THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 1920 Session of 2019

INTRODUCED BY READSHAW, NEILSON, MERSKI, MILLARD, T. DAVIS, STAATS, KINSEY, DeLUCA, HILL-EVANS AND DEASY, OCTOBER 15, 2019

REFERRED TO COMMITTEE ON HUMAN SERVICES, OCTOBER 15, 2019

AN ACT

- 1 Providing for involuntary treatment requirements and procedures
- for individuals suffering from alcohol and other drug abuse;
- and imposing duties on the Department of Health and the
- 4 Department of Drug and Alcohol Programs.
- 5 The General Assembly of the Commonwealth of Pennsylvania
- 6 hereby enacts as follows:
- 7 Section 1. Short title.
- 8 This act shall be known and may be cited as the Involuntary
- 9 Drug and Alcohol Treatment Act.
- 10 Section 2. Definitions.
- 11 The following words and phrases when used in this act shall
- 12 have the meanings given to them in this section unless the
- 13 context clearly indicates otherwise:
- "Alcohol and other drug abuse." Alcoholism or drug
- 15 addiction.
- 16 "Another drug." A controlled substance as defined in section
- 17 2 of the act of April 14, 1972 (P.L.233, No.64), known as The
- 18 Controlled Substance, Drug, Device and Cosmetic Act.

- 1 "Danger" or "threat of danger to self, family or others."
- 2 Substantial physical harm or threat of substantial physical harm
- 3 upon self, family or others.
- 4 "Hospital." A facility licensed as a hospital under 28 Pa.
- 5 Code Pt. IV Subpt. B (relating to general and special
- 6 hospitals). The term does not include either a hospital operated
- 7 by the Department of Health and Department of Drug and Alcohol
- 8 Programs or an inpatient unit licensed by the Department of
- 9 Health and Department of Drug and Alcohol Programs.
- "Intoxicated." Being under the influence of alcohol, another
- 11 drug or both alcohol and another drug and, as a result, having a
- 12 significantly impaired ability to function.
- 13 "Petitioner." An individual who institutes a proceeding
- 14 under this act.
- 15 "Qualified health professional." An individual who is
- 16 properly credentialed or licensed to conduct a drug and alcohol
- 17 assessment and diagnosis under the laws of this Commonwealth.
- 18 "Residence." The legal residence of an individual as
- 19 determined by applicable principles governing conflicts of law.
- 20 "Respondent." An individual alleged in a petition filed or
- 21 hearing under this act to be an individual who is suffering from
- 22 alcohol and other drug abuse and who may be ordered to undergo
- 23 treatment.
- 24 "Treatment." Services and programs for the care and
- 25 rehabilitation of intoxicated individuals and individuals
- 26 suffering from alcohol and other drug abuse. The term includes
- 27 residential treatment, a halfway house setting and an intensive
- 28 outpatient or outpatient level of care.
- 29 Section 3. Involuntary treatment for alcohol and other drug
- 30 abuse.

- 1 A court of common pleas may order involuntary treatment for
- 2 an individual suffering from alcohol and other drug abuse
- 3 pursuant to the procedures set forth under this act.
- 4 Section 4. Criteria for involuntary treatment.
- 5 No individual shall be ordered to undergo treatment under
- 6 this act unless all of the following apply to that individual:
- 7 (1) The individual suffers from alcohol and other drug
- 8 abuse.
- 9 (2) The individual presents an imminent danger or
- imminent threat of danger to self, family or others as a
- 11 result of alcohol and other drug abuse, or there exists a
- 12 substantial likelihood of such a threat in the near future.
- 13 (3) The individual can reasonably benefit from
- 14 treatment.
- 15 Section 5. Initiation of proceedings and petition.
- 16 (a) General rule. -- An individual may initiate proceedings
- 17 for treatment for an individual suffering from alcohol and other
- 18 drug abuse by filing a verified petition in the court of common
- 19 pleas and paying a filing fee in the same amount, if any, that
- 20 is charged for a petition for involuntary treatment under the
- 21 act of July 9, 1976 (P.L.817, No.143), known as the Mental
- 22 Health Procedures Act. The petition and all subsequent court
- 23 documents shall be entitled: "In the interest of (name of
- 24 respondent)." A spouse, relative or quardian of the respondent
- 25 shall file the petition.
- 26 (b) Contents of petition. -- A petition filed under this act
- 27 shall set forth all of the following:
- 28 (1) The petitioner's relationship to the respondent.
- 29 (2) The respondent's name, residence and current
- 30 location, if known.

- 1 (3) The name and residence of the respondent's parents, 2 if living and if known, or of the respondent's legal 3 quardian, if any and if known.
- 4 (4) The name and residence of the respondent's spouse, 5 if any and if known.
 - (5) The name and residence of the individual having custody of the respondent, if any, or if the individual is not known, the name and residence of a near relative or a statement that the individual is unknown.
 - (6) The petitioner's belief, including the factual basis for the belief, that the respondent is suffering from alcohol and other drug abuse and presents an imminent danger or imminent threat of danger to self, family or others if not treated for alcohol and other drug abuse.
 - (c) Certificate and statement. -- The following shall apply:
- 16 A petition filed under this act shall be accompanied 17 by a certificate of a physician who has examined the 18 respondent within two days prior to the day that the petition 19 is filed in the court of common pleas. The physician shall be 20 authorized to practice medicine and surgery or osteopathic 21 medicine and surgery under the act of December 20, 1985 22 (P.L.457, No.112), known as the Medical Practice Act of 1985, 23 or the act of October 5, 1978 (P.L.1109, No.261), known as 24 the Osteopathic Medical Practice Act. The physician's 25 certificate shall set forth the physician's findings in 26 support of the need to treat the respondent for alcohol and 27 other drug abuse. The certificate shall indicate if the 28 respondent presents an imminent danger or imminent threat of 29 danger to self, family or others if not treated. Further, the 30 certificate shall indicate the type and length of treatment

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1 required and if the respondent can reasonably benefit from

2 treatment. If the physician's certificate indicates that

3 inpatient treatment is required, the certificate shall

4 identify any inpatient facilities known to the physician that

are able and willing to provide the recommended inpatient

6 treatment. If the respondent refuses to undergo an

7 examination with a physician concerning the respondent's

8 possible need for treatment for alcohol and other drug abuse,

9 the petition shall state that the respondent has refused all

10 requests made by the petitioner to undergo a physician's

11 examination. In that case, the petitioner may not be required

12 to provide a physician's certificate with the petition.

- (2) A petition filed under this act shall contain a statement that the petitioner has arranged for treatment of the respondent. Further, the petition shall be accompanied by a statement from the individual or facility that has agreed to provide the treatment that verifies that the individual or facility has agreed to provide the treatment and the estimated cost of the treatment.
- 20 (d) Deposit and guarantee. -- A petition filed under this act
 21 shall be accompanied by both of the following:
- 22 (1) A security deposit to be deposited with the clerk of 23 the court of common pleas that will cover half of the 24 estimated cost of treatment of the respondent.
- 25 (2) A guarantee, signed by the petitioner or another
 26 individual authorized to file the petition obligating the
 27 guarantor to pay the costs of the examinations of the
 28 respondent conducted by the physician and qualified health
 29 professional under section 6(b)(5), the costs of the
 30 respondent that are associated with a hearing conducted in

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- 1 accordance with section 6 and that the court determines to be
- 2 appropriate, and the costs of treatment ordered by the court.
- 3 Section 6. Examination, hearing and disposition.
- 4 (a) Examination. -- Upon receipt of a petition filed under
- 5 section 5 and the payment of the appropriate filing fee, if any,
- 6 the court of common pleas shall examine the petitioner under
- 7 oath as to the contents of the petition.
- 8 (b) Requirements.--If, after reviewing the allegations
- 9 contained in the petition and examining the petitioner under
- 10 oath, it appears to the court of common pleas that there is
- 11 probable cause to believe the respondent may reasonably benefit
- 12 from treatment, the court shall do all of the following:
- 13 (1) Schedule a hearing to be held within seven days to
- determine if there is clear and convincing evidence that the
- respondent may reasonably benefit from treatment for alcohol
- and other drug abuse.
- 17 (2) Notify the respondent, the legal quardian, if any
- 18 and if known, and the spouse, parents or nearest relative or
- 19 friend of the respondent concerning the allegations and
- 20 contents of the petition and of the date and purpose of the
- 21 hearing.
- 22 (3) Notify the respondent that the respondent may retain
- 23 counsel and, if the respondent is unable to obtain an
- 24 attorney, that the respondent may be represented by court-
- appointed counsel at public expense if the respondent is
- 26 indigent. Upon the appointment of an attorney to represent an
- indigent respondent, the court shall notify the respondent of
- the name, address and telephone number of the attorney
- appointed to represent the respondent.
- 30 (4) Notify the respondent that the court shall cause the

- 1 respondent to be examined not later than 24 hours before the
- 2 hearing date by a physician for the purpose of a physical
- 3 examination and by a qualified health professional for the
- 4 purpose of a drug and alcohol addiction assessment and
- 5 diagnosis. In addition, the court shall notify the respondent
- 6 that the respondent may have an independent expert evaluation
- 7 of the individual's physical and mental condition conducted
- 8 at the respondent's own expense.
- 9 (5) Cause the respondent to be examined not later than
- 10 24 hours before the hearing date by a physician for the
- 11 purpose of a physical examination and by a qualified health
- 12 professional for the purpose of a drug and alcohol addiction
- assessment and diagnosis.
- 14 (6) Conduct the hearing.
- 15 (c) Findings. -- The physician and qualified health
- 16 professional who examine the respondent under subsection (b) (5)
- 17 or who are obtained by the respondent at the respondent's own
- 18 expense shall certify their findings to the court within 24
- 19 hours of the examinations. The findings of each qualified health
- 20 professional shall include a recommendation for treatment if the
- 21 qualified health professional determines that treatment is
- 22 necessary.
- 23 (d) Probable cause. -- The following shall apply:
- 24 (1) If upon completion of the hearing held under this
- section the court of common pleas finds by clear and
- 26 convincing evidence that the respondent may reasonably
- 27 benefit from treatment, the court may order the treatment
- after considering the qualified health professionals'
- 29 recommendations for treatment that have been submitted to the
- 30 court under subsection (c). If the court orders the

- 1 treatment, the court shall order the treatment to be provided
- by a certified addiction counselor ????, an individual
- 3 licensed or certified under the act of December 20, 1985
- 4 (P.L.457, No.112), known as the Medical Practice Act of 1985,
- or an individual licensed or certified under the act of July
- 6 9, 1987 (P.L.220, No.39), known as the Social Workers,
- 7 Marriage and Family Therapists and Professional Counselors
- 8 Act, or a similar board of another state authorized to
- 9 provide alcohol and other drug abuse treatment.
- 10 (2) Failure of a respondent to undergo and complete a
- 11 treatment ordered under this act is contempt of court. An
- 12 alcohol and drug addiction program or individual providing
- treatment under this act shall notify the court of common
- 14 pleas of a respondent's failure to undergo or complete the
- ordered treatment.
- 16 (e) No probable cause. -- If, at any time after a petition is
- 17 filed under section 5, the court of common pleas finds that
- 18 there is not probable cause to continue treatment or if the
- 19 petitioner withdraws the petition, then the court shall dismiss
- 20 the proceedings against the respondent.
- 21 Section 7. Emergency involuntary treatment.
- 22 (a) General rule. -- Following an examination by a qualified
- 23 health professional and a certification by that professional
- 24 that the respondent meets the criteria specified in section 4, a
- 25 court of common pleas may order the respondent hospitalized for
- 26 a period not to exceed 72 hours if the court finds by clear and
- 27 convincing evidence that the respondent presents an imminent
- 28 threat of danger to self, family or others as a result of
- 29 alcohol and other drug abuse. However, if the hearing to be held
- 30 under section 6 will not be held within 72 hours, the court may

- 1 order the respondent hospitalized until the hearing. In making
- 2 its order, the court shall inform the respondent that the
- 3 respondent may immediately make a reasonable number of telephone
- 4 calls or use other reasonable means to contact an attorney, a
- 5 licensed physician or a qualified health professional, to
- 6 contact any other person to secure representation by counsel, or
- 7 to obtain medical or psychological assistance and that the
- 8 respondent will be provided assistance in making calls if the
- 9 assistance is needed and requested.
- 10 (b) Release. -- A respondent who has been admitted to a
- 11 hospital under subsection (a) shall be released from the
- 12 hospital immediately upon the expiration of the time period
- 13 established by the court for the hospitalization.
- 14 (c) Prohibition. -- No respondent ordered hospitalized under
- 15 this section shall be held in jail pending transportation to the
- 16 hospital or evaluation unless the court of common pleas
- 17 previously has found the respondent to be in contempt of court
- 18 for either failure to undergo treatment or failure to appear at
- 19 the evaluation ordered under section 6.
- 20 Section 8. Summons.
- 21 When a court of common pleas is authorized to issue an order
- 22 that the respondent be transported to a hospital, the court may
- 23 issue a summons. If the respondent fails to attend an
- 24 examination scheduled before the hearing under section 6, the
- 25 court shall issue a summons. A summons so issued shall be
- 26 directed to the respondent and shall command the respondent to
- 27 appear at a time and place specified in the summons. If a
- 28 respondent who has been summoned fails to appear at the hospital
- 29 or the examination, the court of common pleas may order the
- 30 sheriff or any other peace officer to transport the respondent

- 1 to a hospital on the list provided under section 9 for
- 2 treatment. The transportation costs of the sheriff or other
- 3 peace officer shall be included in the costs of treatment for
- 4 alcohol and other drug abuse to be paid by the petitioner.
- 5 Section 9. Lists of qualified hospitals and treatment
- 6 providers.
- 7 The Department of Health and the Department of Drug and
- 8 Alcohol Programs on at least an annual basis shall submit each
- 9 of the following lists to each clerk of the court of common
- 10 pleas in this Commonwealth:
- 11 (1) A list of all hospitals in the county that are able
- and willing to take respondents ordered to undergo 72 hours
- of treatment and observation under section 7.
- 14 (2) A list of hospitals and treatment providers in the
- 15 county that are able and willing to provide treatment for
- 16 alcohol and other drug abuse ordered under section 6.
- 17 Section 10. Civil rights and liberties of respondents.
- 18 An individual treated under this act shall retain the
- 19 individual's civil rights and liberties, including the right not
- 20 to be experimented upon with treatment not accepted as good
- 21 medical practice without the individual's fully informed
- 22 consent, the right as an individual receiving services to
- 23 maintain the confidentiality of health and medical records, the
- 24 right as an individual detained for medical purposes to receive
- 25 adequate and appropriate treatment and the right to vote.
- 26 Section 11. Confidentiality of records pertaining to identity,
- 27 diagnosis or treatment.
- 28 (a) General rule. -- Records or information, other than court
- 29 journal entries or court docket entries, pertaining to the
- 30 identity, diagnosis or treatment of an individual receiving

- 1 treatment under this act shall be kept confidential, may be
- 2 disclosed only for the purposes and under the circumstances
- 3 expressly authorized under this section and may not otherwise be
- 4 divulged in any civil, criminal, administrative or legislative
- 5 proceeding.
- 6 (b) Consent.--If an individual, with respect to whom any
- 7 record or information referred to in subsection (a) is
- 8 maintained, gives consent in the form of a written release
- 9 signed by the individual, the content of the record or
- 10 information may be disclosed if the written release conforms to
- 11 all of the following:
- 12 (1) Specifically identifies the individual, official or
- entity to whom the information is to be provided.
- 14 (2) Describes with reasonable specificity the record,
- 15 records or information to be disclosed.
- 16 (3) Describes with reasonable specificity the purposes
- 17 of the disclosure and the intended use of the disclosed
- 18 information.
- 19 (c) Exceptions. -- The following shall apply:
- 20 (1) Disclosure of an individual's record may be made
- 21 without the individual's consent to qualified personnel for
- the purpose of conducting scientific research, management,
- financial audits or program evaluation, but these personnel
- 24 may not identify, directly or indirectly, any individual in
- any report of the research, audit or evaluation, or otherwise
- disclose an individual's identity in any manner.
- 27 (2) Upon the request of a prosecuting attorney or the
- director of a mental health and addiction service, a court of
- competent jurisdiction may order the disclosure of records or
- 30 information referred to under subsection (a) if the court has

- 1 reason to believe that a treatment program or facility is
- being operated or used in a manner contrary to law. The use
- 3 of any information or record so disclosed shall be limited to
- 4 the prosecution of persons who are or may be charged with any
- 5 offense related to the illegal operation or use of the drug
- 6 treatment program or facility, or to the decision to withdraw
- 7 the authority of a drug treatment program or facility to
- 8 continue operation. The court shall:
- 9 (i) Limit disclosure to those parts of the
- 10 individual's record considered essential to fulfill the
- objective for which the order was granted.
- 12 (ii) Require, where appropriate, that all
- information be disclosed in chambers.
- 14 (iii) Include any other appropriate measures to keep
- disclosure to a minimum, consistent with the protection
- of the individuals receiving services, the physician-
- patient relationship and the administration of the drug
- treatment and rehabilitation program.
- 19 Section 12. Effective date.
- This act shall take effect in 60 days.