07/31/20 REVISOR EM/CH 20-9057 as introduced

SENATE STATE OF MINNESOTA THIRD SPECIAL SESSION

A bill for an act

relating to health occupations; creating a psychology interjurisdictional compact;

S.F. No. 3

(SENATE AUTHORS: ABELER and Hoffman)

DATE D-PG 08/12/2020

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1.20

authority;

OFFICIAL STATUS

08/12/2020 Introduction and first reading Referred to Rules and Administration

appropriating money; proposing coding for new law in Minnesota Statutes, chapter 1.3 148. 1.4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA: 1.5 Section 1. [148.9051] PSYCHOLOGY INTERJURISDICTIONAL COMPACT 1.6 (PSYPACT). 1.7 The psychology interjurisdictional compact is enacted into law and entered into with all 1.8 other jurisdictions legally joining in it in the form substantially specified in this section. 1.9 1.10 ARTICLE I **PURPOSE** 1.11 Whereas, states license psychologists, in order to protect the public through verification 1.12 of education, training, and experience and ensure accountability for professional practice; 1.13 Whereas, this compact is intended to regulate the day to day practice of telepsychology 1.14 by psychologists across state boundaries in the performance of their psychological practice 1.15 as assigned by an appropriate authority; 1.16 Whereas, this compact is intended to regulate the temporary in-person, face-to-face 1.17 practice of psychology by psychologists across state boundaries for 30 days within a calendar 1.18 year in the performance of their psychological practice as assigned by an appropriate 1.19

| 2.1 | Whereas, this compact is intended to authorize state psychology regulatory authorities |
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| 2.2 | to afford legal recognition, in a manner consistent with the terms of the compact, to |
| 2.3 | psychologists licensed in another state; |
| 2.4 | Whereas, this compact recognizes that states have a vested interest in protecting the |
| 2.5 | public's health and safety through their licensing and regulation of psychologists and that |
| 2.6 | such state regulation will best protect public health and safety; |
| 2.7 | Whereas, this compact does not apply when a psychologist is licensed in both the home |
| 2.8 | and receiving states; and |
| 2.9 | Whereas, this compact does not apply to permanent in-person, face-to-face practice; it |
| 2.10 | does allow for authorization of temporary psychological practice. |
| 2.11 | Consistent with these principles, this compact is designed to achieve the following |
| 2.12 | purposes and objectives: |
| 2.13 | (1) increase public access to professional psychological services by allowing for |
| 2.14 | telepsychological practice across state lines as well as temporary in-person, face-to-face |
| 2.15 | services into a state where the psychologist is not licensed to practice psychology; |
| 2.16 | (2) enhance the states' ability to protect the public's health and safety, especially client |
| 2.17 | and patient safety; |
| 2.18 | (3) encourage the cooperation of compact states in the areas of psychology licensure |
| 2.19 | and regulation; |
| 2.20 | (4) facilitate the exchange of information between compact states regarding psychologist |
| 2.21 | licensure, adverse actions, and disciplinary history; |
| 2.22 | (5) promote compliance with the laws governing psychological practice in each compact |
| 2.23 | state; and |
| 2.24 | (6) invest all compact states with the authority to hold licensed psychologists accountable |
| 2.25 | through the mutual recognition of compact state licenses. |
| 2.26 | ARTICLE II |
| 2.27 | DEFINITIONS |
| 2.28 | As used in this compact, the following terms have the meanings given them. |
| 2.29 | A. "Adverse action" means any action taken by a state psychology regulatory authority |
| 2.30 | which finds a violation of a statute or regulation that is identified by the state psychology |
| 2.31 | regulatory authority as discipline and is a matter of public record. |

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| 3.1 | B. "Association of State and Provincial Psychology Boards" or "ASPPB" means the |
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| 3.2 | recognized membership organization composed of state and provincial psychology regulatory |
| 3.3 | authorities responsible for the licensure and registration of psychologists throughout the |
| 3.4 | United States and Canada. |
| 3.5 | C. "Authority to practice interjurisdictional telepsychology" means a licensed |
| 3.6 | psychologist's authority to practice telepsychology, within the limits authorized under this |
| 3.7 | compact, in another compact state. |
| 3.8 | D. "Bylaws" means those bylaws established by the Psychology Interjurisdictional |
| 3.9 | Compact Commission pursuant to Article X for its governance or for directing and controlling |
| 3.10 | its actions and conduct. |
| 3.11 | E. "Client" and "patient" means the recipient of psychological services, including |
| 3.12 | psychological services that are delivered in the context of health care, corporate, supervision, |
| 3.13 | or consulting services. |
| 3.14 | F. "Commissioner" means the voting representative appointed by each state psychology |
| 3.15 | regulatory authority pursuant to Article X. |
| 3.16 | G. "Compact state" means a state, the District of Columbia, or a United States territory |
| 3.17 | that has enacted this compact legislation and which has not withdrawn pursuant to Article |
| 3.18 | XIII, section C, or been terminated pursuant to Article XII, section B. |
| 3.19 | H. "Coordinated Licensure Information System" also referred to as "coordinated database" |
| 3.20 | means an integrated process for collecting, storing, and sharing information on psychologists' |
| 3.21 | licensure and enforcement activities related to psychology licensure laws, which is |
| 3.22 | administered by the recognized membership organization composed of state and provincial |
| 3.23 | psychology regulatory authorities. |
| 3.24 | I. "Confidentiality" means data or information is not made available or disclosed to |
| 3.25 | unauthorized persons or processes. |
| 3.26 | J. "Day" means any part of a day in which psychological work is performed. |
| 3.27 | K. "Distant state" means the compact state where a psychologist is physically present |
| 3.28 | to provide temporary in-person and face-to-face psychological services, not through the use |
| 3.29 | of telecommunications technologies. |
| 3.30 | L. "E.Passport" means a certificate issued by the ASPPB that promotes the standardization |
| 3.31 | in the criteria of interjurisdictional telepsychology practice and facilitates the process for |
| 3.32 | licensed psychologists to provide telepsychological services across state lines. |

M. "Executive Board" means a group of directors elected or appointed to act on behalf 4.1 of and within the powers granted to them by the commission. 4.2 N. "Home state" means a compact state where a psychologist is licensed to practice 4.3 psychology. If the psychologist is licensed in more than one compact state and is practicing 4.4 4.5 under the authorization to practice interjurisdictional telepsychology, the home state is the compact state where the psychologist is physically present when the telepsychological 4.6 services are delivered. If the psychologist is licensed in more than one compact state and 4.7 is practicing under the temporary authorization to practice, the home state is any compact 4.8 state where the psychologist is licensed. 4.9 4.10 O. "Identity history summary" means a summary of information retained by the FBI, or other designee with similar authority, in connection with arrests and, in some instances, 4.11 federal employment, naturalization, or military service. 4.12 P. "In-person, face-to-face" means interactions in which the psychologist and the client 4.13 or patient are in the same physical space and does not include interactions that may occur 4.14 through the use of telecommunication technologies. 4.15 Q. "Interjurisdictional Practice Certificate" or "IPC" means a certificate issued by ASPPB 4.16 that grants temporary authority to practice based on notification to the state psychology 4.17 regulatory authority of the intention to practice temporarily and the verification of the 4.18 psychologist's qualifications for such practice. 4.19 R. "License" means authorization by a state psychology regulatory authority to engage 4.20 in the independent practice of psychology, which would be unlawful without the 4.21 authorization. 4.22 S. "Noncompact state" means any state which is not at the time a compact state. 4.23 T. "Psychologist" means an individual licensed for the independent practice of 4.24 psychology. 4.25 U. "Psychology Interjurisdictional Compact Commission" also referred to as 4.26 4.27 "commission" means the national administration of which all compact states are members. V. "Receiving state" means a compact state where the client or patient is physically 4.28 4.29 located when the telepsychological services are delivered. W. "Rule" means a written statement by the Psychology Interjurisdictional Compact 4.30 Commission that is promulgated pursuant to Article XI and is of general applicability and 4.31 implements, interprets, or prescribes a policy or provision of the compact, or an 4.32 organizational, procedural, or practice requirement of the commission, and that has the force 4.33

| 5.1 | and effect of a statutory law in a compact state, and that includes the amendment, repeal, |
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| 5.2 | or suspension of an existing rule. |
| 5.3 | X. "Significant investigatory information" means: |
| 5.4 | (1) investigative information that a state psychology regulatory authority, after a |
| 5.5 | preliminary inquiry that includes notification and an opportunity to respond if required by |
| 5.6 | state law, has reason to believe, if proven true, would indicate more than a violation of state |
| 5.7 | statute or ethics code and that would be considered more substantial than a minor infraction; |
| 5.8 | <u>or</u> |
| 5.9 | (2) investigative information that indicates the psychologist represents an immediate |
| 5.10 | threat to public health and safety regardless of whether the psychologist has been notified |
| 5.11 | and had an opportunity to respond. |
| 5.12 | Y. "State" means a state, commonwealth, territory, or possession of the United States; |
| 5.13 | or the District of Columbia. |
| 5.14 | Z. "State psychology regulatory authority" means the board, office, or other agency with |
| 5.15 | the legislative mandate to license and regulate the practice of psychology. |
| 5.16 | AA. "Telepsychology" means the provision of psychological services using |
| 5.17 | telecommunication technologies. |
| 5.18 | BB. "Temporary authorization to practice" means a licensed psychologist's authority to |
| 5.19 | conduct temporary in-person, face-to-face practice, within the limits authorized under this |
| 5.20 | compact, in another compact state. |
| 5.21 | CC. "Temporary in-person, face-to-face practice" means a psychologist is physically |
| 5.22 | present, and not through the use of telecommunications technologies, in the distant state to |
| 5.23 | provide for the practice of psychology for 30 days within a calendar year and is based on |
| 5.24 | notification to the distant state. |
| 5.25 | ARTICLE III |
| 5.26 | HOME STATE LICENSURE |
| 5.27 | A. The home state shall be a compact state where a psychologist is licensed to practice |
| 5.28 | psychology. |
| 5.29 | B. A psychologist may hold one or more compact state licenses at a time. If the |
| 5.30 | psychologist is licensed in more than one compact state, the home state is the compact state |
| 5.31 | where the psychologist is physically present when the services are delivered as authorized |
| 5.32 | by the authority to practice interjurisdictional telepsychology under the terms of this compact. |

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| C. Any compact state may require a psychologist not previously licensed in a compact |
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| state to obtain and retain a license to be authorized to practice in the compact state under |
| circumstances not authorized by the authority to practice interjurisdictional telepsychology |
| under the terms of this compact. |
| D. Any compact state may require a psychologist to obtain and retain a license to be |
| authorized to practice in a compact state under circumstances not authorized by temporary |
| authorization to practice under the terms of this compact. |
| E. A home state's license authorizes a psychologist to practice in a receiving state under |
| the authority to practice interjurisdictional telepsychology only if the compact state: |
| (1) currently requires the psychologist to hold an active E.Passport; |
| (2) has a mechanism in place for receiving and investigating complaints about licensed |
| individuals; |
| (3) notifies the commission, in compliance with the terms herein, of any adverse action |
| or significant investigatory information regarding a licensed individual; |
| (4) requires an identity history summary of all applicants at initial licensure, including |
| the use of the results of fingerprints or other biometric data checks compliant with the |
| requirements of the FBI or other designee with similar authority, no later than ten years |
| after activation of the compact; and |
| (5) complies with the bylaws and rules of the commission. |
| F. A home state's license grants temporary authorization to practice to a psychologist in |
| a distant state only if the compact state: |
| (1) currently requires the psychologist to hold an active IPC; |
| (2) has a mechanism in place for receiving and investigating complaints about licensed |
| individuals; |
| (3) notifies the commission, in compliance with the terms herein, of any adverse action |
| or significant investigatory information regarding a licensed individual; |
| (4) requires an identity history summary of all applicants at initial licensure, including |
| the use of the results of fingerprints or other biometric data checks compliant with the |
| requirements of the FBI or other designee with similar authority, no later than ten years |
| after activation of the compact; and |
| (5) complies with the bylaws and rules of the commission. |
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7.1 **ARTICLE IV**

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| 7.2 | COMPACT PRIVILEGE TO PRACTICE TELEPSYCHOLOGY |
| 7.3 | A. Compact states shall recognize the right of a psychologist, licensed in a compact state |
| 7.4 | in conformance with Article III, to practice telepsychology in other compact states (receiving |
| 7.5 | states) in which the psychologist is not licensed, under the authority to practice |
| 7.6 | interjurisdictional telepsychology as provided in the compact. |
| 7.7 | B. To exercise the authority to practice interjurisdictional telepsychology under the terms |
| 7.8 | and provisions of this compact, a psychologist licensed to practice in a compact state must: |
| 7.9 | (1) hold a graduate degree in psychology from an institute of higher education that was, |
| 7.10 | at the time the degree was awarded: |
| 7.11 | (a) regionally accredited by an accrediting body recognized by the U.S. Department of |
| 7.12 | Education to grant graduate degrees, or authorized by Provincial Statute or Royal Charter |
| 7.13 | to grant doctoral degrees; or |
| 7.14 | (b) a foreign college or university deemed to be equivalent to item (a) by a foreign |
| 7.15 | credential evaluation service that is a member of the National Association of Credential |
| 7.16 | Evaluation Services (NACES) or by a recognized foreign credential evaluation service; |
| 7.17 | (2) hold a graduate degree in psychology that meets the following criteria: |
| 7.18 | (a) the program, wherever it may be administratively housed, must be clearly identified |
| 7.19 | and labeled as a psychology program. Such a program must specify in pertinent institutional |
| 7.20 | catalogues and brochures its intent to educate and train professional psychologists; |
| 7.21 | (b) the psychology program must stand as a recognizable, coherent, organizational entity |
| 7.22 | within the institution; |
| 7.23 | (c) there must be a clear authority and primary responsibility for the core and specialty |
| 7.24 | areas whether or not the program cuts across administrative lines; |
| 7.25 | (d) the program must consist of an integrated, organized sequence of study; |
| 7.26 | (e) there must be an identifiable psychology faculty sufficient in size and breadth to |
| 7.27 | carry out its responsibilities; |
| 7.28 | (f) the designated director of the program must be a psychologist and a member of the |
| 7.29 | core faculty; |
| 7.30 | (g) the program must have an identifiable body of students who are matriculated in that |
| 7.31 | program for a degree; |

(h) the program must include supervised practicum, internship, or field training 8.1 appropriate to the practice of psychology; 8.2 8.3 (i) the curriculum shall encompass a minimum of three academic years of full-time graduate study for doctoral degrees and a minimum of one academic year of full-time 8.4 8.5 graduate study for a master's degree; and (i) the program includes an acceptable residency as defined by the rules of the 8.6 commission; 8.7 (3) possess a current, full, and unrestricted license to practice psychology in a home 8.8 state which is a compact state; 8.9 (4) have no history of adverse action that violates the rules of the commission; 8.10 (5) have no criminal record history reported on an identity history summary that violates 8.11 the rules of the commission; 8.12 (6) possess a current, active E.Passport; 8.13 (7) provide attestations in regard to areas of intended practice, conformity with standards 8.14 of practice, competence in telepsychology technology; criminal background; and knowledge 8.15 and adherence to legal requirements in the home and receiving states, and provide a release 8.16 of information to allow for primary source verification in a manner specified by the 8.17 commission; and 8.18 (8) meet other criteria as defined by the rules of the commission. 8.19 C. The home state maintains authority over the license of any psychologist practicing 8.20 into a receiving state under the authority to practice interjurisdictional telepsychology. 8.21 D. A psychologist practicing into a receiving state under the authority to practice 8.22 interjurisdictional telepsychology will be subject to the receiving state's scope of practice. 8.23 8.24 A receiving state may, in accordance with that state's due process law, limit or revoke a psychologist's authority to practice interjurisdictional telepsychology in the receiving state 8.25 and may take any other necessary actions under the receiving state's applicable law to protect 8.26 the health and safety of the receiving state's citizens. If a receiving state takes action, the 8.27 8.28 state shall promptly notify the home state and the commission. E. If a psychologist's license in any home state, another compact state, or any authority 8.29 to practice interjurisdictional telepsychology in any receiving state, is restricted, suspended, 8.30 8.31 or otherwise limited, the E.Passport shall be revoked and therefore the psychologist shall

not be eligible to practice telepsychology in a compact state under the authority to practice interjurisdictional telepsychology.

9.3 ARTICLE V

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- A. Compact states shall also recognize the right of a psychologist, licensed in a compact state in conformance with Article III, to practice temporarily in other compact states (distant states) in which the psychologist is not licensed, as provided in the compact.
- B. To exercise the temporary authorization to practice under the terms and provisions of this compact, a psychologist licensed to practice in a compact state must:
- (1) hold a graduate degree in psychology from an institute of higher education that was, at the time the degree was awarded:
- (a) regionally accredited by an accrediting body recognized by the U.S. Department of Education to grant graduate degrees, or authorized by Provincial Statute or Royal Charter to grant doctoral degrees; or
- (b) a foreign college or university deemed to be equivalent to item (a) by a foreign credential evaluation service that is a member of the National Association of Credential Evaluation Services (NACES) or by a recognized foreign credential evaluation service;
 - (2) hold a graduate degree in psychology that meets the following criteria:
- (a) the program, wherever it may be administratively housed, must be clearly identified and labeled as a psychology program. Such a program must specify in pertinent institutional catalogues and brochures its intent to educate and train professional psychologists;
- (b) the psychology program must stand as a recognizable, coherent, organizational entity within the institution;
- (c) there must be a clear authority and primary responsibility for the core and specialty areas whether or not the program cuts across administrative lines;
 - (d) the program must consist of an integrated, organized sequence of study;
- 9.27 (e) there must be an identifiable psychology faculty sufficient in size and breadth to
 9.28 carry out its responsibilities;
- 9.29 (f) the designated director of the program must be a psychologist and a member of the 9.30 core faculty;

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| 10.1 | (g) the pr | ogram must have a | ın identifiable boo | ly of students who are ma | atriculated in that | | |
| 10.2 | program for a degree; | | | | | | |
| 10.3 | (h) the pr | rogram must includ | le supervised prac | cticum, internship, or fiel | d training | | |
| 10.4 | appropriate t | to the practice of pa | sychology; | • | | | |
| 10.5 | (i) the cu | rriculum shall enco | ompass a minimu | m of three academic year | rs of full-time | | |
| 10.6 | | | | um of one academic year | | | |
| 10.7 | graduate stu | dy for a master's de | egree; and | | | | |
| 10.8 10.9 | (j) the procession | | acceptable reside | ncy as defined by the rule | es of the | | |
| 10.10 10.11 | | ess a current, full, a | nd unrestricted lie | cense to practice psychological | ogy in a home | | |
| 10.12 | (4) have | no history of adver | ese action that vio | late the rules of the comr | nission; | | |
| 10.13 | <u>(5) have</u> | no criminal record | history that viola | tes the rules of the comm | nission; | | |
| 10.14 | (6) posse | ess a current, active | IPC; | | | | |
| 10.15 | <u>(7) provi</u> | de attestations in re | egard to areas of i | ntended practice and wor | k experience and | | |
| 10.16 | provide a rele | ease of information | to allow for prima | ry source verification in a | manner specified | | |
| 10.17 | by the comm | nission; and | | | | | |
| 10.18 | (8) meet | other criteria as de | fined by the rules | of the commission. | | | |
| 10.19 | C. A psy | chologist practicing | g into a distant sta | ate under the temporary a | uthorization to | | |
| 10.20 | practice shal | l practice within th | e scope of practic | ce authorized by the dista | nt state. | | |
| 10.21 | D. A psy | chologist practicin | g into a distant st | ate under the temporary a | authorization to | | |
| 10.22 | practice will | be subject to the d | istant state's auth | ority and law. A distant s | tate may, in | | |
| 10.23 | accordance v | with that state's due | process law, lim | it or revoke a psychologi | st's temporary | | |
| 10.24 | authorization | n to practice in the | distant state and n | nay take any other necess | ary actions under | | |
| 10.25 | the distant st | ate's applicable law | to protect the hea | alth and safety of the dista | nt state's citizens. | | |
| 10.26 | If a distant s | tate takes action, th | ne state shall pron | nptly notify the home stat | te and the | | |
| 10.27 | commission | <u>-</u> | | | | | |
| 10.28 | E. If a ps | ychologist's license | e in any home stat | e, another compact state, | or any temporary | | |
| 10.29 | authorization | n to practice in any | distant state, is re | estricted, suspended, or o | therwise limited, | | |
| 10.30 | the IPC shall | l be revoked and th | e psychologist sh | all not be eligible to prac | tice in a compact | | |
| 10.31 | state under t | he temporary autho | prization to practi | ce. | | | |

ARTICLE VI

Section 1. 10

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A psychologist may practice in a receiving state under the authority to practice interjurisdictional telepsychology only in the performance of the scope of practice for psychology as assigned by an appropriate state psychology regulatory authority, as defined in the rules of the commission, and under the following circumstances:

- (1) the psychologist initiates a client or patient contact in a home state via telecommunications technologies with a client or patient in a receiving state; and
- (2) according to other conditions regarding telepsychology as determined by rules promulgated by the commission.

ARTICLE VII

ADVERSE ACTIONS

- A. A home state shall have the power to impose adverse action against a psychologist's license issued by the home state. A distant state shall have the power to take adverse action on a psychologist's temporary authorization to practice within that distant state.
- B. A receiving state may take adverse action on a psychologist's authority to practice interjurisdictional telepsychology within that receiving state. A home state may take adverse action against a psychologist based on an adverse action taken by a distant state regarding temporary in-person, face-to-face practice.
- C. If a home state takes adverse action against a psychologist's license, that psychologist's authority to practice interjurisdictional telepsychology is terminated and the E.Passport is revoked. Furthermore, that psychologist's temporary authorization to practice is terminated and the IPC is revoked.
- (1) All home state disciplinary orders which impose adverse action shall be reported to the commission in accordance with the rules promulgated by the commission. A compact state shall report adverse actions in accordance with the rules of the commission.
- (2) In the event discipline is reported on a psychologist, the psychologist will not be eligible for telepsychology or temporary in-person, face-to-face practice in accordance with the rules of the commission.
- (3) Other actions may be imposed as determined by the rules promulgated by the commission.
- D. A home state's psychology regulatory authority shall investigate and take appropriate action with respect to reported inappropriate conduct engaged in by a licensee which occurred

in a receiving state as it would if such conduct had occurred by a licensee within the home state. In such cases, the home state's law shall control in determining any adverse action against a psychologist's license.

E. A distant state's psychology regulatory authority shall investigate and take appropriate action with respect to reported inappropriate conduct engaged in by a psychologist practicing under temporary authorization to practice which occurred in that distant state as it would if such conduct had occurred by a licensee within the home state. In such cases, the distant state's law shall control in determining any adverse action against a psychologist's temporary authorization to practice.

F. Nothing in this compact shall override a compact state's decision that a psychologist's participation in an alternative program may be used in lieu of adverse action and that such participation shall remain nonpublic if required by the compact state's law. Compact states must require psychologists who enter any alternative programs to not provide telepsychology services under the authority to practice interjurisdictional telepsychology or provide temporary psychological services under the temporary authorization to practice in any other compact state during the term of the alternative program.

G. No other judicial or administrative remedies shall be available to a psychologist in the event a compact state imposes an adverse action pursuant to paragraph C.

12.19 ARTICLE VIII

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ADDITIONAL AUTHORITIES INVESTED IN A COMPACT STATE'S PSYCHOLOGY REGULATORY AUTHORITY

A. In addition to any other powers granted under state law, a compact state's psychology regulatory authority shall have the authority under this compact to:

(1) issue subpoenas, for both hearings and investigations, which require the attendance and testimony of witnesses and the production of evidence. Subpoenas issued by a compact state's psychology regulatory authority for the attendance and testimony of witnesses, and the production of evidence from another compact state shall be enforced in the latter state by any court of competent jurisdiction, according to that court's practice and procedure in considering subpoenas issued in its own proceedings. The issuing state psychology regulatory authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state where the witnesses or evidence are located; and

(2) issue cease and desist or injunctive relief orders to revoke a psychologist's authority to practice interjurisdictional telepsychology or temporary authorization to practice.

B. During the course of any investigation, a psychologist may not change the psychologist's home state licensure. A home state psychology regulatory authority is authorized to complete any pending investigations of a psychologist and to take any actions appropriate under its law. The home state psychology regulatory authority shall promptly report the conclusions of such investigations to the commission. Once an investigation has been completed, and pending the outcome of the investigation, the psychologist may change the psychologist's home state licensure. The commission shall promptly notify the new home state of any such decisions as provided in the rules of the commission. All information provided to the commission or distributed by compact states pursuant to the psychologist shall be confidential, filed under seal, and used for investigatory or disciplinary matters. The commission may create additional rules for mandated or discretionary sharing of information by compact states.

ARTICLE IX

COORDINATED LICENSURE INFORMATION SYSTEM

A. The commission shall provide for the development and maintenance of a coordinated licensure information system, coordinated database, and reporting system containing licensure and disciplinary action information on all psychologists to whom this compact is applicable in all compact states as defined by the rules of the commission.

- B. Notwithstanding any other provision of state law to the contrary, a compact state shall submit a uniform data set to the coordinated database on all licensees as required by the rules of the commission, including:
- 13.22 (1) identifying information;
- 13.23 (2) licensure data;

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- 13.24 (3) significant investigatory information;
- (4) adverse actions against a psychologist's license;
- 13.26 (5) an indicator that a psychologist's authority to practice interjurisdictional
 13.27 telepsychology and temporary authorization to practice is revoked;
- 13.28 (6) nonconfidential information related to alternative program participation information;
- 13.29 (7) any denial of application for licensure and the reasons for the denial; and
- 13.30 (8) other information which may facilitate the administration of this compact, as
 13.31 determined by the rules of the commission.

| 07/31/20 | REVISOR | EM/CH | 20-9057 | as introduced |
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C. The coordinated database administrator shall promptly notify all compact states of any adverse action taken against or significant investigative information on any licensee in a compact state. D. Compact states reporting information to the coordinated database may designate information that may not be shared with the public without the express permission of the compact state reporting the information. E. Any information submitted to the coordinated database that is subsequently required to be expunged by the law of the compact state reporting the information shall be removed from the coordinated database. ARTICLE X ESTABLISHMENT OF THE PSYCHOLOGY INTERJURISDICTIONAL COMPACT **COMMISSION** A. The compact states hereby create and establish a joint public agency known as the Psychology Interjurisdictional Compact Commission. (1) The commission is a body politic and an instrumentality of the compact states. (2) Venue is proper and judicial proceedings by or against the commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the commission is located. The commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. (3) Nothing in this compact shall be construed to be a waiver of sovereign immunity. B. Membership, voting, and meetings: (1) The commission shall consist of one voting representative appointed by each compact state who shall serve as that state's commissioner. The state psychology regulatory authority shall appoint its delegate. This delegate shall be empowered to act on behalf of the compact state. This delegate shall be limited to: (a) executive director, executive secretary, or similar executive; (b) current member of the state psychology regulatory authority of a compact state; or (c) designee empowered with the appropriate delegate authority to act on behalf of the compact state. (2) Any commissioner may be removed or suspended from office as provided by the law of the state from which the commissioner is appointed. Any vacancy occurring in the

commission shall be filled in accordance with the laws of the compact state in which the 15.1 15.2 vacancy exists. 15.3 (3) Each commissioner shall be entitled to one vote with regard to the promulgation of rules and creation of bylaws and shall otherwise have an opportunity to participate in the 15.4 business and affairs of the commission. A commissioner shall vote in person or by other 15.5 means as provided in the bylaws. The bylaws may provide for commissioners' participation 15.6 in meetings by telephone or other means of communication. 15.7 (4) The commission shall meet at least once during each calendar year. Additional 15.8 meetings shall be held as set forth in the bylaws. 15.9 15.10 (5) All meetings shall be open to the public, and public notice of meetings shall be given in the same manner as required under the rulemaking provisions in Article XI. 15.11 15.12 (6) The commission may convene in a closed, nonpublic meeting if the commission must discuss: 15.13 (a) non-compliance of a compact state with its obligations under the compact; 15.14 (b) employment, compensation, discipline, or other personnel matters, practices or 15.15 procedures related to specific employees, or other matters related to the commission's internal 15.16 personnel practices and procedures; 15.17 15.18 (c) current, threatened, or reasonably anticipated litigation against the commission; (d) negotiation of contracts for the purchase or sale of goods, services, or real estate; 15.19 (e) accusation against any person of a crime or formally censuring any person; 15.20 (f) disclosure of trade secrets or commercial or financial information which is privileged 15.21 or confidential; 15.22 (g) disclosure of information of a personal nature where disclosure would constitute a 15.23 clearly unwarranted invasion of personal privacy; 15.24 (h) disclosure of investigatory records compiled for law enforcement purposes; 15.25 (i) disclosure of information related to any investigatory reports prepared by or on behalf 15.26 of or for use of the commission or other committee charged with responsibility for 15.27 investigation or determination of compliance issues pursuant to the compact; or 15.28 (j) matters specifically exempted from disclosure by federal and state statute. 15.29 15.30 (7) If a meeting, or portion of a meeting, is closed pursuant to this provision, the commission's legal counsel or designee shall certify that the meeting may be closed and 15.31

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shall reference each relevant exempting provision. The commission shall keep minutes which fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken by any person participating in the meeting and the reasons therefore, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release only by a majority vote of the commission or order of a court of competent jurisdiction. C. The commission shall, by a majority vote of the commissioners, prescribe bylaws and rules to govern its conduct as may be necessary or appropriate to carry out the purposes and exercise the powers of the compact, including but not limited to: (1) establishing the fiscal year of the commission; (2) providing reasonable standards and procedures: (a) for the establishment and meetings of other committees; and (b) governing any general or specific delegation of any authority or function of the commission; (3) providing reasonable procedures for calling and conducting meetings of the commission, ensuring reasonable advance notice of all meetings and providing an opportunity for attendance of such meetings by interested parties, with enumerated exceptions designed to protect the public's interest, the privacy of individuals of such proceedings, and proprietary information, including trade secrets. The commission may meet in closed session only after a majority of the commissioners vote to close a meeting to the public in whole or in part. As soon as practicable, the commission must make public a copy of the vote to close the meeting revealing the vote of each commissioner with no proxy votes allowed; (4) establishing the titles, duties, authority, and reasonable procedures for the election of the officers of the commission; (5) providing reasonable standards and procedures for the establishment of the personnel policies and programs of the commission. Notwithstanding any civil service or other similar law of any compact state, the bylaws shall exclusively govern the personnel policies and programs of the commission; (6) promulgating a code of ethics to address permissible and prohibited activities of commission members and employees;

| 17.1 | (7) providing a mechanism for concluding the operations of the commission and the |
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| 17.2 | equitable disposition of any surplus funds that may exist after the termination of the compact |
| 17.3 | after the payment and reserving of all of its debts and obligations; |
| 17.4 | (8) the commission shall publish its bylaws in a convenient form and file a copy thereof |
| 17.5 | and a copy of any amendment thereto, with the appropriate agency or officer in each of the |
| 17.6 | compact states; |
| 17.7 | (9) the commission shall maintain its financial records in accordance with the bylaws; |
| 17.8 | <u>and</u> |
| 17.9 | (10) the commission shall meet and take such actions as are consistent with the provisions |
| 17.10 | of this compact and the bylaws. |
| 17.11 | D. The commission shall have the following powers: |
| 17.12 | (1) the authority to promulgate uniform rules to facilitate and coordinate implementation |
| 17.13 | and administration of this compact. The rules shall have the force and effect of law and |
| 17.14 | shall be binding in all compact states; |
| 17.15 | (2) to bring and prosecute legal proceedings or actions in the name of the commission, |
| 17.16 | provided that the standing of any state psychology regulatory authority or other regulatory |
| 17.17 | body responsible for psychology licensure to sue or be sued under applicable law shall not |
| 17.18 | be affected; |
| 17.19 | (3) to purchase and maintain insurance and bonds; |
| 17.20 | (4) to borrow, accept, or contract for services of personnel, including but not limited to |
| 17.21 | employees of a compact state; |
| 17.22 | (5) to hire employees, elect or appoint officers, fix compensation, define duties, grant |
| 17.23 | such individuals appropriate authority to carry out the purposes of the compact, and establish |
| 17.24 | the commission's personnel policies and programs relating to conflicts of interest, |
| 17.25 | qualifications of personnel, and other related personnel matters; |
| 17.26 | (6) to accept any and all appropriate donations and grants of money; donations of |
| 17.27 | equipment, supplies, materials, and services; and receive, utilize, and dispose of the same |
| 17.28 | provided that at all times the commission shall strive to avoid any appearance of impropriety |
| 17.29 | or conflict of interest; |
| 17.30 | (7) to lease, purchase, accept appropriate gifts or donations of, or otherwise to own, |
| 17.31 | hold, improve, or use any property, real, personal, or mixed; provided that at all times the |
| 17.32 | commission shall strive to avoid any appearance of impropriety; |

compact legislation, fees paid by compact states such as annual dues, and any other applicable

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fees;

(b) ensure compact administration services are appropriately provided, contractual or 19.1 19.2 otherwise; 19.3 (c) prepare and recommend the budget; (d) maintain financial records on behalf of the commission; 19.4 19.5 (e) monitor compact compliance of member states and provide compliance reports to the commission; 19.6 19.7 (f) establish additional committees as necessary; and (g) other duties as provided in rules or bylaws. 19.8 F. Financing of the commission: 19.9 (1) The commission shall pay, or provide for the payment of the reasonable expenses 19.10 of its establishment, organization, and ongoing activities. 19.11 (2) The commission may accept any and all appropriate revenue sources including 19.12 donations and grants of money, and donations of equipment, supplies, materials, and services. 19.13 (3) The commission may levy on and collect an annual assessment from each compact 19.14 state or impose fees on other parties to cover the cost of the operations and activities of the 19.15 commission and its staff which must be in a total amount sufficient to cover its annual 19.16 budget as approved each year for which revenue is not provided by other sources. The 19.17 aggregate annual assessment amount shall be allocated based upon a formula to be determined 19.18 19.19 by the commission which shall promulgate a rule binding upon all compact states. (4) The commission shall not incur obligations of any kind prior to securing the funds 19.20 adequate to meet the same; nor shall the commission pledge the credit of any of the compact 19.21 states, except by and with the authority of the compact state. 19.22 (5) The commission shall keep accurate accounts of all receipts and disbursements. The 19.23 receipts and disbursements of the commission shall be subject to the audit and accounting 19.24 procedures established under its bylaws. However, all receipts and disbursements of funds 19.25 19.26 handled by the commission shall be audited yearly by a certified or licensed public accountant and the report of the audit shall be included in and become part of the annual report of the 19.27 commission. 19.28 G. Qualified immunity, defense, and indemnification: 19.29 (1) The members, officers, executive director, employees, and representatives of the 19.30 commission shall be immune from suit and liability, either personally or in their official 19.31 capacity, for any claim for damage to or loss of property or personal injury or other civil 19.32

liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred, within the scope of commission employment, duties, or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit and liability for any damage, loss, injury, or liability caused by the intentional, willful, or wanton misconduct of that person.

- (2) The commission shall defend any member, officer, executive director, employee, or representative of the commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining his or her own counsel; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional, willful, or wanton misconduct.
- (3) The commission shall indemnify and hold harmless any member, officer, executive director, employee, or representative of the commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities; provided that the actual or alleged act, error, or omission did not result from the intentional, willful, or wanton misconduct of that person.

20.24 ARTICLE XI

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20.25 **RULEMAKING**

- A. The commission shall exercise its rulemaking powers pursuant to the criteria set forth in this article and the rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment.
- B. If a majority of the legislatures of the compact states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the compact, then such rule shall have no further force and effect in any compact state.
- 20.32 <u>C. Rules or amendments to the rules shall be adopted at a regular or special meeting of</u>
 20.33 <u>the commission.</u>

| 21.1 | D. Prior to promulgation and adoption of a final rule or rules by the commission, and at |
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| 21.2 | least 60 days in advance of the meeting at which the rule will be considered and voted upon, |
| 21.3 | the commission shall file a notice of proposed rulemaking: |
| 21.4 | (1) on the website of the commission; and |
| 21.5 | (2) on the website of each compact state's psychology regulatory authority or the |
| 21.6 | publication in which each state would otherwise publish proposed rules. |
| 21.7 | E. The notice of proposed rulemaking shall include: |
| 21.8 | (1) the proposed time, date, and location of the meeting in which the rule will be |
| 21.9 | considered and voted upon; |
| 21.10 | (2) the text of the proposed rule or amendment and the reason for the proposed rule; |
| 21.11 | (3) a request for comments on the proposed rule from any interested person; and |
| 21.12 | (4) the manner in which interested persons may submit notice to the commission of their |
| 21.13 | intention to attend the public hearing and any written comments. |
| 21.14 | F. Prior to adoption of a proposed rule, the commission shall allow persons to submit |
| 21.15 | written data, facts, opinions, and arguments, which shall be made available to the public. |
| 21.16 | G. The commission shall grant an opportunity for a public hearing before it adopts a |
| 21.17 | rule or amendment if a hearing is requested by: |
| 21.18 | (1) at least 25 persons who submit comments independently of each other; |
| 21.19 | (2) a governmental subdivision or agency; or |
| 21.20 | (3) a duly appointed person in an association that has at least 25 members. |
| 21.21 | H. If a hearing is held on the proposed rule or amendment, the commission shall publish |
| 21.22 | the place, time, and date of the scheduled public hearing. |
| 21.23 | (1) All persons wishing to be heard at the hearing shall notify the executive director of |
| 21.24 | the commission or other designated member in writing of their desire to appear and testify |
| 21.25 | at the hearing not less than five business days before the scheduled date of the hearing. |
| 21.26 | (2) Hearings shall be conducted in a manner providing each person who wishes to |
| 21.27 | comment a fair and reasonable opportunity to comment orally or in writing. |
| 21.28 | (3) No transcript of the hearing is required, unless a written request for a transcript is |
| 21.29 | made, in which case the person requesting the transcript shall bear the cost of producing |
| 21.30 | the transcript. A recording may be made in lieu of a transcript under the same terms and |

by any person for a period of 30 days after posting. The revision may be challenged only

on grounds that the revision results in a material change to a rule. A challenge shall be made

in writing, and delivered to the chair of the commission prior to the end of the notice period.

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(3) Termination of membership in the compact shall be imposed only after all other

means of securing compliance have been exhausted. Notice of intent to suspend or terminate

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| 24.1 | shall be submitted by the commission to the governor, the majority and minority leaders of |
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| 24.2 | the defaulting state's legislature, and each of the compact states. |
| 24.3 | (4) A compact state which has been terminated is responsible for all assessments, |
| 24.4 | obligations, and liabilities incurred through the effective date of termination, including |
| 24.5 | obligations which extend beyond the effective date of termination. |
| 24.6 | (5) The commission shall not bear any costs incurred by the state which is found to be |
| 24.7 | in default or which has been terminated from the compact, unless agreed upon in writing |
| 24.8 | between the commission and the defaulting state. |
| 24.9 | (6) The defaulting state may appeal the action of the commission by petitioning the |
| 24.10 | United States District Court for the state of Georgia or the federal district where the compact |
| 24.11 | has its principal offices. The prevailing member shall be awarded all costs of such litigation, |
| 24.12 | including reasonable attorney fees. |
| 24.13 | C. Dispute resolution: |
| 24.14 | (1) Upon request by a compact state, the commission shall attempt to resolve disputes |
| 24.15 | related to the compact which arise among compact states and between compact and |
| 24.16 | noncompact states. |
| 24.17 | (2) The commission shall promulgate a rule providing for both mediation and binding |
| 24.18 | dispute resolution for disputes that arise before the commission. |
| 24.19 | D. Enforcement: |
| 24.20 | (1) The commission, in the reasonable exercise of its discretion, shall enforce the |
| 24.21 | provisions and rules of this compact. |
| 24.22 | (2) By majority vote, the commission may initiate legal action in the United States |
| 24.23 | District Court for the state of Georgia or the federal district where the compact has its |
| 24.24 | principal offices against a compact state in default to enforce compliance with the provisions |
| 24.25 | of the compact and its promulgated rules and bylaws. The relief sought may include both |
| 24.26 | injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing |
| 24.27 | member shall be awarded all costs of such litigation, including reasonable attorney fees. |
| 24.28 | (3) The remedies herein shall not be the exclusive remedies of the commission. The |
| 24.29 | commission may pursue any other remedies available under federal or state law. |
| 24.30 | ARTICLE XIII |

07/31/20

REVISOR

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20-9057

as introduced

| 07/31/20 | REVISOR | EM/CH | 20-9057 | as introduced |
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| DATE OF IMPLEMENTATION OF THE PSYCHOLOGY INTERJURISDICTIONAL |
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| COMPACT COMMISSION AND ASSOCIATED RULES, WITHDRAWAL, AND |
| <u>AMENDMENTS</u> |
| A. The compact shall come into effect on the date on which the compact is enacted into |
| law in the seventh compact state. The provisions which become effective at that time shall |
| be limited to the powers granted to the commission relating to assembly and the promulgation |
| of rules. Thereafter, the commission shall meet and exercise rulemaking powers necessary |
| to the implementation and administration of the compact. |
| B. Any state which joins the compact subsequent to the commission's initial adoption |
| of the rules shall be subject to the rules as they exist on the date on which the compact |
| becomes law in that state. Any rule which has been previously adopted by the commission |
| shall have the full force and effect of law on the day the compact becomes law in that state. |
| C. Any compact state may withdraw from this compact by enacting a statute repealing |
| the same. |
| (1) A compact state's withdrawal shall not take effect until six months after enactment |
| of the repealing statute. |
| (2) Withdrawal shall not affect the continuing requirement of the withdrawing state's |
| psychology regulatory authority to comply with the investigative and adverse action reporting |
| requirements of this act prior to the effective date of withdrawal. |
| D. Nothing contained in this compact shall be construed to invalidate or prevent any |
| psychology licensure agreement or other cooperative arrangement between a compact state |
| and a noncompact state which does not conflict with the provisions of this compact. |
| E. This compact may be amended by the compact states. No amendment to this compact |
| shall become effective and binding upon any compact state until it is enacted into the law |
| of all compact states. |
| ARTICLE XIV |
| CONSTRUCTION AND SEVERABILITY |
| This compact shall be liberally construed so as to effectuate the purposes thereof. If this |
| compact shall be held contrary to the constitution of any state member thereto, the compact |
| shall remain in full force and effect as to the remaining compact states. |
| |

| 26.1 | EFFECTIVE DATE. This section is effective the day following final enactment. The |
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| 26.2 | Board of Psychology must publish the effective date of the compact in the State Register |
| 26.3 | and on the board's website. |
| | |
| 26.4 | Sec. 2. APPROPRIATION. |
| 26.5 | \$ in fiscal year 2021 is appropriated from the state government special revenue fund |
| 26.6 | to the Board of Psychology to implement the provisions of Minnesota Statutes, section |
| 26.7 | <u>148.9051.</u> |
| 26.8 | EFFECTIVE DATE. This section is effective the day following final enactment. |

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20-9057

as introduced

07/31/20

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Sec. 2. 26