

117TH CONGRESS  
1ST SESSION

# S. 1744

To improve national security at the National Institutes of Health, to address national security issues in the licensure of biological products, to address national security considerations in research at the Department of Health and Human Services, and for other purposes.

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IN THE SENATE OF THE UNITED STATES

MAY 20, 2021

Mr. RUBIO introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

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## A BILL

To improve national security at the National Institutes of Health, to address national security issues in the licensure of biological products, to address national security considerations in research at the Department of Health and Human Services, and for other purposes.

1       *Be it enacted by the Senate and House of Representa-  
2 tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4       This Act may be cited as the “Genomics Data Secu-  
5 rity Act”.

1   **SEC. 2. MODERNIZING THE NATIONAL INSTITUTES OF**  
2                   **HEALTH'S APPROACH TO NATIONAL SECU-**  
3                   **RITY.**

4       Section 402(m)(2) of the Public Health Service Act  
5   (42 U.S.C. 282(m)(2)) is amended—

6                   (1) in subparagraph (E), by striking “; and”  
7       and inserting a semicolon;

8                   (2) by redesignating subparagraph (F) as sub-  
9       paragraph (G); and

10                  (3) by inserting after subparagraph (E) the fol-  
11       lowing:

12                  “(F) address national security issues, in-  
13       cluding ways in which the National Institutes of  
14       Health can engage with other Federal agencies  
15       to modernize the national security strategy of  
16       the National Institutes of Health; and”.

17   **SEC. 3. UTILIZATION OF GENOMIC SEQUENCING SERVICES**  
18                   **BY THE NATIONAL INSTITUTES OF HEALTH.**

19       Notwithstanding any other provision of law, no  
20   amounts made available to the National Institutes of  
21   Health may be used with respect to activities carried out  
22   by any company or its subcontractors or subsidiaries—

23                  (1) over which control is exercised or exer-  
24       cisable by the Government of the People's Republic  
25       of China, a national of the People's Republic of

1 China, or an entity organized under the laws of the  
2 People's Republic of China; or  
3 (2) in which the Government of the People's  
4 Republic of China has a substantial interest.

5 **SEC. 4. NATIONAL SECURITY CONSIDERATIONS THROUGH**  
6 **LICENSURE.**

7 Section 353 of the Public Health Service Act (42  
8 U.S.C. 263a) is amended—

9 (1) by redesignating subsection (q) as sub-  
10 section (r); and  
11 (2) by inserting after subsection (p) the fol-  
12 lowing:

13 “(q) TIES TO THE PEOPLE'S REPUBLIC OF CHINA.—  
14 “(1) IN GENERAL.—Each certificate issued by  
15 the Secretary under this section shall state whether—  
16

17 “(A) the laboratory;  
18 “(B) the company that owns or manages  
19 the laboratory; or  
20 “(C) any subcontractors or subsidiaries of  
21 such a laboratory or company,  
22 is an entity described in paragraph (2).  
23 “(2) ENTITY DESCRIBED.—An entity described  
24 in this paragraph is an entity—

1                 “(A)(i) that is engaged in the biological,  
2                 microbiological, serological, chemical, immuno-  
3                 hematological, hematological, biophysical,  
4                 cytological, pathological, or other examination  
5                 of materials derived from the human body for  
6                 the purpose of providing information for the di-  
7                 agnosis, prevention, or treatment of any disease  
8                 or impairment of, or the assessment of the  
9                 health of, people of the United States; or  
10                 “(ii) that handles or has access to any  
11                 data related to people of the United States that  
12                 is derived from any activity described in clause  
13                 (i); and  
14                 “(B)(i) over which control is exercised or  
15                 exercisable by the Government of the People’s  
16                 Republic of China, a national of the People’s  
17                 Republic of China, or an entity organized under  
18                 the laws of the People’s Republic of China; or  
19                 “(ii) in which the Government of the Peo-  
20                 ple’s Republic of China has a substantial inter-  
21                 est.”.

22 **SEC. 5. NIH GRANTEE TIES TO FOREIGN GOVERNMENTS.**

23                 Title IV of the Public Health Service Act is amended  
24                 by inserting after section 403C (42 U.S.C. 283a-2) the  
25                 following:

1   **“SEC. 403C–1. ANNUAL REPORTING REGARDING GRANTEE**

2                   **TIES TO FOREIGN GOVERNMENTS.**

3         “(a) IN GENERAL.—On an annual basis, the Director  
4   of NIH shall submit to the Committee on Health, Edu-  
5   cation, Labor, and Pensions, the Committee on Foreign  
6   Relations, and the Select Committee on Intelligence of the  
7   Senate, and to the Committee on Energy and Commerce,  
8   the Committee on Foreign Affairs, and the Permanent Se-  
9   lect Committee on Intelligence of the House of Represent-  
10   atives, a report on any ties to foreign governments that  
11   researchers funded by grants from the National Institutes  
12   of Health have and that are not properly disclosed, vetted,  
13   and approved by the National Institutes of Health, includ-  
14   ing the status of any ongoing National Institutes of  
15   Health compliance reviews related to such ties and all ad-  
16   ministrative actions taken to address such concerns.

17         “(b) REQUIREMENT.—The Committees receiving the  
18   reports under subsection (a) shall keep confidential, and  
19   shall not release, any provision of such a report that is  
20   related to an ongoing National Institutes of Health com-  
21   pliance review.”.

22   **SEC. 6. NATIONAL SECURITY CONSIDERATIONS IN RE-**  
23                   **SEARCH.**

24         (a) ESTABLISHMENT OF WORKING GROUP.—Not  
25   later than 120 days after the date of enactment of this  
26   Act, the Secretary of Health and Human Services (re-

1 referred to in this section as the “Secretary”) shall establish  
2 a working group (in this Act referred to as the “Working  
3 Group”) in the Department of Health and Human Serv-  
4 ices to make recommended updates to the National Insti-  
5 tute of Health’s Genomic Data Sharing Policy and to that  
6 end, develop and disseminate best practices on data shar-  
7 ing for use by entities engaged in biomedical research and  
8 international collaboration to enable both academic, pub-  
9 lic, and private institutions to—

- 10                 (1) protect intellectual property;  
11                 (2) weigh the national security risks of poten-  
12 tial partnerships where sensitive health information  
13 (for purposes of this Act, as defined by the Health  
14 IT Policy Committee), of the people of the United  
15 States is exchanged; and  
16                 (3) protect the sensitive health information of  
17 the people of the United States.

18 (b) MEMBERSHIP.—

19                 (1) COMPOSITION.—The Secretary shall, after  
20 consultation with the Director of the National  
21 Science Foundation and the Attorney General, ap-  
22 point to the Working Group—

- 23                 (A) individuals with knowledge and exper-  
24 tise in data privacy or security, data-sharing,  
25 national security, or the uses of genomic tech-

1 nology and information in clinical or non-clin-  
2 ical research;

3 (B) representatives of national associations  
4 representing biomedical research institutions  
5 and academic societies;

6 (C) representatives of at least 2 major  
7 genomics research organizations from the pri-  
8 vate sector; and

9 (D) representatives of any other entities  
10 the Secretary determines appropriate and nec-  
11 essary to develop the best practices described in  
12 subsection (a).

13 (2) REPRESENTATION.—In addition to the  
14 members described in paragraph (1), the Working  
15 Group shall include not less than one representative  
16 of each of the following:

17 (A) The National Institutes of Health.

18 (B) The Bureau of Industry and Security  
19 of the Department of Commerce.

20 (C) The National Academies of Science,  
21 Engineering, and Mathematics.

22 (D) The Department of State.

23 (E) The Department of Justice.

24 (F) The Federal Health IT Coordinating  
25 Council.

(G) The Office of the National Coordinator for Health Information Technology.

(H) The Defense Advanced Research Projects Agency.

5 (I) The Department of Energy.

**9 (c) DUTIES OF WORKING GROUP.—**

(B) best practices regarding data protection to help private, public, and academic institutions that partake in biomedical research decide how to weigh and factor national security into their partnership decisions and, through research collaborations, what steps the institu-

1           tions can take to safeguard data, particularly  
2           genomic data;

3           (C) recommendations regarding areas  
4           where Federal agencies can coordinate to in-  
5           crease education to such private and academic  
6           research institutions that partake in science  
7           and technology research to ensure the institu-  
8           tions can better protect themselves from eco-  
9           nomic threats with a strengthened under-  
10          standing of intellectual property rights, re-  
11          search ethics, and the risk of intellectual prop-  
12          erty theft, as well as education on how to recog-  
13          nize and report such threats; and

14          (D) other risks and best practices related  
15          to information and data sharing, as identified  
16          by the Working Group, including any gaps in  
17          current practice that could be addressed by con-  
18          gressional action.

19          (2) REPORT.—

20           (A) IN GENERAL.—Not later than 1 year  
21          after the date of enactment of this Act, the  
22          Working Group shall submit a report that con-  
23          tains a detailed statement of the findings and  
24          conclusions of the Working Group, together  
25          with recommendations to update the National

1       Institute of Health's Genomic Data Sharing  
2       Policy and subsequent nonbinding guidance re-  
3       garding risks and safeguards for data sharing  
4       with foreign entities for research institutions in  
5       the field, to—

6                     (i) the Secretary of Health and  
7                     Human Services;

8                     (ii) the President;  
9                     (iii) the Committee on Health, Edu-  
10                  cation, Labor, and Pensions, the Com-  
11                  mittee on Foreign Relations, and the Se-  
12                  lect Committee on Intelligence of the Sen-  
13                  ate; and

14                     (iv) the Committee on Energy and  
15                  Commerce, the Committee on Foreign Af-  
16                  fairs, and the Permanent Select Committee  
17                  on Intelligence of the House of Represent-  
18                  atives.

19                     (B) GUIDANCE.—The guidance provided  
20                  under subparagraph (A) shall include non-bind-  
21                  ing guidance for entities that utilize genomic  
22                  technologies, such as whole genomic sequencing,  
23                  for use in research or other types of sensitive  
24                  health information, as defined by the Secretary.

1                         (3) REQUIREMENTS.—In carrying out the du-  
2                         ties of this subsection, the Working Group shall con-  
3                         sider all existing Federal guidance and grant re-  
4                         quirements (as of the date of consideration), particu-  
5                         larly with regard to foreign influences and research  
6                         integrity, and ensure that all recommended updates  
7                         to the Genomic Data Sharing Policy and subsequent  
8                         best practices put forward by the working group not  
9                         duplicate or conflict with existing guidance, as of the  
10                         date of publication.

11                         (d) POWERS OF WORKING GROUP.—

12                         (1) HEARINGS.—The Working Group may hold  
13                         such hearings, sit and act at such times and places,  
14                         take such testimony, and receive such evidence as  
15                         the Working Group considers advisable to carry out  
16                         this Act.

17                         (2) INFORMATION FROM FEDERAL AGENCIES.—

18                         (A) IN GENERAL.—The Working Group  
19                         may secure directly from a Federal department  
20                         or agency such information as the Working  
21                         Group considers necessary to carry out this Act.

22                         (B) FURNISHING INFORMATION.—On re-  
23                         quest of a majority of the members of the  
24                         Working Group, the head of the department or

1           agency shall furnish the information to the  
2           Working Group.

3           (3) POSTAL SERVICES.—The Working Group  
4       may use the United States mails in the same man-  
5       ner and under the same conditions as other depart-  
6       ments and agencies of the Federal Government.

7           (e) TERMINATION OF WORKING GROUP.—The Work-  
8       ing Group shall terminate 90 days after the date on which  
9       the Working Group submits the report required under  
10      subsection (c)(2).

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