As Passed by the Senate

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Sub. S. B. No. 40

Senator Roegner

Cosponsors: Senators Hackett, Johnson, Huffman, S., Cirino, Craig, DeMora, Gavarone, Hoagland, Landis, Lang, McColley, Reineke, Reynolds, Romanchuk, Wilson

A BILL

Ί'Ο	enact sections 4/15.2/1 and 4/15.2/2 of the	J
	Revised Code to enter into the Dentist and	2
	Dental Hygienist Compact.	3

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF OHIO:

Section 1. That sections 4715.271 and 4715.272 of the	4
Revised Code be enacted to read as follows:	5
Sec. 4715.271. The Dentist and Dental Hygienist Compact is	6
hereby ratified, enacted into law, and entered into by the state	7
of Ohio as a party to the compact with any other state that has	8
legally joined the compact as follows:	9
DENTIST AND DENTAL HYGIENIST COMPACT	10
SECTION 1. TITLE AND PURPOSE	11
This statute shall be known and cited as the Dentist and	12
Dental Hygienist Compact. The purposes of this Compact are to	13
facilitate the interstate practice of dentistry and dental	14
hygiene and improve public access to dentistry and dental	15
hygiene services by providing Dentists and Dental Hygienists	16

licensed in a Participating State the ability to practice in	17
Participating States in which they are not licensed. The Compact	18
does this by establishing a pathway for a Dentists and Dental	19
Hygienists licensed in a Participating State to obtain a Compact	20
Privilege that authorizes them to practice in another	21
Participating State in which they are not licensed. The Compact	22
enables Participating States to protect the public health and	23
safety with respect to the practice of such Dentists and Dental	24
Hygienists, through the State's authority to regulate the	25
practice of dentistry and dental hygiene in the State. The	26
Compact:	27
A. Enables Dentists and Dental Hygienists who qualify for	28
a Compact Privilege to practice in other Participating States	29
without satisfying burdensome and duplicative requirements	30
associated with securing a License to practice in those States;	31
B. Promotes mobility and addresses workforce shortages	32
through each Participating State's acceptance of a Compact	33
Privilege to practice in that State;	34
C. Increases public access to qualified, licensed Dentists	35
and Dental Hygienists by creating a responsible, streamlined	36
pathway for Licensees to practice in Participating States.	37
D. Enhances the ability of Participating States to protect	38
the public's health and safety;	39
E. Does not interfere with licensure requirements	40
established by a Participating State;	41
F. Facilitates the sharing of licensure and disciplinary	42
information among Participating States;	43
G. Requires Dentists and Dental Hygienists who practice in	44
a Participating State pursuant to a Compact Privilege to	45

D. "Clinical Assessment" means examination or process,

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L. "Dentist" means an individual who is licensed by a	102
State Licensing Authority to practice dentistry.	103
M. "Dentist and Dental Hygienist Compact Commission" or	104
"Commission" means a joint government agency established by this	105
Compact comprised of each State that has enacted the Compact and	106
a national administrative body comprised of a Commissioner from	107
each State that has enacted the Compact.	108
N. "Encumbered License" means a License that a State_	109
Licensing Authority has limited in any way other than through an	110
Alternative Program.	111
O. "Executive Board" means the Chair, Vice Chair,	112
Secretary and Treasurer and any other Commissioners as may be	113
determined by Commission Rule or bylaw.	114
P. "Jurisprudence Requirement" means the assessment of an	115
individual's knowledge of the laws and Rules governing the	116
practice of dentistry or dental hygiene, as applicable, in a	117
State.	118
Q. "License" means current authorization by a State, other	119
than authorization pursuant to a Compact Privilege, or other	120
privilege, for an individual to practice as a Dentist or Dental	121
Hygienist in that State.	122
R. "Licensee" means an individual who holds an	123
unrestricted License from a Participating State to practice as a	124
Dentist or Dental Hygienist in that State.	125
S. "Model Compact" the model for the Dentist and Dental	126
Hygienist Compact on file with the Council of State Governments	127
or other entity as designated by the Commission.	128
T. "Participating State" means a State that has enacted	129

territory of the United States of America that regulates the

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practices of dentistry and dental hygiene.	159
AA. "State Licensing Authority" means an agency or other	160
entity of a State that is responsible for the licensing and	161
regulation of Dentists or Dental Hygienists.	162
SECTION 3. STATE PARTICIPATION IN THE COMPACT	163
A. In order to join the Compact and thereafter continue as	164
a Participating State, a State must:	165
1. Enact a compact that is not materially different from	166
the Model Compact as determined in accordance with Commission	167
Rules;	168
2. Participate fully in the Commission's Data System;	169
3. Have a mechanism in place for receiving and	170
investigating complaints about its Licensees and License	171
<pre>applicants;</pre>	172
4. Notify the Commission, in compliance with the terms of	173
the Compact and Commission Rules, of any Adverse Action or the	174
availability of Significant Investigative Information regarding	175
a Licensee and License applicant;	176
5. Fully implement a Criminal Background Check	177
requirement, within a time frame established by Commission Rule,	178
by receiving the results of a qualifying Criminal Background	179
<pre>Check;</pre>	180
6. Comply with the Commission Rules applicable to a	181
Participating State;	182
7. Accept the National Board Examinations of the Joint	183
Commission on National Dental Examinations or another	184
examination accepted by Commission Rule as a licensure	185

<pre>examination;</pre>	186
8. Accept for licensure that applicants for a Dentist	187
License graduate from a predoctoral dental education program	188
accredited by the Commission on Dental Accreditation or another	189
accrediting agency recognized by the United States Department of	190
Education for the accreditation of dentistry and dental hygiene	191
education programs, leading to the Doctor of Dental Surgery	192
(D.D.S.) or Doctor of Dental Medicine (D.M.D.) degree;	193
9. Accept for licensure that applicants for a Dental	194
Hygienist License graduate from a dental hygiene education	195
program accredited by the Commission on Dental Accreditation or	196
another accrediting agency recognized by the United States	197
Department of Education for the accreditation of dentistry and	198
dental hygiene education programs;	199
10. Require for licensure that applicants successfully	200
<pre>complete a Clinical Assessment;</pre>	201
11. Have Continuing Professional Development requirements	202
as a condition for License renewal; and	203
12. Pay a participation fee to the Commission as	204
established by Commission Rule.	205
B. Providing alternative pathways for an individual to	206
obtain an unrestricted License does not disqualify a State from	207
participating in the Compact.	208
C. When conducting a Criminal Background Check the State	209
<u>Licensing Authority shall:</u>	210
1. Consider that information in making a licensure	211
decision;	212
2. Maintain documentation of completion of the Criminal	213

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6. Have passed a National Board Examination of the Joint	241
Commission on National Dental Examinations or another	242
examination accepted by Commission Rule;	243
7. For a Dentist, have graduated from a predoctoral dental	244
education program accredited by the Commission on Dental	245
Accreditation or another accrediting agency recognized by the	246
United States Department of Education for the accreditation of	247
dentistry and dental hygiene education programs, leading to the	248
Doctor of Dental Surgery (D.D.S.) or Doctor of Dental Medicine	249
(D.M.D.) degree;	250
8. For a Dental Hygienist, have graduated from a dental	251
hygiene education program accredited by the Commission on Dental	252
Accreditation or another accrediting agency recognized by the	253
United States Department of Education for the accreditation of	254
dentistry and dental hygiene education programs;	255
9. Have successfully completed a Clinical Assessment for	256
licensure;	257
10. Report to the Commission Adverse Action taken by any	258
non-Participating State when applying for a Compact Privilege	259
and, otherwise, within thirty (30) days from the date the	260
Adverse Action is taken;	261
11. Report to the Commission when applying for a Compact	262
Privilege the address of the Licensee's primary residence and	263
thereafter immediately report to the Commission any change in	264
the address of the Licensee's primary residence; and	265
12. Consent to accept service of process by mail at the	266
Licensee's primary residence on record with the Commission with	267
respect to any action brought against the Licensee by the	268
Commission or a Participating State, and consent to accept_	269

service of a subpoena by mail at the Licensee's primary	270
residence on record with the Commission with respect to any	271
action brought or investigation conducted by the Commission or a	272
Participating State.	273
B. The Licensee must comply with the requirements of	274
subsection A of this section to maintain the Compact Privilege	275
in the Remote State. If those requirements are met, the Compact	276
Privilege will continue as long as the Licensee maintains a	277
Qualifying License in the State through which the Licensee	278
applied for the Compact Privilege and pays any applicable	279
Compact Privilege renewal fees.	280
C. A Licensee providing dentistry or dental hygiene in a	281
Remote State under the Compact Privilege shall function within	282
the Scope of Practice authorized by the Remote State for a	283
Dentist or Dental Hygienist licensed in that State.	284
D. A Licensee providing dentistry or dental hygiene	285
pursuant to a Compact Privilege in a Remote State is subject to	286
that State's regulatory authority. A Remote State may, in	287
accordance with due process and that State's laws, by Adverse	288
Action revoke or remove a Licensee's Compact Privilege in the	289
Remote State for a specific period of time and impose fines or	290
take any other necessary actions to protect the health and	291
safety of its citizens. If a Remote State imposes an Adverse	292
Action against a Compact Privilege that limits the Compact	293
Privilege, that Adverse Action applies to all Compact Privileges	294
in all Remote States. A Licensee whose Compact Privilege in a	295
Remote State is removed for a specified period of time is not	296
eligible for a Compact Privilege in any other Remote State until	297
the specific time for removal of the Compact Privilege has	298
passed and all encumbrance requirements are satisfied.	299

E. If a License in a Participating State is an Encumbered	300
License, the Licensee shall lose the Compact Privilege in a	301
Remote State and shall not be eligible for a Compact Privilege	302
in any Remote State until the License is no longer encumbered.	303
F. Once an Encumbered License in a Participating State is	304
restored to good standing, the Licensee must meet the	305
requirements of subsection A of this section to obtain a Compact	306
Privilege in a Remote State.	307
G. If a Licensee's Compact Privilege in a Remote State is	308
removed by the Remote State, the individual shall lose or be	309
ineligible for the Compact Privilege in any Remote State until	310
the following occur:	311
1. The specific period of time for which the Compact	312
Privilege was removed has ended; and	313
2. All conditions for removal of the Compact Privilege	314
have been satisfied.	315
H. Once the requirements of subsection G of this section	316
have been met, the Licensee must meet the requirements in	317
subsection A of this section to obtain a Compact Privilege in a	318
Remote State.	319
SECTION 5. ACTIVE MILITARY MEMBER OR THEIR SPOUSES	320
An Active Military Member and their spouse shall not be	321
required to pay to the Commission for a Compact Privilege the	322
fee otherwise charged by the Commission. If a Remote State	323
chooses to charge a fee for a Compact Privilege, it may choose	324
to charge a reduced fee or no fee to an Active Military Member	325
and their spouse for a Compact Privilege.	326
SECTION 6. ADVERSE ACTIONS	327

A. A Participating State in which a Licensee is licensed	328
shall have exclusive authority to impose Adverse Action against	329
the Qualifying License issued by that Participating State.	330
B. A Participating State may take Adverse Action based on	331
the Significant Investigative Information of a Remote State, so	332
long as the Participating State follows its own procedures for	333
imposing Adverse Action.	334
C. Nothing in this Compact shall override a Participating	335
State's decision that participation in an Alternative Program	336
may be used in lieu of Adverse Action and that such	337
participation shall remain non-public if required by the	338
Participating State's laws. Participating States must require	339
Licensees who enter any Alternative Program in lieu of	340
discipline to agree not to practice pursuant to a Compact	341
Privilege in any other Participating State during the term of	342
the Alternative Program without prior authorization from such	343
other Participating State.	344
D. Any Participating State in which a Licensee is applying	345
to practice or is practicing pursuant to a Compact Privilege may	346
investigate actual or alleged violations of the statutes and	347
regulations authorizing the practice of dentistry or dental	348
hygiene in any other Participating State in which the Dentist or	349
Dental Hygienist holds a License or Compact Privilege.	350
E. A Remote State shall have the authority to:	351
1. Take Adverse Actions as set forth in Section 4.D	352
against a Licensee's Compact Privilege in the State;	353
2. In furtherance of its rights and responsibilities under	354
the Compact and the Commission's Rules issue subpoenas for both	355
hearings and investigations that require the attendance and	356

testimony of witnesses, and the production of evidence.	357
Subpoenas issued by a State Licensing Authority in a	358
Participating State for the attendance and testimony of	359
witnesses, or the production of evidence from another	360
Participating State, shall be enforced in the latter State by	361
any court of competent jurisdiction, according to the practice	362
and procedure of that court applicable to subpoenas issued in	363
proceedings pending before it. The issuing authority shall pay	364
any witness fees, travel expenses, mileage, and other fees	365
required by the service statutes of the State where the	366
witnesses or evidence are located; and	367
3. If otherwise permitted by State law, recover from the	368
Licensee the costs of investigations and disposition of cases	369
resulting from any Adverse Action taken against that Licensee.	370
F. Joint Investigations	371
1. In addition to the authority granted to a Participating	372
State by its Dentist or Dental Hygienist licensure act or other	373
applicable State law, a Participating State may jointly	374
investigate Licensees with other Participating States.	375
2. Participating States shall share any Significant	376
Investigative Information, litigation, or compliance materials	377
in furtherance of any joint or individual investigation	378
initiated under the Compact.	379
G. Authority to Continue Investigation	380
1. After a Licensee's Compact Privilege in a Remote State	381
is terminated, the Remote State may continue an investigation of	382
the Licensee that began when the Licensee had a Compact	383
Privilege in that Remote State.	384
2. If the investigation yields what would be Significant	385

Investigative Information had the Licensee continued to have a	386
Compact Privilege in that Remote State, the Remote State shall	387
report the presence of such information to the Data System as	388
required by Section 8.B.6 as if it was Significant Investigative	389
Information.	390
SECTION 7. ESTABLISHMENT AND OPERATION OF THE COMMISSION.	391
A. The Compact Participating States hereby create and	392
establish a joint government agency whose membership consists of	393
all Participating States that have enacted the Compact. The	394
Commission is an instrumentality of the Participating States	395
acting jointly and not an instrumentality of any one State. The	396
Commission shall come into existence on or after the effective	397
date of the Compact as set forth in Section 11A.	398
B. Participation, Voting, and Meetings	399
1. Each Participating State shall have and be limited to	400
one (1) Commissioner selected by that Participating State's	401
State Licensing Authority or, if the State has more than one	402
State Licensing Authority, selected collectively by the State	403
Licensing Authorities.	404
2. The Commissioner shall be a member or designee of such	405
Authority or Authorities.	406
3. The Commission may by Rule or bylaw establish a term of	407
office for Commissioners and may by Rule or bylaw establish term	408
limits.	409
4. The Commission may recommend to a State Licensing	410
Authority or Authorities, as applicable, removal or suspension	411
of an individual as the State's Commissioner.	412
5. A Participating State's State Licensing Authority, or	413

Authorities, as applicable, shall fill any vacancy of its	414
Commissioner on the Commission within sixty (60) days of the	415
vacancy.	416
6. Each Commissioner shall be entitled to one vote on all	417
matters that are voted upon by the Commission.	418
7. The Commission shall meet at least once during each	419
calendar year. Additional meetings may be held as set forth in	420
the bylaws. The Commission may meet by telecommunication, video	421
conference or other similar electronic means.	422
C. The Commission shall have the following powers:	423
1. Establish the fiscal year of the Commission;	424
2. Establish a code of conduct and conflict of interest	425
policies;	426
3. Adopt Rules and bylaws;	427
4. Maintain its financial records in accordance with the	428
<pre>bylaws;</pre>	429
5. Meet and take such actions as are consistent with the	430
provisions of this Compact, the Commission's Rules, and the	431
bylaws;	432
6. Initiate and conclude legal proceedings or actions in	433
the name of the Commission, provided that the standing of any	434
State Licensing Authority to sue or be sued under applicable law	435
shall not be affected;	436
7. Maintain and certify records and information provided	437
to a Participating State as the authenticated business records	438
of the Commission, and designate a person to do so on the	439
Commission's behalf;	440

8. Purchase and maintain insurance and bonds;	441
9. Borrow, accept, or contract for services of personnel,	442
including, but not limited to, employees of a Participating	443
State;	444
10. Conduct an annual financial review;	445
11. Hire employees, elect or appoint officers, fix	446
compensation, define duties, grant such individuals appropriate	447
authority to carry out the purposes of the Compact, and	448
establish the Commission's personnel policies and programs	449
relating to conflicts of interest, qualifications of personnel,	450
and other related personnel matters;	451
12. As set forth in the Commission Rules, charge a fee to	452
a Licensee for the grant of a Compact Privilege in a Remote	453
State and thereafter, as may be established by Commission Rule,	454
charge the Licensee a Compact Privilege renewal fee for each	455
renewal period in which that Licensee exercises or intends to	456
exercise the Compact Privilege in that Remote State. Nothing	457
herein shall be construed to prevent a Remote State from	458
charging a Licensee a fee for a Compact Privilege or renewals of	459
a Compact Privilege, or a fee for the Jurisprudence Requirement	460
if the Remote State imposes such a requirement for the grant of	461
a Compact Privilege;	462
13. Accept any and all appropriate gifts, donations,	463
grants of money, other sources of revenue, equipment, supplies,	464
materials, and services, and receive, utilize, and dispose of	465
the same; provided that at all times the Commission shall avoid	466
any appearance of impropriety and/or conflict of interest;	467
14. Lease, purchase, retain, own, hold, improve, or use	468
any proporty roal porgonal or mixed or any undivided	160

<pre>interest therein;</pre>	470
15. Sell, convey, mortgage, pledge, lease, exchange,	471
abandon, or otherwise dispose of any property real, personal, or	472
<pre>mixed;</pre>	473
16. Establish a budget and make expenditures;	474
17. Borrow money;	475
18. Appoint committees, including standing committees,	476
which may be composed of members, State regulators, State	477
legislators or their representatives, and consumer	478
representatives, and such other interested persons as may be	479
designated in this Compact and the bylaws;	480
19. Provide and receive information from, and cooperate	481
with, law enforcement agencies;	482
20. Elect a Chair, Vice Chair, Secretary and Treasurer and	483
such other officers of the Commission as provided in the	484
<pre>Commission's bylaws;</pre>	485
21. Establish and elect an Executive Board;	486
22. Adopt and provide to the Participating States an	487
annual report;	488
23. Determine whether a State's enacted compact is	489
materially different from the Model Compact language such that	490
the State would not qualify for participation in the Compact;	491
and	492
24. Perform such other functions as may be necessary or	493
appropriate to achieve the purposes of this Compact.	494
D. Meetings of the Commission	495
1. All meetings of the Commission that are not closed	496

pursuant to this subsection shall be open to the public. Notice	497
of public meetings shall be posted on the Commission's website	498
at least thirty (30) days prior to the public meeting.	499
2. Notwithstanding subsection D.1 of this section, the	500
Commission may convene an emergency public meeting by providing	501
at least twenty-four (24) hours prior notice on the Commission's	502
website, and any other means as provided in the Commission's	503
Rules, for any of the reasons it may dispense with notice of	504
proposed rulemaking under Section 9.L. The Commission's legal	505
counsel shall certify that one of the reasons justifying an	506
<pre>emergency public meeting has been met.</pre>	507
3. Notice of all Commission meetings shall provide the	508
time, date, and location of the meeting, and if the meeting is	509
to be held or accessible via telecommunication, video	510
conference, or other electronic means, the notice shall include	511
the mechanism for access to the meeting through such means.	512
4. The Commission may convene in a closed, non-public	513
meeting for the Commission to receive legal advice or to	514
discuss:	515
a. Non-compliance of a Participating State with its	516
obligations under the Compact;	517
b. The employment, compensation, discipline or other	518
matters, practices or procedures related to specific employees	519
or other matters related to the Commission's internal personnel	520
<pre>practices and procedures;</pre>	521
c. Current or threatened discipline of a Licensee or	522
Compact Privilege holder by the Commission or by a Participating	523
State's Licensing Authority;	524
d. Current, threatened, or reasonably anticipated	525

litigation;	526
e. Negotiation of contracts for the purchase, lease, or	527
sale of goods, services, or real estate;	528
f. Accusing any person of a crime or formally censuring	529
any person;	530
g. Trade secrets or commercial or financial information	531
that is privileged or confidential;	532
h. Information of a personal nature where disclosure would	533
constitute a clearly unwarranted invasion of personal privacy;	534
i. Investigative records compiled for law enforcement	535
purposes;	536
j. Information related to any investigative reports	537
prepared by or on behalf of or for use of the Commission or	538
other committee charged with responsibility of investigation or	539
determination of compliance issues pursuant to the Compact;	540
k. Legal advice;	541
1. Matters specifically exempted from disclosure to the	542
public by federal or Participating State law; and	543
m. Other matters as promulgated by the Commission by Rule.	544
5. If a meeting, or portion of a meeting, is closed, the	545
presiding officer shall state that the meeting will be closed	546
and reference each relevant exempting provision, and such	547
reference shall be recorded in the minutes.	548
6. The Commission shall keep minutes that fully and	549
clearly describe all matters discussed in a meeting and shall	550
provide a full and accurate summary of actions taken, and the	551
reasons therefore, including a description of the views	552

<u>expressed. All documents considered in connection with an action</u>	553
shall be identified in such minutes. All minutes and documents	554
of a closed meeting shall remain under seal, subject to release	555
only by a majority vote of the Commission or order of a court of	556
competent jurisdiction.	557
E. Financing of the Commission	558
1. The Commission shall pay, or provide for the payment	559
of, the reasonable expenses of its establishment, organization,	560
and ongoing activities.	561
2. The Commission may accept any and all appropriate	562
sources of revenue, donations, and grants of money, equipment,	563
supplies, materials, and services.	564
3. The Commission may levy on and collect an annual	565
assessment from each Participating State and impose fees on	566
Licensees of Participating States when a Compact Privilege is	567
granted, to cover the cost of the operations and activities of	568
the Commission and its staff, which must be in a total amount	569
sufficient to cover its annual budget as approved each fiscal	570
year for which sufficient revenue is not provided by other	571
sources. The aggregate annual assessment amount for	572
Participating States shall be allocated based upon a formula	573
that the Commission shall promulgate by Rule.	574
4. The Commission shall not incur obligations of any kind	575
prior to securing the funds adequate to meet the same; nor shall	576
the Commission pledge the credit of any Participating State,	577
except by and with the authority of the Participating State.	578
5. The Commission shall keep accurate accounts of all	579
receipts and disbursements. The receipts and disbursements of	580
the Commission shall be subject to the financial review and	581

accounting procedures established under its bylaws. All receipts	582
and disbursements of funds handled by the Commission shall be	583
subject to an annual financial review by a certified or licensed	584
public accountant, and the report of the financial review shall	585
be included in and become part of the annual report of the	586
Commission.	587
F. The Executive Board	588
1. The Executive Board shall have the power to act on	589
behalf of the Commission according to the terms of this Compact.	590
The powers, duties, and responsibilities of the Executive Board	591
<pre>shall include:</pre>	592
a. Overseeing the day-to-day activities of the	593
administration of the Compact including compliance with the	594
provisions of the Compact, the Commission's Rules and bylaws;	595
b. Recommending to the Commission changes to the Rules or	596
bylaws, changes to this Compact legislation, fees charged to	597
Compact Participating States, fees charged to Licensees, and	598
other fees;	599
c. Ensuring Compact administration services are	600
appropriately provided, including by contract;	601
d. Preparing and recommending the budget;	602
e. Maintaining financial records on behalf of the	603
<pre>Commission;</pre>	604
f. Monitoring Compact compliance of Participating States	605
and providing compliance reports to the Commission;	606
g. Establishing additional committees as necessary;	607
h. Exercising the powers and duties of the Commission	608

during the interim between Commission meetings, except for	609
adopting or amending Rules, adopting or amending bylaws, and	610
exercising any other powers and duties expressly reserved to the	611
Commission by Rule or bylaw; and	612
i. Other duties as provided in the Rules or bylaws of the	613
Commission.	614
2. The Executive Board shall be composed of up to seven	615
<pre>(7) members:</pre>	616
a. The Chair, Vice Chair, Secretary and Treasurer of the	617
Commission and any other members of the Commission who serve on	618
the Executive Board shall be voting members of the Executive	619
Board; and	620
b. Other than the Chair, Vice Chair, Secretary, and	621
Treasurer, the Commission may elect up to three (3) voting	622
members from the current membership of the Commission.	623
3. The Commission may remove any member of the Executive	624
Board as provided in the Commission's bylaws.	625
4. The Executive Board shall meet at least annually.	626
a. An Executive Board meeting at which it takes or intends	627
to take formal action on a matter shall be open to the public,	628
except that the Executive Board may meet in a closed, non-public	629
session of a public meeting when dealing with any of the matters	630
<pre>covered under subsection D.4.</pre>	631
b. The Executive Board shall give five (5) business days'	632
notice of its public meetings, posted on its website and as it	633
may otherwise determine to provide notice to persons with an	634
interest in the public matters the Executive Board intends to	635
address at those meetings.	636

5. The Executive Board may hold an emergency meeting when	637
acting for the Commission to:	638
a. Meet an imminent threat to public health, safety, or_	639
welfare;	640
b. Prevent a loss of Commission or Participating State	641
funds; or	642
	012
c. Protect public health and safety.	643
G. Qualified Immunity, Defense, and Indemnification	644
1. The members, officers, executive director, employees	645
and representatives of the Commission shall be immune from suit	646
and liability, both personally and in their official capacity,	647
for any claim for damage to or loss of property or personal	648
injury or other civil liability caused by or arising out of any	649
actual or alleged act, error, or omission that occurred, or that	650
the person against whom the claim is made had a reasonable basis	651
for believing occurred within the scope of Commission	652
employment, duties or responsibilities; provided that nothing in	653
this paragraph shall be construed to protect any such person	654
from suit or liability for any damage, loss, injury, or	655
liability caused by the intentional or willful or wanton	656
misconduct of that person. The procurement of insurance of any	657
type by the Commission shall not in any way compromise or limit	658
the immunity granted hereunder.	659
2. The Commission shall defend any member, officer,	660
executive director, employee, and representative of the	661
Commission in any civil action seeking to impose liability	662
arising out of any actual or alleged act, error, or omission	663
that occurred within the scope of Commission employment, duties,	664
or responsibilities, or as determined by the Commission that the	665

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person against whom the claim is made had a reasonable basis for	666
believing occurred within the scope of Commission employment,	667
duties, or responsibilities; provided that nothing herein shall	668
be construed to prohibit that person from retaining their own	669
counsel at their own expense; and provided further, that the	670
actual or alleged act, error, or omission did not result from	671
that person's intentional or willful or wanton misconduct.	672
3. Notwithstanding subsection G.1 of this section, should	673
any member, officer, executive director, employee, or	674
representative of the Commission be held liable for the amount	675
of any settlement or judgment arising out of any actual or	676
alleged act, error, or omission that occurred within the scope	677
of that individual's employment, duties, or responsibilities for	678
the Commission, or that the person to whom that individual is	679
liable had a reasonable basis for believing occurred within the	680
scope of the individual's employment, duties, or	681
responsibilities for the Commission, the Commission shall	682
indemnify and hold harmless such individual, provided that the	683
actual or alleged act, error, or omission did not result from	684
the intentional or willful or wanton misconduct of the	685
individual.	686
4. Nothing herein shall be construed as a limitation on	687
the liability of any Licensee for professional malpractice or	688
misconduct, which shall be governed solely by any other	689
applicable State laws.	690
5. Nothing in this Compact shall be interpreted to waive	691
or otherwise abrogate a Participating State's state action	692
immunity or state action affirmative defense with respect to	693
antitrust claims under the Sherman Act, Clayton Act, or any	694
other State or federal antitrust or anticompetitive law or	695

regulation.	696
6. Nothing in this Compact shall be construed to be a	697
waiver of sovereign immunity by the Participating States or by	698
the Commission.	699
SECTION 8. DATA SYSTEM	700
A. The Commission shall provide for the development,	701
maintenance, operation, and utilization of a coordinated	702
database and reporting system containing licensure, Adverse	703
Action, and the presence of Significant Investigative	704
Information on all Licensees and applicants for a License in	705
Participating States.	706
B. Notwithstanding any other provision of State law to the	707
contrary, a Participating State shall submit a uniform data set	708
to the Data System on all individuals to whom this Compact is	709
applicable as required by the Rules of the Commission,	710
<pre>including:</pre>	711
1. Identifying information;	712
2. Licensure data;	713
3. Adverse Actions against a Licensee, License applicant	714
or Compact Privilege and information related thereto;	715
4. Non-confidential information related to Alternative	716
Program participation, the beginning and ending dates of such	717
participation, and other information related to such	718
<pre>participation;</pre>	719
5. Any denial of an application for licensure, and the	720
reason(s) for such denial, (excluding the reporting of any	721
criminal history record information where prohibited by law);	722

6. The presence of Significant Investigative Information;	723
and	724
7. Other information that may facilitate the	725
administration of this Compact or the protection of the public,	726
as determined by the Rules of the Commission.	727
C. The records and information provided to a Participating	728
State pursuant to this Compact or through the Data System, when	729
certified by the Commission or an agent thereof, shall	730
constitute the authenticated business records of the Commission,	731
and shall be entitled to any associated hearsay exception in any	732
relevant judicial, quasi-judicial or administrative proceedings	733
in a Participating State.	734
D. Significant Investigative Information pertaining to a	735
Licensee in any Participating State will only be available to	736
other Participating States.	737
E. It is the responsibility of the Participating States to	738
monitor the database to determine whether Adverse Action has	739
been taken against a Licensee or License applicant. Adverse	740
Action information pertaining to a Licensee or License applicant	741
in any Participating State will be available to any other	742
Participating State.	743
F. Participating States contributing information to the	744
Data System may designate information that may not be shared	745
with the public without the express permission of the	746
contributing State.	747
G. Any information submitted to the Data System that is	748
subsequently expunded pursuant to federal law or the laws of the	749
Participating State contributing the information shall be	750
removed from the Data System.	751

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SECTION 9. RULEMAKING	752
A. The Commission shall promulgate reasonable Rules in	753
order to effectively and efficiently implement and administer	754
the purposes and provisions of the Compact. A Commission Rule	755
shall be invalid and have no force or effect only if a court of	756
competent jurisdiction holds that the Rule is invalid because	757
the Commission exercised its rulemaking authority in a manner	758
that is beyond the scope and purposes of the Compact, or the	759
powers granted hereunder, or based upon another applicable	760
standard of review.	761
B. The Rules of the Commission shall have the force of law	762
in each Participating State, provided however that where the	763
Rules of the Commission conflict with the laws of the	764
Participating State that establish the Participating State's	765
Scope of Practice as held by a court of competent jurisdiction,	766
the Rules of the Commission shall be ineffective in that State	767
to the extent of the conflict.	768
C. The Commission shall exercise its Rulemaking powers	769
pursuant to the criteria set forth in this section and the Rules	770
adopted thereunder. Rules shall become binding as of the date	771
specified by the Commission for each Rule.	772
D. If a majority of the legislatures of the Participating	773
States rejects a Commission Rule or portion of a Commission	774
Rule, by enactment of a statute or resolution in the same manner	775
used to adopt the Compact, within four (4) years of the date of	776
adoption of the Rule, then such Rule shall have no further force	777
and effect in any Participating State or to any State applying	778
to participate in the Compact.	779
E. Rules shall be adopted at a regular or special meeting	780

of the Commission.	781
F. Prior to adoption of a proposed Rule, the Commission	782
shall hold a public hearing and allow persons to provide oral	783
and written comments, data, facts, opinions, and arguments.	784
G. Prior to adoption of a proposed Rule by the Commission,	785
and at least thirty (30) days in advance of the meeting at which	786
the Commission will hold a public hearing on the proposed Rule,	787
the Commission shall provide a Notice of Proposed Rulemaking:	788
1. On the website of the Commission or other publicly	789
accessible platform;	790
2. To persons who have requested notice of the	791
Commission's notices of proposed rulemaking, and	792
3. In such other way(s) as the Commission may by Rule	793
specify.	794
H. The Notice of Proposed Rulemaking shall include:	795
1. The time, date, and location of the public hearing at	796
which the Commission will hear public comments on the proposed	797
Rule and, if different, the time, date, and location of the	798
meeting where the Commission will consider and vote on the	799
<pre>proposed Rule;</pre>	800
2. If the hearing is held via telecommunication, video	801
conference, or other electronic means, the Commission shall	802
include the mechanism for access to the hearing in the Notice of	803
<pre>Proposed Rulemaking;</pre>	804
3. The text of the proposed Rule and the reason therefor;	805
4. A request for comments on the proposed Rule from any	806
interested person; and	807

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5. The manner in which interested persons may submit	808
written comments.	809
I. All hearings will be recorded. A copy of the recording	810
and all written comments and documents received by the	811
Commission in response to the proposed Rule shall be available	812
to the public.	813
J. Nothing in this section shall be construed as requiring	814
a separate hearing on each Commission Rule. Rules may be grouped	815
for the convenience of the Commission at hearings required by	816
this section.	817
K. The Commission shall, by majority vote of all	818
Commissioners, take final action on the proposed Rule based on	819
the rulemaking record.	820
1. The Commission may adopt changes to the proposed Rule	821
provided the changes do not enlarge the original purpose of the	822
proposed Rule.	823
2. The Commission shall provide an explanation of the	824
reasons for substantive changes made to the proposed Rule as	825
well as reasons for substantive changes not made that were	826
recommended by commenters.	827
3. The Commission shall determine a reasonable effective	828
date for the Rule. Except for an emergency as provided in	829
subsection L, the effective date of the Rule shall be no sooner	830
than thirty (30) days after the Commission issuing the notice	831
that it adopted or amended the Rule.	832
L. Upon determination that an emergency exists, the	833
Commission may consider and adopt an emergency Rule with 24	834
hours' notice, with opportunity to comment, provided that the	835
usual rulemaking procedures provided in the Compact and in this	836

section shall be retroactively applied to the Rule as soon as	837
reasonably possible, in no event later than ninety (90) days	838
after the effective date of the Rule. For the purposes of this	839
provision, an emergency Rule is one that must be adopted	840
<pre>immediately in order to:</pre>	841
1. Meet an imminent threat to public health, safety, or	842
welfare;	843
2. Prevent a loss of Commission or Participating State	844
funds;	845
3. Meet a deadline for the promulgation of a Rule that is	846
established by federal law or rule; or	847
4. Protect public health and safety.	848
M. The Commission or an authorized committee of the	849
Commission may direct revisions to a previously adopted Rule for	850
purposes of correcting typographical errors, errors in format,	851
errors in consistency, or grammatical errors. Public notice of	852
any revisions shall be posted on the website of the Commission.	853
The revision shall be subject to challenge by any person for a	854
period of thirty (30) days after posting. The revision may be	855
challenged only on grounds that the revision results in a	856
material change to a Rule. A challenge shall be made in writing	857
and delivered to the Commission prior to the end of the notice	858
period. If no challenge is made, the revision will take effect	859
without further action. If the revision is challenged, the	860
revision may not take effect without the approval of the	861
Commission.	862
N. No Participating State's rulemaking requirements shall	863
apply under this Compact	864
SECTION 10. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT	865

A. Oversight	866
1. The executive and judicial branches of State government	867
in each Participating State shall enforce this Compact and take	868
all actions necessary and appropriate to implement the Compact.	869
2. Venue is proper and judicial proceedings by or against	870
the Commission shall be brought solely and exclusively in a	871
court of competent jurisdiction where the principal office of	872
the Commission is located. The Commission may waive venue and	873
jurisdictional defenses to the extent it adopts or consents to	874
participate in alternative dispute resolution proceedings.	875
Nothing herein shall affect or limit the selection or propriety	876
of venue in any action against a Licensee for professional	877
malpractice, misconduct or any such similar matter.	878
3. The Commission shall be entitled to receive service of	879
process in any proceeding regarding the enforcement or	880
interpretation of the Compact or Commission Rule and shall have	881
standing to intervene in such a proceeding for all purposes.	882
Failure to provide the Commission service of process shall	883
render a judgment or order void as to the Commission, this	884
Compact, or promulgated Rules.	885
B. Default, Technical Assistance, and Termination	886
1. If the Commission determines that a Participating State	887
has defaulted in the performance of its obligations or	888
responsibilities under this Compact or the promulgated Rules,	889
the Commission shall provide written notice to the defaulting	890
State. The notice of default shall describe the default, the	891
proposed means of curing the default, and any other action that	892
the Commission may take, and shall offer training and specific	893
technical assistance regarding the default.	894

2. The Commission shall provide a copy of the notice of	895
default to the other Participating States.	896
C. If a State in default fails to cure the default, the	897
defaulting State may be terminated from the Compact upon an	898
affirmative vote of a majority of the Commissioners, and all	899
rights, privileges and benefits conferred on that State by this	900
Compact may be terminated on the effective date of termination.	901
A cure of the default does not relieve the offending State of	902
obligations or liabilities incurred during the period of	903
default.	904
D. Termination of participation in the Compact shall be	905
imposed only after all other means of securing compliance have	906
been exhausted. Notice of intent to suspend or terminate shall	907
be given by the Commission to the governor, the majority and	908
minority leaders of the defaulting State's legislature, the	909
defaulting State's State Licensing Authority or Authorities, as	910
applicable, and each of the Participating States' State	911
Licensing Authority or Authorities, as applicable.	912
E. A State that has been terminated is responsible for all	913
assessments, obligations, and liabilities incurred through the	914
effective date of termination, including obligations that extend	915
beyond the effective date of termination.	916
F. Upon the termination of a State's participation in this	917
Compact, that State shall immediately provide notice to all	918
Licensees of the State, including Licensees of other	919
Participating States issued a Compact Privilege to practice	920
within that State, of such termination. The terminated State	921
shall continue to recognize all Compact Privileges then in	922
effect in that State for a minimum of one hundred eighty (180)	923
days after the date of said notice of termination.	924

G. The Commission shall not bear any costs related to a	925
State that is found to be in default or that has been terminated	926
from the Compact, unless agreed upon in writing between the	927
Commission and the defaulting State.	928
H. The defaulting State may appeal the action of the	929
Commission by petitioning the U.S. District Court for the	930
District of Columbia or the federal district where the	931
Commission has its principal offices. The prevailing party shall	932
be awarded all costs of such litigation, including reasonable	933
attorney's fees.	934
I. Dispute Resolution	935
1. Upon request by a Participating State, the Commission	936
shall attempt to resolve disputes related to the Compact that	937
arise among Participating States and between Participating	938
States and non-Participating States.	939
2. The Commission shall promulgate a Rule providing for	940
both mediation and binding dispute resolution for disputes as	941
appropriate.	942
J. Enforcement	943
1. The Commission, in the reasonable exercise of its	944
discretion, shall enforce the provisions of this Compact and the	945
Commission's Rules.	946
2. By majority vote, the Commission may initiate legal	947
action against a Participating State in default in the United	948
States District Court for the District of Columbia or the	949
federal district where the Commission has its principal offices	950
to enforce compliance with the provisions of the Compact and its	951
promulgated Rules. The relief sought may include both injunctive	952
relief and damages. In the event judicial enforcement is	953

necessary, the prevailing party shall be awarded all costs of	954
such litigation, including reasonable attorney's fees. The	955
remedies herein shall not be the exclusive remedies of the	956
Commission. The Commission may pursue any other remedies	957
available under federal or the defaulting Participating State's	958
law.	959
3. A Participating State may initiate legal action against	960
the Commission in the U.S. District Court for the District of	961
Columbia or the federal district where the Commission has its	962
principal offices to enforce compliance with the provisions of	963
the Compact and its promulgated Rules. The relief sought may	964
include both injunctive relief and damages. In the event	965
judicial enforcement is necessary, the prevailing party shall be	966
awarded all costs of such litigation, including reasonable	967
attorney's fees.	968
4. No individual or entity other than a Participating	969
State may enforce this Compact against the Commission.	970
SECTION 11. EFFECTIVE DATE, WITHDRAWAL, AND AMENDMENT	971
A. The Compact shall come into effect on the date on which	972
the Compact statute is enacted into law in the seventh	973
Participating State.	974
1. On or after the effective date of the Compact, the	975
Commission shall convene and review the enactment of each of the	976
States that enacted the Compact prior to the Commission	977
convening ("Charter Participating States") to determine if the	978
statute enacted by each such Charter Participating State is	979
materially different than the Model Compact.	980
a. A Charter Participating State whose enactment is found	981
to be materially different from the Model Compact shall be	982

entitled to the default process set forth in Section 10.	983
b. If any Participating State is later found to be in	984
default, or is terminated or withdraws from the Compact, the	985
Commission shall remain in existence and the Compact shall	986
remain in effect even if the number of Participating States	987
should be less than seven (7).	988
2. Participating States enacting the Compact subsequent to	989
the Charter Participating States shall be subject to the process	990
set forth in Section 7.C.23 to determine if their enactments are	991
materially different from the Model Compact and whether they	992
qualify for participation in the Compact.	993
3. All actions taken for the benefit of the Commission or	994
in furtherance of the purposes of the administration of the	995
Compact prior to the effective date of the Compact or the	996
Commission coming into existence shall be considered to be	997
actions of the Commission unless specifically repudiated by the	998
Commission.	999
4. Any State that joins the Compact subsequent to the	1000
Commission's initial adoption of the Rules and bylaws shall be	1001
subject to the Commission's Rules and bylaws as they exist on	1002
the date on which the Compact becomes law in that State. Any	1003
Rule that has been previously adopted by the Commission shall	1004
have the full force and effect of law on the day the Compact	1005
becomes law in that State.	1006
B. Any Participating State may withdraw from this Compact	1007
by enacting a statute repealing that State's enactment of the	1008
Compact.	1009
1. A Participating State's withdrawal shall not take	1010
officet until one hundred eighty (180) days after enactment of	1 0 1 1

the repealing statute.	1012
2. Withdrawal shall not affect the continuing requirement	1013
of the withdrawing State's Licensing Authority or Authorities to	1014
comply with the investigative and Adverse Action reporting	1015
requirements of this Compact prior to the effective date of	1016
withdrawal.	1017
3. Upon the enactment of a statute withdrawing from this	1018
Compact, the State shall immediately provide notice of such	1019
withdrawal to all Licensees within that State. Notwithstanding	1020
any subsequent statutory enactment to the contrary, such	1021
withdrawing State shall continue to recognize all Compact	1022
Privileges to practice within that State granted pursuant to	1023
this Compact for a minimum of one hundred eighty (180) days	1024
after the date of such notice of withdrawal.	1025
C. Nothing contained in this Compact shall be construed to	1026
invalidate or prevent any licensure agreement or other	1027
cooperative arrangement between a Participating State and a non-	1028
Participating State that does not conflict with the provisions	1029
of this Compact.	1030
D. This Compact may be amended by the Participating	1031
States. No amendment to this Compact shall become effective and	1032
binding upon any Participating State until it is enacted into	1033
the laws of all Participating States.	1034
SECTION 12. CONSTRUCTION AND SEVERABILITY	1035
A. This Compact and the Commission's rulemaking authority	1036
shall be liberally construed so as to effectuate the purposes,	1037
and the implementation and administration of the Compact.	1038
Provisions of the Compact expressly authorizing or requiring the	1039
promulgation of Rules shall not be construed to limit the	1040

Commission's rulemaking authority solely for those purposes.	1041
B. The provisions of this Compact shall be severable and	1042
if any phrase, clause, sentence or provision of this Compact is	1043
held by a court of competent jurisdiction to be contrary to the	1044
constitution of any Participating State, a State seeking	1045
participation in the Compact, or of the United States, or the	1046
applicability thereof to any government, agency, person or	1047
circumstance is held to be unconstitutional by a court of	1048
competent jurisdiction, the validity of the remainder of this	1049
Compact and the applicability thereof to any other government,	1050
agency, person or circumstance shall not be affected thereby.	1051
C. Notwithstanding subsection B of this section, the	1052
Commission may deny a State's participation in the Compact or,	1053
in accordance with the requirements of Section 10.B, terminate a	1054
Participating State's participation in the Compact, if it	1055
determines that a constitutional requirement of a Participating	1056
State is a material departure from the Compact. Otherwise, if	1057
this Compact shall be held to be contrary to the constitution of	1058
any Participating State, the Compact shall remain in full force	1059
and effect as to the remaining Participating States and in full	1060
force and effect as to the Participating State affected as to	1061
all severable matters.	1062
SECTION 13. CONSISTENT EFFECT AND CONFLICT WITH OTHER	1063
STATE LAWS	1064
A. Nothing herein shall prevent or inhibit the enforcement	1065
of any other law of a Participating State that is not	1066
inconsistent with the Compact.	1067
B. Any laws, statutes, regulations, or other legal	1068
requirements in a Participating State in conflict with the	1069

Compact are superseded to the extent of the conflict.	1070
C. All permissible agreements between the Commission and	1071
the Participating States are binding in accordance with their	1072
terms.	1073
Sec. 4715.272. (A) Not later than sixty days after the	1074
"Dentist and Dental Hygienist Compact" is entered into under	1075
section 4715.271 of the Revised Code, the state dental board, in	1076
accordance with Section 7 of the compact, shall select one	1077
individual to serve as a commissioner to the dentist and dental	1078
hygienist compact commission created under the compact. The	1079
board shall fill a vacancy in this position not later than sixty	1080
days after the vacancy occurs.	1081
(B) The board may establish a fee for a licensee from a	1082
compact state to apply for compact privilege or renew compact	1083
privilege. The board may reduce or waive this fee for an active-	1084
duty military individual or that individual's spouse in	1085
accordance with Section 5 of the compact.	1086
Section 2. Section 1 of this act takes effect January 1,	1087
2025.	1088