsection (b) of this section,  
373 taking into consideration the best practices of entrepreneurship at  
374 institutions studied under subsection (a) of this section, and (B)  
375 actionable measures to make the creation and support of new business  
376 ventures a primary consideration in all activities of, and for all degree  
377 programs offered at, The University of Connecticut School of Business;

378 (2) (A) Recommendations, if any, of changes to the recruitment and  
379 hiring plan and goals set forth in subsection (b) of section 10a-104c of  
380 the general statutes, as amended by this act, and (B) establishment of  
381 an entrepreneurship ratio of faculty members who teach  
382 entrepreneurship to the overall student body, which matches that of

383 leading universities;

384 (3) Consideration of all funds available to The University of  
385 Connecticut and legislative recommendations, if any, to changes in the  
386 funding levels of said university;

387 (4) Development of a comprehensive plan of metrics to be used for  
388 the tracking and analysis of said university's success in facilitating the  
389 creation of new business ventures based on faculty and student  
390 research. Such metrics shall (A) be identified in part through a review  
391 of similar metrics tracked by leading institutions of higher education  
392 renowned for innovation and entrepreneurship, including, but not  
393 limited to, Stanford University, the Massachusetts Institute of  
394 Technology, Princeton University and the University of Michigan, and  
395 (B) include methods to track the economic impact of entrepreneurs  
396 who are among the faculty and alumni of The University of  
397 Connecticut; and

398 (5) An analysis of comparative rankings made by qualified experts  
399 of institutions of higher education in the United States, with respect to  
400 such institutions' success in innovation and entrepreneurship,  
401 including a ranking of The University of Connecticut, and  
402 identification of a ranking or a range of rankings said university shall  
403 seek to attain.

404 (e) Not later than January 1, 2020, the consultant and university  
405 representatives shall submit the report to the Board of Trustees of The  
406 University of Connecticut. Not later than January 31, 2020, the board of  
407 trustees shall either approve such report or request modifications to  
408 such report. The consultant and university representatives shall be  
409 provided thirty days after receiving a request for modifications to  
410 submit a revised draft report to the board of trustees. Upon final  
411 approval of the report, the board of trustees shall submit such report,  
412 in accordance with the provisions of section 11-4a of the general  
413 statutes, to the joint standing committees of the General Assembly  
414 having cognizance of matters relating to higher education and finance,

415 revenue and bonding and to the CTNext board of directors. Each of  
416 said committees shall raise a bill during the 2020 or 2021 regular  
417 session to implement the legislative recommendations contained in  
418 such report. The board of trustees may adopt a resolution to  
419 implement the recommendations for said university that are contained  
420 in such report.

This act shall take effect as follows and shall amend the following sections:		
Section 1	July 1, 2019	New section
Sec. 2	July 1, 2019	10a-103
Sec. 3	July 1, 2019	10a-104
Sec. 4	July 1, 2019	10a-108
Sec. 5	July 1, 2019	10a-104c
Sec. 6	July 1, 2019	New section
Sec. 7	July 1, 2019	10a-109oo
Sec. 8	July 1, 2019	New section

**Statement of Legislative Commissioners:**

In Section 3(d), "four" was changed to "two" for consistency; in Section 6(a), reference to section 10a-102 of the general statutes was added for accuracy; in Section 6(f), Subdivs. (2) and (3) were reordered for clarity; and in Section 8(e), the next to last sentence was redrafted for clarity.

**FIN**            *Joint Favorable Subst. -LCO*