## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## SENATE BILL No. 832 Session of 2019

INTRODUCED BY FARNESE, BREWSTER, COSTA, KEARNEY, HAYWOOD, MUTH AND COLLETT, AUGUST 26, 2019

REFERRED TO JUDICIARY, AUGUST 26, 2019

## AN ACT

1 2	Amending Title 61 (Prisons and Parole) of the Pennsylvania Consolidated Statutes, providing for solitary confinement.
3	The General Assembly of the Commonwealth of Pennsylvania
4	hereby enacts as follows:
5	Section 1. Title 61 of the Pennsylvania Consolidated
6	Statutes is amended by adding a chapter to read:
7	<u>CHAPTER 51</u>
8	SOLITARY CONFINEMENT
9	<u>Sec.</u>
10	5101. Definitions.
11	5102. Methods and procedures of solitary confinement.
12	5103. Members of vulnerable populations.
13	5104. Risk of harm in solitary confinement.
14	5105. Solitary confinement authorized.
15	5106. Use of solitary confinement pending investigation.
16	5107. Duties of secretary.
17	5108. Alternative disciplinary measures to solitary
18	confinement.

- 1 5109. Rehabilitation after release from solitary confinement.
- 2 <u>5110. Independent investigator.</u>
- 3 <u>5111. Solitary confinement misconduct hearings.</u>
- 4 <u>5112. Additional relief.</u>
- 5 <u>5113. Regulations.</u>
- 6 <u>5114. Report.</u>
- 7 <u>§ 5101. Definitions.</u>
- 8 The following words and phrases when used in this chapter
- 9 shall have the meanings given to them in this section unless the
- 10 <u>context clearly indicates otherwise:</u>
- 11 "Chief administrator." The warden, superintendent or other
- 12 officer in charge of a correctional institution or facility.
- 13 "Correctional institution or facility." A State correctional
- 14 institution, county correctional institution, a facility which
- 15 confines a juvenile under 42 Pa.C.S. Ch. 63 (relating to
- 16 juvenile matters), an institution which detains inmates in
- 17 accordance with Chapter 71 (relating to interstate compacts) or
- 18 an intergovernmental service agreement or other contract with
- 19 any Federal, state or county agency, including United States
- 20 Immigration and Customs Enforcement.
- 21 "Department." The Department of Corrections of the
- 22 <u>Commonwealth.</u>
- 23 "Emergency confinement." The solitary confinement of an
- 24 inmate in a correctional institution or facility when there is
- 25 <u>reasonable cause to believe that the confinement is necessary</u>
- 26 for reducing a substantial risk of imminent serious harm to the
- 27 inmate or others as evidenced by recent conduct.
- 28 <u>"Member of a vulnerable population."</u> Any of the following:
- 29 (1) An inmate who is 21 years of age or younger.
- 30 (2) An inmate who is 70 years of age or older.

- 2 -

1	(3) An inmate who is pregnant or in the postpartum
2	period.
3	(4) An inmate who has recently suffered a miscarriage or
4	terminated a pregnancy.
5	(5) An inmate who is perceived to be lesbian, gay,
6	<u>bisexual, transgender or intersex.</u>
7	"Solitary confinement." The confinement of an inmate in a
8	correctional institution or facility due to disciplinary,
9	administrative, protective, investigative, medical or other
10	classification, in a cell or similarly confined holding or
11	living space, alone or with other inmates for approximately 20
12	hours or more per day, with severely restricted activity,
13	movement and social interaction.
14	§ 5102. Methods and procedures of solitary confinement.
15	(a) ConditionsAn inmate may not be placed in solitary
16	confinement unless the following conditions are met:
17	(1) There is reasonable cause to believe that the inmate
18	would create a substantial risk of immediate serious harm to
19	himself or herself or another, as evidenced by recent threats
20	or conduct, and a less restrictive intervention would be
21	insufficient to reduce the risk. The correctional institution
22	or facility shall bear the burden of establishing this
23	standard by clear and convincing evidence.
24	(2) The inmate is subject to a disciplinary sanction.
25	(3) The inmate received a personal and comprehensive
26	medical and mental health examination conducted by a
27	clinician. In the case of an inmate detained at a county
28	correctional institution or facility, a preliminary
29	examination shall be conducted by a member of the medical
30	staff within 12 hours of the inmate being placed in solitary
201	90SB0832PN1141 - 3 -

1	confinement and a clinical examination shall be conducted
2	within 48 hours of the inmate being placed in solitary
3	confinement.
4	(4) The decision to place an inmate in solitary
5	confinement is made by the chief administrator.
6	(b) HearingAn inmate shall only be held in solitary
7	confinement in accordance with a hearing which provides timely,
8	fair and meaningful opportunities for the inmate to contest the
9	confinement. The hearing shall be conducted in accordance with
10	the following:
11	(1) The inmate shall receive the hearing within 72 hours
12	of placement in solitary confinement and a review every 15
13	days after the hearing if the inmate remains in solitary
14	<u>confinement.</u>
15	(2) In the absence of exceptional circumstances,
16	unavoidable delays or reasonable postponements, the inmate
17	shall be permitted to appear at the hearing.
18	(3) The inmate shall be represented by legal counsel at
19	the hearing.
20	(4) The hearing shall be conducted by an independent
21	hearing officer.
22	(5) The inmate shall be provided with a written
23	statement of the reasons for the decision to place the inmate
24	in solitary confinement at the hearing.
25	(c) ProhibitionAn inmate may not be placed or retained in
26	solitary confinement under the following circumstances:
27	(1) If the chief administrator determines that the
28	inmate no longer meets the standards for solitary
29	<u>confinement.</u>
30	(2) For no more than 15 consecutive days.
201	905B0832DN1141 - 4 -

- 4 -

1	(3) For no more than 20 days in a 60-day period.
2	(d) EvaluationA clinician shall evaluate an inmate placed
3	in solitary confinement on a daily basis, in a confidential
4	setting outside of the cell whenever possible, to determine
5	whether the inmate is a member of a vulnerable population. In
6	the case of an inmate detained at a county correctional
7	institution or facility, the inmate shall be evaluated by a
8	member of the medical staff as frequently as necessary, but not
9	less than once every seven days while the inmate is in solitary
10	confinement. An inmate determined to be a member of a vulnerable
11	population by a clinician shall be immediately removed from
12	solitary confinement and moved to an appropriate placement.
13	(e) Disciplinary sanctionsA disciplinary sanction of
14	solitary confinement which has been imposed on an inmate who is
15	removed from solitary confinement shall be deemed to be
16	satisfied.
17	(f) LockdownDuring a correctional institution or facility
18	lockdown, an inmate may not be placed in solitary confinement
19	for more than 15 consecutive days or for more than 20 days
20	<u>during any 60-day period.</u>
21	(g) Inmate treatmentThe following apply:
22	(1) Cells or other holding or living space used for
23	solitary confinement shall be properly ventilated, lit,
24	temperature-controlled, clean and equipped with properly
25	functioning sanitary fixtures.
26	(2) A correctional institution or facility shall
27	maximize the amount of time that an inmate held in solitary
28	confinement spends outside of the cell by providing, as
29	appropriate, access to recreation, education, clinically
30	appropriate treatment therapies, skill-building activities
201	90SB0832PN1141 - 5 -

1	and social interaction with staff and other inmates.
2	(3) An inmate held in solitary confinement may not be
3	denied access to food, water or any other basic necessity.
4	(4) An inmate held in solitary confinement may not be
5	denied access to appropriate medical care, including
6	emergency medical care.
7	(5) An inmate may not be directly released from solitary
8	confinement to the public during the last 180 days of the
9	inmate's term of incarceration, unless it is necessary for
10	the safety of the inmate, staff, other inmates or the public.
11	(6) A restraint chair, chemical agents or shackles may
12	not be used on an inmate.
13	<u>§ 5103. Members of vulnerable populations.</u>
14	(a) ProhibitionAn inmate who is a member of a vulnerable
15	population may not be placed in solitary confinement.
16	(b) Younger inmatesThe following apply:
17	(1) An inmate who is a member of a vulnerable population
18	because the inmate is 21 years of age or younger may not be
19	subject to discipline for refusing treatment or medication or
20	for self-harm or threats of self-harm.
21	(2) An inmate who is a member of a vulnerable population
22	because the inmate is 21 years of age or younger and who
23	would otherwise be placed in solitary confinement shall be
24	screened by a correctional institution or facility clinician
25	or the appropriate screening service and, if found to meet
26	the standards of civil commitment, shall be placed in a
27	specialized unit, as designated by the secretary, or civilly
28	committed to the least restrictive appropriate short-term
29	care or psychiatric facility designated by the Department of
30	Human Services.

- 6 -

1	(c) Other inmatesAn inmate who is a member of a
2	vulnerable population because the inmate is 70 years of age or
3	older, pregnant, in the postpartum period or has recently
4	suffered a miscarriage or terminated a pregnancy, who would
5	otherwise be placed in isolated confinement, shall be placed in
6	a specialized unit as designated by the secretary.
7	(d) ApplicabilityThis subsection shall not apply to a
8	county correctional institution or facility.
9	<u>§ 5104. Risk of harm in solitary confinement.</u>
10	An inmate shall not be placed in solitary confinement with
11	one or more inmates if there is reasonable cause to believe that
12	there is a risk of harm or harassment, intimidation or extortion
13	of other physical or emotional abuse.
14	<u>§ 5105. Solitary confinement authorized.</u>
15	<u>A correctional institution or facility may place an inmate in</u>
16	solitary confinement under the following circumstances:
17	(1) The chief administrator determines that a
18	correctional institution or facility lockdown is required to
19	ensure the safety of inmates. The facility administrator
20	shall document specific reasons for the lockdown if the
21	lockdown lasts more than 24 hours and why less restrictive
22	interventions are insufficient to accomplish the safety goals
23	of the correctional institution or facility. Within six hours
24	of a decision to extend a lockdown for more than 24 hours,
25	the chief administrator shall provide the secretary with the
26	reasons specified under this paragraph for publication on the
27	publicly accessible Internet website of the department. Upon
28	request, the chief administrator shall provide the reasons
29	specified under this paragraph to the General Assembly.
30	(2) The chief administrator determines, based on a

_	
1	personal examination by a physician or, in the case of a
2	county correctional institution or facility, a decision to
3	place an inmate in medical isolation shall be made by a
4	member of the medical staff, that an inmate should be placed
5	in emergency confinement and the emergency confinement is
6	conducted in accordance with the following:
7	(i) The inmate may not be held in emergency
8	confinement for more than 24 hours.
9	(ii) The inmate held in emergency confinement shall
10	receive an initial medical and mental health evaluation
11	within two hours and a personal and comprehensive medical
12	and mental health evaluation within 24 hours. In the case
13	of a county correctional institution or facility, a
14	preliminary examination shall be conducted by a member of
15	the medical staff within 12 hours of confinement and a
16	comprehensive medical and mental health evaluation within
17	48 hours. Reports of these evaluations shall be provided
18	to the chief administrator upon completion.
19	(iii) A clinical review shall be conducted on the
20	inmate at least every six hours and as clinically
21	indicated.
22	(iv) The inmate shall be placed in a mental health
23	unit as designated by the secretary.
24	(v) In the case of a county correctional institution
25	or facility, a decision to place an inmate in solitary
26	confinement shall be made by a member of the medical
27	staff and be based on a personal examination and a
28	clinical review shall be conducted within 48 hours of the
29	inmate being placed in solitary confinement and then as
30	<u>clinically indicated.</u>

- 8 -

1	(3) The chief administrator determines that an inmate
2	should be placed in protective custody and the inmate is
3	placed in protective custody in accordance with the
4	<u>following:</u>
5	(i) Except as provided under subparagraph (ii), the
6	inmate must provide informed, voluntary, written consent
7	to be placed in protective custody and there is
8	reasonable cause to believe that protective custody is
9	necessary to prevent reasonably foreseeable harm.
10	(ii) The inmate may be placed in involuntary
11	protective custody only when there is clear and
12	convincing evidence that confinement is necessary to
13	prevent reasonably foreseeable harm and that a less
14	restrictive intervention would not be sufficient to
15	prevent the harm.
16	(iii) In a safe manner, an inmate placed in
16 17	(iii) In a safe manner, an inmate placed in protective custody shall receive comparable opportunities
17	protective custody shall receive comparable opportunities
17 18	protective custody shall receive comparable opportunities for activities, movement and social interaction as other
17 18 19	protective custody shall receive comparable opportunities for activities, movement and social interaction as other inmates in the general population of the correctional
17 18 19 20	protective custody shall receive comparable opportunities for activities, movement and social interaction as other inmates in the general population of the correctional institution or facility.
17 18 19 20 21	protective custody shall receive comparable opportunities for activities, movement and social interaction as other inmates in the general population of the correctional institution or facility. (iv) An inmate subject to removal from protective
17 18 19 20 21 22	protective custody shall receive comparable opportunities for activities, movement and social interaction as other inmates in the general population of the correctional institution or facility. (iv) An inmate subject to removal from protective custody shall be provided with a timely, fair and
17 18 19 20 21 22 23	protective custody shall receive comparable opportunities for activities, movement and social interaction as other inmates in the general population of the correctional institution or facility. (iv) An inmate subject to removal from protective custody shall be provided with a timely, fair and meaningful opportunity to contest the removal.
17 18 19 20 21 22 23 24	protective custody shall receive comparable opportunities for activities, movement and social interaction as other inmates in the general population of the correctional institution or facility. (iv) An inmate subject to removal from protective custody shall be provided with a timely, fair and meaningful opportunity to contest the removal. (v) An inmate who is eligible to be placed or
17 18 19 20 21 22 23 24 25	protective custody shall receive comparable opportunities for activities, movement and social interaction as other inmates in the general population of the correctional institution or facility. (iv) An inmate subject to removal from protective custody shall be provided with a timely, fair and meaningful opportunity to contest the removal. (v) An inmate who is eligible to be placed or currently is in voluntary protective custody may opt out
17 18 19 20 21 22 23 24 25 26	protective custody shall receive comparable opportunities for activities, movement and social interaction as other inmates in the general population of the correctional institution or facility. (iv) An inmate subject to removal from protective custody shall be provided with a timely, fair and meaningful opportunity to contest the removal. (v) An inmate who is eligible to be placed or currently is in voluntary protective custody may opt out of that eligibility by providing an informed, voluntary
17 18 19 20 21 22 23 24 25 26 27	protective custody shall receive comparable opportunities for activities, movement and social interaction as other inmates in the general population of the correctional institution or facility. (iv) An inmate subject to removal from protective custody shall be provided with a timely, fair and meaningful opportunity to contest the removal. (v) An inmate who is eligible to be placed or currently is in voluntary protective custody may opt out of that eligibility by providing an informed, voluntary written refusal.

- 9 -

1	special-purpose housing unit for inmates who face similar
2	threats, before placing the inmate in protective custody
3	unless the inmate poses an extraordinary security risk so
4	that transferring the inmate would be insufficient to
5	ensure the inmate's safety.
6	(4) A member of a vulnerable population may not be
7	placed in solitary confinement with one or more inmates,
8	except with the inmate's informed, voluntary, written
9	<u>consent.</u>
10	<u>§ 5106. Use of solitary confinement pending investigation.</u>
11	(a) Authorized useAn inmate may not be placed in solitary
12	confinement pending investigation of a disciplinary violation
13	unless any of the following occur:
14	(1) The inmate's presence in the general population
15	poses a danger to the inmate, staff, other inmates or the
16	public. In making the determination, the chief administrator
17	shall consider the seriousness of the alleged offense,
18	including whether the offense involved violence or escape or
19	posed a threat to institutional safety by encouraging other
20	inmates to engage in misconduct.
21	(2) The chief administrator has granted approval in an
22	emergency situation.
23	(b) ReviewAn inmate's placement in solitary confinement
24	pending investigation of a disciplinary violation shall be
25	reviewed within 24 hours by a supervisory employee who was not
26	involved in the initial placement decision.
27	(c) ReleaseAn inmate who has been placed in solitary
28	confinement pending investigation of a disciplinary offense
29	shall be considered for release to the general population if the
30	inmate demonstrates good behavior while confined. If the inmate
201	90SB0832PN1141 - 10 -

1	is found guilty of the disciplinary violation, the inmate's good
2	behavior shall be considered in determining the appropriate
3	penalty.
4	<u>§ 5107. Duties of secretary.</u>
5	The secretary shall have the following duties:
6	(1) Developing policies and implementing procedures for
7	the review of inmates placed in solitary confinement and
8	promulgating regulations as specified under section 5113
9	(relating to regulations).
10	(2) Initiating a review of each inmate placed in
11	solitary confinement under the policies and procedures
12	developed and implemented under paragraph (1).
13	(3) Developing a plan for providing step-down and
14	transitional units, programs and staffing patterns to
15	accommodate inmates currently placed in solitary confinement,
16	inmates who will be placed in solitary confinement and
17	inmates who receive an intermediate sanction in lieu of being
18	placed in isolated confinement. Staffing patterns for
19	correctional and program staff shall be set at levels
20	necessary to ensure the safety of staff and inmates in
21	accordance with the provisions of this chapter.
22	<u>§ 5108. Alternative disciplinary measures to solitary</u>
23	<u>confinement.</u>
24	<u>A correctional institution or facility shall implement</u>
25	alternative disciplinary measures to solitary confinement. The
26	alternative disciplinary measures may include the following:
27	(1) Limiting contact visits.
28	(2) Restricting visitors to only the inmate's immediate
29	family.
30	(3) The loss of work opportunities.

1	(4) Assigning additional unpaid work duties for no more
2	<u>than 14 days.</u>
3	(5) No more than 14 days of the loss of telephone,
4	entertainment or yard time privileges.
5	(6) No more than 14 days of the loss of commissary
6	privileges.
7	(7) Confining an inmate to the inmate's own cell in the
8	general population area.
9	(8) A reprimand or warning.
10	§ 5109. Rehabilitation after release from solitary confinement.
11	An inmate released from solitary confinement shall be
12	rehabilitated in accordance with the following:
13	(1) The inmate shall be gradually acclimated into the
14	general population by temporarily being transferred into a
15	single cell.
16	(2) The inmate shall receive weekly meetings with a
17	licensed mental health professional and a certified peer
18	<u>specialist.</u>
19	(3) The inmate shall have access to classes and
20	educational materials.
21	(4) The inmate shall have access to familial and outside
22	<u>contact.</u>
23	<u>§ 5110. Independent investigator.</u>
24	(a) Independent investigatorThe department shall
25	designate an independent investigator to monitor each
26	correctional institution or facility to ensure compliance with
27	the provisions of this chapter. The independent investigator
28	shall have the following duties:
29	(1) Conducting interviews with inmates in solitary
30	confinement and reporting any abuse to the department.

- 12 -

1	(2) Reviewing any documents regarding inmates necessary
2	to determine the implementation of solitary confinement.
3	(b) Use of informationInformation gathered under
4	subsection (a) may be used in a misconduct hearing conducted
5	under section 5111 (relating to solitary confinement misconduct
6	<u>hearings).</u>
7	<u>§ 5111. Solitary confinement misconduct hearings.</u>
8	(a) Hearing review boardThe department shall establish a
9	hearing review board to conduct hearings on solitary confinement
10	misconduct by correctional institutions or facilities. The
11	hearing review board shall consist of the following:
12	(1) A licensed psychologist or psychiatrist.
13	(2) A licensed mental health professional with a
14	counseling background.
15	(3) A licensed social worker.
16	(b) GuidelinesA hearing on solitary confinement by a
17	correctional institution or facility shall be initiated and
18	governed by guidelines specified in regulations promulgated
19	under section 5113 (relating to regulations).
20	(c) Misconduct hearingsA hearing on solitary confinement
21	misconduct by a correctional institution or facility shall be
22	administered in accordance with the following:
23	(1) Unless prohibited by the hearing review board for
24	cause shown, a witness may be called at the misconduct
25	hearing by the inmate or correctional institution or
26	facility.
27	(2) An inmate may call on an inmate advocate or legal
28	counsel to be present during the misconduct hearing.
29	(3) The inmate and correctional institution or facility
30	may present evidence, including video evidence, at the

1	misconduct hearing. An inmate shall be given access to video
2	evidence from the correctional institution or facility no
3	later than 24 hours before the commencement of the misconduct
4	hearing.
5	(d) ReliefIf the hearing review board finds solitary
6	confinement misconduct by the correctional institution or
7	facility, the correctional institution or facility shall release
8	the inmate from solitary confinement.
9	<u>§ 5112. Additional relief.</u>
10	In addition to the relief provided by the hearing review
11	board under section 5111 (relating to solitary confinement
12	misconduct hearings), an individual adversely affected by any
13	manner of law, rule, regulation or other action promulgated or
14	enforced by a correctional institution or facility as it relates
15	to the use of isolated confinement in violation of this chapter
16	may seek declarative and injunctive relief and the actual
17	damages attributable to the violation in an appropriate court of
18	jurisdiction. The court shall award reasonable expenses to an
19	individual adversely affected if the action results in a final
20	determination by a court in favor of the individual adversely
21	affected.
22	<u>§ 5113. Regulations.</u>
23	The secretary shall promulgate regulations necessary to
24	administer the provisions of this chapter. The regulations shall
25	include, but not be limited to, the following:
26	(1) Reducing separation from other inmates.
27	(2) Reducing the burden of transferring inmates to a
28	different correctional institution or facility.
29	(3) Establishing any nonisolated confinement sanction
30	authorized by the department's regulations.

- 14 -

2	privileges, visit contacts and outdoor and recreation access.
2	privileges, visit contacts and bataoor and recreation access.
3	<u>A correctional institution or facility may not restrict basic</u>
4	necessities from an inmate in solitary confinement.
5	(5) Requiring training of disciplinary staff and all
6	staff working with inmates in solitary confinement. The
7	training shall include the following:
8	(i) Standards for solitary confinement, including
9	that solitary confinement shall only be imposed when an
10	inmate commits an offense involving violence, escapes or
11	attempts to escape or poses a threat to institutional
12	<u>safety.</u>
13	(ii) The maximum amount of time an inmate may be in
14	solitary confinement and the available less restrictive
15	interventions.
16	(iii) The identification of developmental
17	disabilities, the symptoms of mental illness, including
18	trauma disorders, and methods of safe responses to
19	<u>inmates in distress.</u>
20	(6) Requiring documentation of all decisions, procedures
21	and reviews of inmates placed in solitary confinement.
22	(7) Monitoring of compliance with the provisions of this
23	<u>chapter.</u>
24	(8) Fair and equitable guidelines for the administration
25	of misconduct hearings under section 5111 (relating to
26	solitary confinement misconduct hearings).
27	<u>§ 5114. Report.</u>
28	The commission shall post quarterly reports on the
29	department's publicly accessible Internet website on the use of
30	solitary confinement, including all of the following:
25 26	of misconduct hearings under section 5111 (relating to solitary confinement misconduct hearings).

- 15 -

1	(1) The age, sex, gender identity, ethnicity and type of
2	confinement status for each inmate. No identifiable
3	information shall be included in the report.
4	(2) The total number of inmates in solitary confinement.
5	(3) The total number of incidences of emergency
6	confinement, solitary confinement as a result of a lockdown,
7	inmate self-harm or suicide and assault in solitary
8	<u>confinement units.</u>
9	Section 2. This act shall take effect in 60 days.