S. 1654

To establish an alternative accountability model.

IN THE SENATE OF THE UNITED STATES

OCTOBER 4, 2011

Mr. Udall of Colorado (for himself and Mr. Bennet) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

A BILL

To establish an alternative accountability model.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE.
- 4 This Act may be cited as the "Growth to Excellence
- 5 Act of 2011".
- 6 SEC. 2. ACCOUNTABILITY MODEL.
- 7 Section 1111(b) of the Elementary and Secondary
- 8 Education Act of 1965 (20 U.S.C. 6311(b)) is amended—
- 9 (1) in paragraph (3), by adding at the end the
- following:

| 1 | "(E) Assessments above and below |
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| 2 | GRADE LEVEL.— |
| 3 | "(i) In General.—Notwithstanding |
| 4 | any other requirement of this paragraph, a |
| 5 | State may carry out this paragraph |
| 6 | through the use of adaptive assessments |
| 7 | that— |
| 8 | "(I) are administered through a |
| 9 | computerized means; |
| 10 | "(II) are aligned with grade-level |
| 11 | academic content standards; and |
| 12 | "(III) measure academic growth |
| 13 | above and below grade level. |
| 14 | "(ii) Requirements for adaptive |
| 15 | ASSESSMENTS.—For the results of any |
| 16 | adaptive assessment to be included in the |
| 17 | accountability model described under para- |
| 18 | graph (12), such results must provide the |
| 19 | information necessary to determine ade- |
| 20 | quate student growth in accordance with |
| 21 | paragraph (12)(C)(i)."; and |
| 22 | (2) by adding at the end the following: |
| 23 | "(11) Criteria and implementation of ac- |
| 24 | COUNTABILITY MODEL.— |
| 25 | "(A) In general.— |

| 1 | "(i) Transitional participation.— |
|----|---|
| 2 | Prior to a State's adoption of college and |
| 3 | career ready academic content standards |
| 4 | and college and career ready assessments, |
| 5 | as defined in subparagraphs (B) and (C) |
| 6 | of paragraph (13), a State may apply to |
| 7 | the Secretary to replace the State plan re- |
| 8 | quirements under paragraph (2) with the |
| 9 | accountability requirements under para- |
| 10 | graph (12). |
| 11 | "(ii) Required participation.— |
| 12 | After the adoption of college and career |
| 13 | ready academic content standards and col- |
| 14 | lege and career ready assessments, as de- |
| 15 | fined in subparagraphs (B) and (C) of |
| 16 | paragraph (13) and required under this |
| 17 | subsection— |
| 18 | "(I) a State shall comply with |
| 19 | this paragraph and paragraph (12) in |
| 20 | lieu of paragraph (2); and |
| 21 | "(II) references in this Act to |
| 22 | section 1111(b)(2) shall be deemed to |
| 23 | be references to this paragraph and |
| 24 | paragraph (12). |

| 1 | "(B) Criteria.—A State that participates |
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| 2 | in the accountability model described in para- |
| 3 | graph (12) shall carry out the following activi- |
| 4 | ties: |
| 5 | "(i) Implement challenging college |
| 6 | and career ready academic content stand- |
| 7 | ards, as defined in paragraph (13)(B). |
| 8 | "(ii) Implement college and career |
| 9 | ready assessments, as defined in paragraph |
| 10 | 13(C). |
| 11 | "(iii) For a secondary school, measure |
| 12 | graduation rates as defined in section |
| 13 | 200.19(b)(1) of title 34, Code of Federal |
| 14 | Regulations. |
| 15 | "(iv) Assess not less than 2 additional |
| 16 | indicators of whether students are college |
| 17 | and career ready, such as— |
| 18 | "(I) student scores on the ACT; |
| 19 | "(II) student scores on the SAT; |
| 20 | "(III) the percentage of students |
| 21 | who attend an institution of higher |
| 22 | education; |
| 23 | "(IV) college remediation rates; |

| 1 | "(V) results from Advance Place- |
|----|--|
| 2 | ment or International Baccalaureate |
| 3 | exams; |
| 4 | "(VI) student grade point aver- |
| 5 | ages at an institution of higher edu- |
| 6 | cation; or |
| 7 | "(VII) rates of completion of the |
| 8 | first year at an institution of higher |
| 9 | education. |
| 10 | "(v) Provide a comprehensive State |
| 11 | system of accountability for schools that do |
| 12 | not meet the standard for adequate stu- |
| 13 | dent growth, as described in paragraph |
| 14 | (12), which aims to ensure that each stu- |
| 15 | dent is college and career ready before |
| 16 | such student graduates from secondary |
| 17 | school and which shall include, at a min- |
| 18 | imum— |
| 19 | "(I) the evaluation of each school |
| 20 | and each group of students described |
| 21 | in paragraph (2)(C)(v)(II) against an- |
| 22 | nual progress targets described in |
| 23 | subclauses (V) and (VI) of paragraph |
| 24 | (12)(B)(i) that are aligned with the |
| 25 | goal of ensuring that each student is |

| 1 | college and career ready before such |
|----|---|
| 2 | student graduates from secondary |
| 3 | school; |
| 4 | "(II) a system of categorization |
| 5 | that will group schools based on— |
| 6 | "(aa) how the overall per- |
| 7 | formance of students, and the |
| 8 | performance of each subgroup of |
| 9 | students described in paragraph |
| 10 | (2)(C)(v)(II), at such school com- |
| 11 | pares to each annual progress |
| 12 | target described in subclauses |
| 13 | (V) and (VI) of paragraph |
| 14 | (12)(B)(i); and |
| 15 | "(bb) if the school is a sec- |
| 16 | ondary school, how students at |
| 17 | such school perform when meas- |
| 18 | ured against key indicators of |
| 19 | college and career readiness, as |
| 20 | described in clauses (iii) and (iv); |
| 21 | "(III) supports and consequences |
| 22 | for each school in the State, as appro- |
| 23 | priate for each school based on the |
| 24 | categorization described in subclause |
| 25 | (II); and |

| 1 | "(IV) incentives for schools that |
|----|--|
| 2 | consistently exceed the annual |
| 3 | progress targets described in sub- |
| 4 | clauses (V) and (VI) of paragraph |
| 5 | (12)(B)(i). |
| 6 | "(vi) Adopt intervention mechanisms |
| 7 | for schools, as described in section 1116. |
| 8 | "(vii) Ensure that adequate student |
| 9 | growth reports are delivered, in a timely |
| 10 | manner, to parents and teachers (as appro- |
| 11 | priate) to enable parents and teachers to |
| 12 | examine student progress toward becoming |
| 13 | college and career ready. |
| 14 | "(C) Assessments above and below |
| 15 | GRADE LEVEL.— |
| 16 | "(i) In general.—In carrying out |
| 17 | the assessment requirements described in |
| 18 | subparagraph (B)(ii), a State may use |
| 19 | adaptive assessments described in para- |
| 20 | graph $(3)(E)$. |
| 21 | "(ii) Requirements for adaptive |
| 22 | ASSESSMENTS.—For the results of any |
| 23 | adaptive assessment to be included in the |
| 24 | accountability model described under para- |
| 25 | graph (12), such results must provide the |

| 1 | information necessary to determine ade- |
|----|--|
| 2 | quate student growth in accordance with |
| 3 | paragraph (12)(C)(i). |
| 4 | "(12) ACCOUNTABILITY MODEL.— |
| 5 | "(A) IN GENERAL.—Each State that will |
| 6 | use an accountability model under this para- |
| 7 | graph shall submit a plan to the Secretary, |
| 8 | which shall demonstrate that the State has de- |
| 9 | veloped and will implement a single, statewide |
| 10 | State accountability system that will be effective |
| 11 | in ensuring that all local educational agencies, |
| 12 | public elementary schools, and public secondary |
| 13 | schools meet the standard of adequate student |
| 14 | growth as defined under this paragraph. |
| 15 | "(B) Components of the account- |
| 16 | ABILITY MODEL.— |
| 17 | "(i) In General.—Each State ac- |
| 18 | countability model shall— |
| 19 | "(I) be based on the academic |
| 20 | standards and academic assessments |
| 21 | adopted under paragraphs (1), (3), |
| 22 | and (11), and other academic indica- |
| 23 | tors consistent with subparagraph |
| 24 | (C)(ii); |

| 1 | "(II) take into account the |
|----|--|
| 2 | achievement of all public elementary |
| 3 | school and secondary school students; |
| 4 | "(III) be the same accountability |
| 5 | model that the State uses for all pub- |
| 6 | lic elementary schools and secondary |
| 7 | schools or all local educational agen- |
| 8 | cies in the State; |
| 9 | "(IV) include components that |
| 10 | recognize successful schools and that |
| 11 | require intervention measures in |
| 12 | struggling schools, which the State |
| 13 | will use to hold local educational agen- |
| 14 | cies and public elementary schools and |
| 15 | secondary schools accountable for stu- |
| 16 | dent achievement and for ensuring |
| 17 | that such agencies and schools meet |
| 18 | the standard of adequate student |
| 19 | growth as described in subparagraph |
| 20 | (C), in accordance with this para- |
| 21 | graph; |
| 22 | "(V) establish annual progress |
| 23 | targets for each school that aim to re- |
| 24 | duce by half, in less than 6 years— |

| 1 | "(aa) the difference between |
|----|-----------------------------------|
| 2 | the percentage of students at the |
| 3 | top performing schools in the |
| 4 | State who meet the college and |
| 5 | career ready academic content |
| 6 | standards described in paragraph |
| 7 | (13)(B) or make adequate stu- |
| 8 | dent growth, as described in sub- |
| 9 | paragraph (C), and the percent- |
| 10 | age of such students at each |
| 11 | school that is not a top per- |
| 12 | forming school; and |
| 13 | "(bb) for each category of |
| 14 | students described in paragraph |
| 15 | (2)(C)(v)(II), the difference be- |
| 16 | tween the percentage of students |
| 17 | who meet the college and career |
| 18 | ready academic content stand- |
| 19 | ards described in paragraph |
| 20 | (13)(B) or make adequate stu- |
| 21 | dent growth, as described in sub- |
| 22 | paragraph (C), at the top per- |
| 23 | forming schools in the State, and |
| 24 | the percentage of such students |

| 1 | at each school that is not a top |
|----|--|
| 2 | performing school; and |
| 3 | "(VI) establish annual progress |
| 4 | targets for each secondary school that |
| 5 | aim to reduce by half, in less than 6 |
| 6 | years, the difference between the per- |
| 7 | centage of students who graduate |
| 8 | from such secondary school and 90 |
| 9 | percent. |
| 10 | "(ii) Definition of top per- |
| 11 | FORMING SCHOOL.—In this paragraph, the |
| 12 | term 'top performing school' means a |
| 13 | school that is ranked at the 90th percentile |
| 14 | when all schools in a State are ranked |
| 15 | (with separate rankings for elementary |
| 16 | schools and for secondary schools) from |
| 17 | lowest to highest, based on the percentage |
| 18 | of students at each school who meet chal- |
| 19 | lenging college and career ready academic |
| 20 | content standards. |
| 21 | "(iii) Top performing schools.—A |
| 22 | top performing school shall be considered a |
| 23 | school that is meeting annual progress tar- |
| 24 | gets under subclauses (V) and (VI) of |

| 1 | clause (i), for such time as the school re- |
|----|---|
| 2 | mains a top performing school. |
| 3 | "(C) ADEQUATE STUDENT GROWTH.— |
| 4 | "(i) IN GENERAL.—The term 'ade- |
| 5 | quate student growth' shall be defined by |
| 6 | a State— |
| 7 | "(I) to mean— |
| 8 | "(aa) for each student at a |
| 9 | school who is not on track to |
| 10 | being college and career ready in |
| 11 | a subject, a rate of growth indi- |
| 12 | cating that the student will be on |
| 13 | track to being college and career |
| 14 | ready within 3 years, or by the |
| 15 | last year of student testing, |
| 16 | whichever is earlier; and |
| 17 | "(bb) for a student who is |
| 18 | on track to being college and ca- |
| 19 | reer ready in a subject, but is not |
| 20 | yet college and career ready, a |
| 21 | rate of growth equal to not less |
| 22 | than 1 year of academic growth; |
| 23 | "(II) in a manner that— |
| 24 | "(aa) applies the same high |
| 25 | standards of academic achieve- |

| 1 | ment to all public elementary |
|----|---|
| 2 | school and secondary school stu- |
| 3 | dents in the State; |
| 4 | "(bb) is statistically rig- |
| 5 | orous, valid, and reliable; |
| 6 | "(cc) results in continuous |
| 7 | and substantial academic im- |
| 8 | provement for all students; and |
| 9 | "(dd) measures the progress |
| 10 | of public elementary schools, sec- |
| 11 | ondary schools, local educational |
| 12 | agencies, and the State based on |
| 13 | the academic assessments de- |
| 14 | scribed in paragraphs (3) and |
| 15 | (11). |
| 16 | "(ii) Measures of Adequate |
| 17 | SCHOOL PERFORMANCE.— |
| 18 | "(I) In general.—A State may |
| 19 | develop a composite measure of a |
| 20 | school's adequate student growth, as |
| 21 | described under this paragraph, to be |
| 22 | used for public reporting, that may in- |
| 23 | corporate 1 or more of the following |
| 24 | indicators: |

| 1 | "(aa) Overall student cohort |
|----|-----------------------------------|
| 2 | proficiency or growth to pro- |
| 3 | ficiency on the assessments |
| 4 | adopted under paragraphs (3) |
| 5 | and (11) over a period of 2 or |
| 6 | more years. |
| 7 | "(bb) The percentage of stu- |
| 8 | dents who are making sufficient |
| 9 | growth to meet the college and |
| 10 | career ready academic content |
| 11 | standards, as described in para- |
| 12 | graph (13)(B), before the last |
| 13 | year that the student is in the |
| 14 | student's current school, or in |
| 15 | less than 3 years, whichever oc- |
| 16 | curs earlier. |
| 17 | "(cc) Progress in closing |
| 18 | achievement gaps between each |
| 19 | group of students listed in para- |
| 20 | graph (2)(C)(v)(II) and the over- |
| 21 | all student population of the |
| 22 | school over a period of 2 or more |
| 23 | years. |
| 24 | "(dd) For secondary schools, |
| 25 | a continuous and substantial in- |

| 1 | crease in the graduation rate (as |
|----|---|
| 2 | defined in section $200.19(b)(1)$ of |
| 3 | title 34, Code of Federal Regula- |
| 4 | tions). |
| 5 | "(ee) Year-to-year growth |
| 6 | and growth to proficiency on the |
| 7 | assessments adopted under para- |
| 8 | graphs (3) and (11). |
| 9 | "(ff) Attendance for all pub- |
| 10 | lic elementary school students. |
| 11 | "(gg) The percentage of stu- |
| 12 | dents who earn sufficient credits |
| 13 | to be promoted to the next grade. |
| 14 | "(hh) The percentage of sec- |
| 15 | ondary school graduates who at- |
| 16 | tend an institution of higher edu- |
| 17 | cation. |
| 18 | "(ii) The percentage of sec- |
| 19 | ondary school graduates who do |
| 20 | not require remediation at an in- |
| 21 | stitution of higher education. |
| 22 | "(II) VALIDITY AND RELI- |
| 23 | ABILITY.—The State shall ensure that |
| 24 | each indicator described in this clause |
| 25 | is rigorous, valid for the indicator's |

| 1 | assigned use, reliable, and consistent |
|----|--|
| 2 | with any relevant nationally recog- |
| 3 | nized professional and technical |
| 4 | standards. |
| 5 | "(III) REPORTING OF INDICA- |
| 6 | TORS.—A State shall publicly report |
| 7 | each of the indicators that are in- |
| 8 | cluded within the composite measure |
| 9 | of adequate school performance, as |
| 10 | described in this clause, in the aggre- |
| 11 | gate and disaggregated by each group |
| 12 | of students described in paragraph |
| 13 | (2)(C)(v)(II). |
| 14 | "(D) Annual improvement for |
| 15 | SCHOOLS.—Each year, for a school to meet the |
| 16 | standard for adequate student growth under |
| 17 | this paragraph, not less than 95 percent of each |
| 18 | group of students described in paragraph |
| 19 | (2)(C)(v)(II) who are enrolled in the school are |
| 20 | required to take the assessments, consistent |
| 21 | with paragraph (3), including subparagraph |
| 22 | (C)(xi) of such paragraph, and with— |
| 23 | "(i) accommodations provided in the |
| 24 | same manner as those provided under sec- |

| 1 | tion 504 of the Rehabilitation Act of 1973 |
|----|--|
| 2 | (29 U.S.C. 794); and |
| 3 | "(ii) accommodations and alternative |
| 4 | assessments provided in the same manner |
| 5 | as those provided under section |
| 6 | 612(a)(16)(A) of the Individuals with Dis- |
| 7 | abilities Education Act. |
| 8 | "(E) EVALUATION.— |
| 9 | "(i) Secretarial duties.—The Sec- |
| 10 | retary shall— |
| 11 | "(I) establish a rigorous peer-re- |
| 12 | view process, which shall include a di- |
| 13 | verse board of experts and community |
| 14 | stakeholders, to assist in the review of |
| 15 | State accountability model plans, |
| 16 | based on the criteria described in sub- |
| 17 | paragraphs (B) and (C)(i); |
| 18 | "(II) appoint individuals to the |
| 19 | peer-review process who are represent- |
| 20 | ative of parents, teachers, State edu- |
| 21 | cational agencies, and local edu- |
| 22 | cational agencies, and who are famil- |
| 23 | iar with educational standards, assess- |
| 24 | ments, accountability, the needs of |

| 1 | low-performing schools, and other |
|----|--|
| 2 | educational needs of students; |
| 3 | "(III) if the Secretary determines |
| 4 | that the State plan does not meet the |
| 5 | requirements of this paragraph, im- |
| 6 | mediately notify the State of such de- |
| 7 | termination and the reasons for such |
| 8 | determination; |
| 9 | "(IV) not decline to approve a |
| 10 | State's accountability model plan be- |
| 11 | fore— |
| 12 | "(aa) offering the State an |
| 13 | opportunity to revise its account- |
| 14 | ability model plan; |
| 15 | "(bb) providing technical as- |
| 16 | sistance in order to assist the |
| 17 | State to meet the requirements of |
| 18 | this paragraph; |
| 19 | "(cc) providing a hearing; |
| 20 | and |
| 21 | "(dd) allowing the State to |
| 22 | communicate with peer reviewers |
| 23 | in order to further explain or jus- |
| 24 | tify the merits of the State's ac- |
| 25 | countability model plan; and |

| 1 | "(V) have the authority to dis- |
|----|---|
| 2 | approve a State accountability model |
| 3 | plan for not meeting the requirements |
| 4 | of this paragraph, but shall not have |
| 5 | the authority to require a State, as a |
| 6 | condition of approval of the State ac- |
| 7 | countability model plan, to include in |
| 8 | or delete from, such plan 1 or more |
| 9 | specific elements of the State's aca- |
| 10 | demic content standards or to use |
| 11 | specific academic assessment instru- |
| 12 | ments or items. |
| 13 | "(ii) State revisions.—A State ac- |
| 14 | countability model plan shall be revised by |
| 15 | the State educational agency if it is nec- |
| 16 | essary to satisfy the requirements of this |
| 17 | paragraph. |
| 18 | "(F) APPROVED SCHOOLS.—If, as of the |
| 19 | date of enactment of the Growth to Excellence |
| 20 | Act of 2011, a State has already received ap- |
| 21 | proval from the Secretary to use an account- |
| 22 | ability model, the Secretary may allow such |

State a period of not more than 2 years from

the date of enactment of such Act to transition

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| 1 | to the use of the accountability model described |
|----|---|
| 2 | in this paragraph. |
| 3 | "(13) Definitions.—In this subsection: |
| 4 | "(A) COLLEGE AND CAREER READY.—The |
| 5 | term 'college and career ready' when used with |
| 6 | respect to a student means that the student |
| 7 | meets the requirements necessary to be admit- |
| 8 | ted into credit-bearing, nonremedial, entry level |
| 9 | coursework at a State public institution of high- |
| 10 | er education. |
| 11 | "(B) College and Career ready aca- |
| 12 | DEMIC CONTENT STANDARDS.—The term 'col- |
| 13 | lege and career ready academic content stand- |
| 14 | ards' means challenging academic content |
| 15 | standards (as required under paragraph (1)) |
| 16 | that are— |
| 17 | "(i) developed based on evidence that |
| 18 | mastery of such standards corresponds to |
| 19 | being college and career ready without the |
| 20 | need for remediation; and |
| 21 | "(ii)(I) common to a significant num- |
| 22 | ber of States; or |
| 23 | "(II) approved by a system of public |
| 24 | 4-year institutions of higher education in |
| 25 | the State, such that mastery of such |

| 1 | standards leads to placement into credit- |
|----|--|
| 2 | bearing, nonremedial, first-year coursework |
| 3 | for a student admitted to an institution of |
| 4 | higher education that is part of such sys- |
| 5 | tem. |
| 6 | "(C) COLLEGE AND CAREER READY AS- |
| 7 | SESSMENTS.—The term 'college and career |
| 8 | ready assessments' means an assessment for |
| 9 | mathematics and an assessment for reading or |
| 10 | language arts that— |
| 11 | "(i) measures the annual academic |
| 12 | growth of individual students; |
| 13 | "(ii) is aligned with the college and |
| 14 | career ready academic content standards |
| 15 | described in this paragraph; and |
| 16 | "(iii) meets the requirements under |
| 17 | paragraph (3). |
| 18 | "(D) ON TRACK TO BEING COLLEGE AND |
| 19 | CAREER READY.—The term 'on track to being |
| 20 | college and career ready' in a subject means |
| 21 | that a student is performing at or above grade |
| 22 | level, such that the student will be college and |
| 23 | career ready in the subject before graduation |

- 1 from secondary school, as measured by the
- 2 State assessment system.".

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